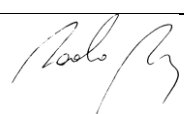
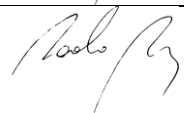
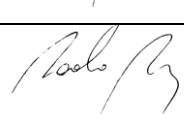
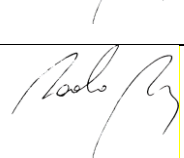


Friend of the Sea Standard

FOS - Wild Sustainable Fishing Requirements

Friend of the Sea
www.friendofthesea.org

REV	DATE	REASON	VALIDATION	APPROVAL
1	18/01/2013	First issue	Paolo Bray	
2	01/07/2015	Update	Paolo Bray	
3	30/09/2016	Standards update	Paolo Bray	
3.1	18/10/2017	Definitions and guidance to standards	Paolo Bray	

Foreword

Friend of the Sea is a non-governmental organisation, which was established in 2008. Its aim is to safeguard the marine environment and its resources by incentivising a sustainable market and implementing specific conservation projects.

Friend of the Sea certification program allows assessment of fisheries and aquaculture products according to sustainability criteria and requirements. The certification, granted following an audit by independent certification bodies, ensures that a product complies with the sustainability requirements.

Requirements are classified as Essential, Important or Recommendations, according to their level of importance.

Essential Requirements: 100% conformity to essential requirements is mandatory in order for the certification body to certify the organisation's product. Any lack of compliance with these requirements will generate a Major Non Conformity and the organisation has to undertake effective corrective actions, to be implemented within three months from the issuing of the Non Conformity. The organisation shall provide satisfactory evidence to the certification body of correction of all major non conformities. Six months are allowed exclusively for correction of requirements 2.1 and 2.2, in consideration of their more complex nature.

Important Requirements: 100% conformity to important requirements is mandatory in order for the certification body to certify the organisation's product. Any lack of compliance with these requirements is to be considered as a Minor Non Conformity and the organisation has to propose effective corrective actions (declaration of intents and implementation plan), to be submitted to the certification body within three weeks from the issuing of the non conformity. This proposal shall also include a timetable concerning the implementation of each correction measure. Each proposed corrective action shall be fully implemented within the following 12 months.

Recommendations: Compliance with recommendations is not mandatory for the product to be certified. However compliance with recommendations will be verified during the audit and any deficiency will be included in the Audit Report as a recommendation. The organisation shall inform the certification body, during the following audit, regarding any corrective measures implemented.

Requirements which are not applicable to the audited organisation will be marked with 'N.A.'

Description of the Organisation

This document shall only be filled out by personnel of the certification body in charge of the audit. It shall be filled out in English if spoken fluently.

a) NAME OF THE ORGANISATION TO BE AUDITED:

Company: CALVOPESCA EL SALVADOR, SA de CV.

Company: CANTABRICA DE TÚNIDOS S.A.U.

b) NAME OF THE ORGANISATION REQUESTING THE AUDIT:

GRUPO CALVO

c) IS THE ORGANISATION TO BE AUDITED PART OF A GROUP? IF SO, PLEASE SPECIFY THE NAME OF THE GROUP AND LIST ALL MEMBERS:

GRUPO CALVO

d) ADDRESS OF THE ORGANISATION TO BE AUDITED:

Grupo Calvo:

Head quarter/offices: c/ Vía de los Poblados nº 1, 5ª Planta, Ed. A/B Edificio Alvento
28033 Madrid.

Calvopesca El Salvador, S.A.
Calle a Playitas
Complejo Industrial Corsain – Punta Gorda
La Union – El Salvador.

Company: Cantabrica de túnidos S.A.U.
Calle Luis Calvo, 7, Carballo, 15100, La Coruña – España.

d) NAME AND CONTACTS OF THE PERSON WITHIN THE ORGANISATION RESPONSIBLE FOR THE AUDIT AND CONTACTS WITH THE AUDITOR:

Macarena Ubis (directora flota), Daniel Perez (gerente técnico), Julio Martinez (Departamento de personal), Belén Barquín (operaciones), Dolores Varela (Oficia HSEQ).

f) FLEET TO BE AUDITED:

Company: Calvopesca El Salvador, S.A.

<i>Name of the fishing vessel</i>	<i>Registrati on number</i>	<i>Vessel's flag</i>	<i>Fishing method</i>	<i>Capacity (MT)</i>	<i>Unloading harbour</i>	<i>Ship owner, if different from a)</i>
MONTEROCIO	ESA-00040	EL SALVADOR	PURSE SEINER	2.118 GT	LA UNION	same
MONTELUCIA	ESA-00039	EL SALVADOR	PURSE SEINER	3.005 GT	LA UNION	same
MONTEALEGRE	ESA-00036	EL SALVADOR	PURSE SEINER	2.157 GT	ABIDJAN/CCAB O VERDE/	same
MONTELAPE	ESA-00037	EL SALVADOR	PURSE SEINER	2.058GT	ABIDJAN/CCAB O VERDE/	same
MONTECELO	ESA-05672	EL SALVADOR	PURSE SEINER	1.931 GT	ABIDJAN/CCAB O VERDE/	same
MONTEFRISA9	ESA-05671	EL SALVADOR	PURSE SEINER	1.902 GT	ABIDJAN/CCAB O VERDE/	same

Company: Cantabrica de túnidos S.A.U.

<i>Name of the fishing vessel</i>	<i>Registrati on number</i>	<i>Vessel's flag</i>	<i>Fishing method</i>	<i>Capacity (MT)</i>	<i>Unloading harbour</i>	<i>Ship owner, if different from a)</i>
MONTEMAIOR	3ª Ca-5-1445	ESPAÑA	PURSE SEINER	1.929 GT	ABIDJAN/CCAB O VERDE/	same

g) VESSELS AUDITED ON SITE (the auditor shall list the vessels which have actually been audited on site as sample representing the fleet):

Company: Cantabrica de túnidos S.A.U.

Name of the fishing vessel	Registration number	Unloading harbour
MONTEALEGRE	ESA-00036	ABIDJAN/CCABO VERDE/
MONTEFRISA9	ESA-05671	ABIDJAN/CCABO VERDE/

Company: Calvopesca El Salvador, S.A.

Name of the fishing vessel	Registration number	Unloading harbour
MONTEMAIOR	3ª Ca-5-1445	LA UNION – EL SALVADOR

h) FISHING ZONE (Coordinates and/or FAO area and/or subarea and/or ICES area and/or EEZ. If available, please include a map.):

FAO 71,77 PACIFIC EASTERN CENTRAL
 FAO 81, 87 PACIFIC EASTERN TROPICAL
 FAO 34, 41, 47 ATLÁNTICO

i) COMMON AND SCIENTIFIC NAME OF THE SPECIES TO BE AUDITED:

Common Name	Scientific Name
YELLOW FIN	<i>Thunnus Albacares</i>
SKIPJACK	<i>Katsuwonus Pelamis</i>
BIG EYE	<i>Thunnus Obesus</i>

j) TOTAL NUMBER OF EMPLOYEES:

Montefrisanueve vessel: 28 employee + 1 observer.

Montemaior vessel: 28 employee + 1 observer.

Montealegre vessel: 29 employee + 2 observer.

k) ENVIRONMENTAL CERTIFICATIONS AND AWARDS

NA.

l) STAKEHOLDERS ENGAGEMENT:

Before or during the audit, the CB shall inform all the relevant stakeholders about the audit of the applicant organisation and recommend their input. Please provide the list of all contacted stakeholders below:

Ej.: stakeholders must be contacted:

- *Ministerio de agricultura, alimentación y medio ambiente de España.*
- *Dirección general de desarrollo de la pesca y la acuicultura del El salvador.*
- *Ministerio de agricultura y pesca, alimentación y medio ambiente, de España.*
- *Ministerio de economía de cabo verde.*
- *Ministerio de recursos animales de la costa de marfil.*
- *Ministerio de la pesca y del mar de gabón.*
- *Ministerio de comercio, finanzas, y economía de sao tomé.*

m) ADDITIONAL INFORMATION:

fish is sale after fising on harbor declared.

Surveillance audit

- The Friend of the Sea project was introduced** *(If not, the auditor shall provide a short description)*
- The organisation and the ship owners were informed of the opportunity, in case of approval, of using the Friend of the Sea logo on the certified products**
- The organisation has a document qualifying and confirming the roles of the staff carrying out the audit**
- The duration of the audit was agreed upon**
- The information included in the Preliminary Information Form (PIF) has been confirmed** *(in case of changes to the PIF, an updated version has to be promptly provided)*

CERTIFICATION BODY: DNV GL	AUDIT TEAM: Amós Martínez Mingorance	AUDIT START AND END DATE: 04/10/2018 (on site vessels). 06,07/11/2018 (on site headquarter and off site vessels)
SIGNATURE OF AUDITOR:	NAME OF THE PERSON IN CHARGE OF THE ORGANISATION AND ACCOMPANYING THE AUDITOR DURING THE AUDIT: Dolores Varela Fernandez.	AUDIT CODE:

NOTES TO THE AUDITOR

- 1) The auditor shall fill out all fields in the checklist.
- 2) Checklist compilation guidelines are highlighted in the blue boxes.
- 3) The Auditor shall provide an explanation when requirements are not applicable.
- 4) The Auditor shall write YES when the organisation complies with a requirement and NO when it does not.
- 5) The Auditor shall comment and explain the positive or negative answers. Simple "YES," "NO," or "N.A." are insufficient.
- 6) Each relevant document shall be added to the final audit report in a separate and numbered attachment.
- 7) Photographic evidences added to the checklist or attached are appreciated.
- 8) In the present document '*organisation*' is used to refer to the unit of certification.

1 STOCK STATUS

No.	Requirement	Level	Parameters and information	Y/N	Comments
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1.1	The state of the stock under consideration shall be assessed by the fisheries management organisation.	Essential	The fishery shall demonstrate to collect data in accordance with applicable international standards (e.g. Coordinating Working Party on Fishery Statistics, the FAO Guidelines for the routine collection of capture fishery data, FAO Fisheries Technical Paper No. 382; Deep Sea Fishery (DSF) in the High Seas, FAO Programme).	Y	<p>The species subject to certification are the following (the numbering on the side indicates the most fished species by weight/non applicable/only one specie):</p> <p>Yellow fin skipjack big eye (thunus albacares, Katsuwonus Pelamis, Thunnus Obesus).</p> <p>Fishing Area: FAO 34, 41, 47, 81, 87, 71, 77,</p> <p>The company has considered the following documentation (from regional regulation "ORP" organismos regionales de pesca):</p> <p>https://www.iattc.org/ (Comisión Interamericana del Atún Tropical Inter-American Tropical Tuna Commission) FAO 81, 87.</p> <p>https://www.wcpfc.int/ (Western & Central Pacific Fisheries Commission) FAO 71, 77.</p> <p>https://www.iccat.int/es/ (INTERNATIONAL COMMISSION for the CONSERVATION of ATLANTIC TUNAS COMMISSION INTERNATIONALE pour la CONSERVATION des THONIDÉS de L'ATLANTIQUE COMISIÓN INTERNACIONAL para la CONSERVACIÓN del ATÚN ATLÁNTICO) zonas 34,41,47.</p> <p>NOT be Over-exploited. NOT be Over-fished.</p>
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The fisheries management organisation is an institution responsible for fisheries management, including the formulation of rules governing fishing activities. The fishery management organisation may also be responsible for collection of information, its analysis stock assessment, monitoring, control and surveillance.

FAO 1997: FAO Technical Guidelines for Responsible Fisheries.

1.1.1	The stock under consideration shall NOT be data deficient	Essential		Y	See previous paragraph 1.1.
1.1.2	The stock under consideration shall NOT be over-exploited.	Essential	<p>$F \leq F_{msy}$ within probability range of available stock assessments or at least $F \leq F_{lim}$ (limit reference point – or its proxy)</p> <p>If overfishing of a stock under consideration of a certified fishery occurs, the certification of this fishery is suspended or revoked.</p>	Y	See previous paragraph 1.1.

1.1.3	The stock under consideration shall NOT be over-Fished.	Essential	B \geq B _{msy} within probability range of available stock assessments or at least B > B _{lim} (limit reference point – or its proxy). If the stock under consideration of a certified fishery becomes overfished, the certification of this fishery is suspended or revoked.	Y	See previous paragraph 1.1.
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All the requirements related to the current status and trend of the stock under assessment shall include data of bycatch, discards, unobserved mortality, incidental mortality, unreported catch, and catch outside of the unit of certification.

Up-to-date data and/or information on the stock status is available from one of the following: FAO, Regional Fisheries Management Organisation, Marine Research National Authority, University, other independent research institute. Other information may include generic evidence based on similar stocks, when specific information on the stock under consideration is not available, providing there is low risk to the stock under consideration in accordance with the Precautionary Approach.

1.1.4	The methodology of assessment of the status and trends of the stock under consideration shall be made publicly available in a timely manner.	Essential	Documental evidence	Y	See previous paragraph 1.1.
1.2	<u>Only applicable to small-scale and artisanal fisheries (i.e. it is not applicable to large-scale fisheries and fleets)</u> If the organisation complies with all the requirements of the standard in the present document and does not catch more than 10% of the total catch (weight) of the stock under consideration, requirements 1.1.2., 1.1.3. do not apply.	Essential	Weight of catches by fishery with same fishing method as the one under assessment is not over 10% of total catch from the same stock.	NA	NA

The auditor shall fill-in requirement 1.2 ONLY in case of negative answer to the requirement 1.1. The aim of this requirement is to allow certification of small-scale artisanal and/or traditional fisheries targeting stocks which might have been overfished by bigger scale vessels and fisheries.

Small-scale fisheries are here intended as those using fishing crafts with size < 24 m and/or engine <375 kW.

Large-scale fisheries are intended as those using fishing crafts with size \geq 24 m, engine \geq 375 kW, vessels with freezing facilities and/or factory vessels (i.e. ocean-going vessels with on-board facilities for processing and freezing).

2 ECOSYSTEM and HABITAT IMPACT

No.	Requirement	Level	Parameters and Information	Y/N	Comments
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2.1	Current data and/or other information are collected and updated about the effects of the fishery under assessment on the ecosystem structure and habitats vulnerable to damage by fishing gear, also considering the role of the stock in the food web (e.g. key prey or predator species).	Important	<p>Data collection shall be in accordance with international standards (e.g. CWP and DSF in the High Seas, FAO Programme).</p> <p>The data and analysis may include local, traditional or indigenous knowledge and research, providing its validity can be objectively verified.</p> <p>The methodology and results of the analysis of the most probable adverse impacts of the unit of certification on the ecosystem are made publicly available in a timely manner, respecting confidentiality where appropriate.</p>	Y	<p>A partir de los datos de captura de los barcos se realiza seguimiento de capturas y capturas accidentales; Existen cinco ORP en el mundo (zona la WCPCF –Comision del pacific de tunidos tropicales) e IATTC (international tropical tuna comition).</p> <p>La web siguiente recoge el impacto de la actividad en la zona y sobre las especies declaradas.</p> <p>See web link.</p> <p>https://www.iattc.org/ https://www.wcpfc.int/ https://www.iccat.int/es/</p>
2.2	The fishery or fleet complies with Marine Protected Areas regulation.	Essential	Verify compliance also by use of VMS and plotters tracking and World database www.mpaglobal.n etc	Y	Attached files (on 2018) for the vessel checked (see attached file "cendepesca VMS montealegre", "cendepesca VMS montealegre", (*VMS: monitoring bidirectional system EL Salvador), "VMS montemaior" (CSP/VMS, vessel monitoring system on Spain integrated with Diario electronic abordo/DEA – electronic logbook), After check documents, vessel and GPS's Vessel check, evidenced fishing activity is not carried out in infringement of Marine Protected Areas (MPA).
<p>The auditor, through random sampling, using the Satellite Control System on the vessels or valid alternative evidence, shall verify that the fishing activity is not carried out in infringement of Marine Protected Areas (MPA). Alternatively an official declaration from local Control Authorities shall be produced. The Auditor shall provide a list of Protected Marine Areas in the area (refer to www.mpaglobal.org).</p>					
2.3	The fishery or fleet shall use fishing gears that do not affect the seabed unless proven that such impact is negligible.	Essential	The seabed and benthic communities shall return to their original conditions within a maximum of 30 days from the impact of the fishing gear on the seabed.	Y	Attached file "2017-02 Buenas prácticas Firmado ES", "2- Buenas Practicas Normativa 2018 Oceano Atlantico", and "see file DC_azti_Calvopesca El Salvador" (for montealegre y montealegraneve) and "1- Declaracion Conformidad Azti Buenas Practicas" (for montemaior vessel) like external verification by AZTI (independent scientific association), After check documents, evidenced fishing activity is not carried out in infringement of Marine Protected Areas (MPA).
<p>The auditor shall collect conformity evidence.</p>					

2.4	The organisation has requested or conducted an assessment of the impact of its fishing activities on essential habitats for the stock under consideration and on habitats vulnerable to damage by the fishing gear.	Recommendation	Studies made available by the competent FMO can be used. These studies shall consider the impact of the fishery on the ecosystem and shall be considered when producing management advice.	Y	See previous paragraph 2.1.
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The Auditor shall provide evidence referring to all available studies.

3 GEAR SELECTIVITY

No.	Requirement	Level	Parameters and information	Y/N	Comments
3.1	<p>Accidental catches (bycatch) shall not include species listed in the IUCN red list of endangered species as Vulnerable or higher risk.</p> <p>The IUCN assessment shall have been carried out no more than 10 years before.</p>	Important	<p>Bycatch studies shall have been carried out by the relevant bodies (FAO or RFMOs or National Authorities or Universities) and they shall provide information regarding level of bycatch and bycaught species</p> <p>These studies shall not indicate the presence of species vulnerable or higher risk among the regularly caught (over 0.25% of total weight) species according to www.iucnredlist.org.</p>	Y	<p>evidenced species as Vulnerable or risk in the accidental catches: shark, turtles, mantas.</p> <p>(Species checked at http://www.iucnredlist.org/)</p> <p>A Través del programa de seguimiento y observación (todas los buques mantienen observadores de la CIATT y o WCPFC y o ICCAT, durante todas la actividades de despesque). Available observe on "crew list/lista de tripulantes" attached on vessels folder each one.</p> <p>Attached file "2017-02 Buenas prácticas Firmado ES", "2- Buenas Practicas Normativa 2018 Oceano Atlantico", and "see file DC_azti_Calvopesca El Salvador" (for montealegre y montefrisanueve) and "1- Declaracion Conformidad Azti Buenas Practicas" (for montemaior vessel) like external verification by AZTI (independent scientific association), .After check documents, evidenced fishing activity is not carried out in infringement of Marine Protected Areas (MPA).</p>
3.1.1	The organisation collects and maintains current data and/or other information about the effects of the fishery on endangered species, non-target catches and discards.	Essential	Traditional, fisher or community knowledge can be used as reference, provided its validity can be objectively verified	Y	<p>available dairy and fish data/communications and "regional observer program". Available on vessel document/check example attached file See attached file (examples logbook) "logbook/diario de pesca montemaior_opagac" , "logbook/diario de pesca montealegre_opagac", "logbook/diario de pesca montefrisanueve_opagac".</p>
<p>The auditor shall obtain records kept by the organisation of the species that are caught accidentally, and an assessment of the effects of the fishery on non-target stocks. The information included in the list shall be compared with the accidental catches actually occurred on site at the time of unloading. The list shall also be compared with the database of the IUCN red list www.redlist.org. The auditor shall provide a final document that shows if any of the accidentally caught species is included in the IUCN list.</p>					
3.2	The level of discard shall not be over 8% of total catch (in weight).	Essential	Discards are bycaught species which are not used for human consumption not for fish meal or fish oil production.		

3.3.1	<p>The fleet or fishery shall provide a census of number of all fish aggregating devices (FADs) deployed during the previous 12 months and shall report on a yearly basis to Friend of the Sea regarding FADs deployment per vessel.</p> <p>Only applicable to fisheries and fleet targeting tuna. N/A to fisheries targeting any other species</p>	Important	Auditor shall collect the data provided by the fleet or fishery and attach it to the audit report		<p>available dairy and fish data/communications and "regional observer program". Available on vessel document/check example attached file See attached file "logbook/diario de pesca montemaior_opagac", "logbook/diario de pesca montealegre_opagac", "logbook/diario de pesca montefrisanueve_opagac".</p> <p>On time, available "catch certificate/certificado de capturas" by government in each case, eg: "certificado_captura_montefrisa", "certificado_captura_montealegre", "certificado_captura_montemaior" –see attached file"–.</p> <p>Mediante la asistencia de los observadores:</p> <p>See attached file "4- Buenas practicas OPAGAC", with available contract according to OPAGAC/AGAC, and ANABAC/OPTUC requirements, and implemented on zones: WCPFC, IATTC and ICCAT (IOTC also included in this good practices international contract, but not fishery zone in the organization "Calvo pesca" at present).</p> <p>Those all commision web available habitual restrictions: "observer in board, bycach, others": ej.: CIAT/IATTC (commission interamericana del atun tropical), dispone procedimiento: C04-05 "prohibición de descartar", y 100" observadores a bordao (C-03-04; C-04-05). Available on CIAT web.</p>
3.3.2	<p>The fleet shall use non entangling FADs only, to avoid entanglement of sharks, turtles and other non-target species.</p> <p>Only applicable to fisheries and fleet targeting tuna. N/A to fisheries targeting any other species</p>	Important	Audit shall collect evidence including pictures of FADs, purchase invoices with technical specifications to prove compliance.	Y	<p>Available "2- Buenas Practicas Normativa 2018 Oceano Atlantico " for FADs (applicable on first January 2018) and some recomendations on specific zones "3- Recomendacion ICCAT Descartes", "02_buenas prácticas normatica oceano pacific".</p> <p>At time, see web : https://iss-foundation.org/knowledge-tools/databases/proactive-vessel-register/</p>

4 LEGAL CONFORMITY

N°	Requirement	Level	Parameters and information	Y/N	Comments
4.1	All fishing vessels shall be officially registered.	Essential	Vessel registration and fishing license inspection.	Y	See official registration on vessel: WCPFC web, IATTC and ICCAT (for the vessel checked on audit, available –see attached file "21_registro_iccat_de_buques_frisa", "21_registro_iccat_de_buques_montealegre", "21_registro_iccat_de_buques_montemaior", and fishing government documentation from El Salvador Country; see all attached related doc in vessels folders (legal authorizations by countries activities).

The Auditor shall request a list of all the fishing boats and the respective registration number. The Auditor shall collect on site all the documents concerning the registration of at least 10%of the audited boats (copies of photos of the documents)

4.2	The fleet does not include vessels with a flag of convenience.	Essential	The auditor shall verify that each vessel is not registered to another Nation identified as Flag of Convenience (http://www.itfs.eafarers.org/foc-registries.cfm).	Y	Flag on vessel linked to el Salvador/España and nearest fisheries zones. Vessels not included on IUU list.
The Auditor shall verify according to the website http://www.itfseafarers.org/foc-registries.cfm.					
4.3	The fleet does not include IUU (illegal, unreported, unregulated) fishing vessels.	Essential	The vessels cannot be included in the list http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.199.01.0012.01.ENG	Y	Flag on vessel linked to el Salvador/España and nearest fisheries zones. Vessels not included on IUU list.
The auditor shall verify that the vessels are not listed in EU IUU vessel list(http://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L_.2015.199.01.0012.01.ENG), or in the IUU vessel list made available by the competent RFMO.					
4.4	The fleet shall be "Dolphin Safe" approved by the Earth Island Institute. Only applicable to fisheries and fleet targeting tuna. N/A to fisheries targeting any other species	Essential	The organisation shall be included in the Dolphin-Safe list of the Earth Island Institute: www.dolphinsafetuna.org	Y	Available dolphin safe certificate, see attached file/or web from dolphisafe and see attached file "_DS Certification calvo". Azti and certificates under scheme Norma UNE 195006, for tuna responsible fishery (and dolphin safe included), see attached file "certificado atun pesca responsable atun APR" and "declaracion conformidad azti". and www.grupocalvo.com/medioambiente available policy and self declaration OPAGAC-AGAC, ANABAC-OPTUC.
The Auditor shall verify the conformity on the list www.dolphinsafetuna.org or else the company shall sign the EII DS Policy and a copy shall be included in the audit report					
4.5	The organisation complies with national and international fisheries regulations. Compliance with the following regulations in particular has to be confirmed and verified:	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	See official registration on vessel: WCPFC web, IATTC and ICCAT (for the vessel checked on audit, available –see attached file "21_registro_iccat_de_buques_frisa", "21_registro_iccat_de_buques_montealegre", "21_registro_iccat_de_buques_montemaior", and fishing government documentation from El Salvador Country; see all attached related doc in vessels folders (legal authorizations by countries activities).

4.5.1	TAC (Total Allowable Catches)	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable limits.	NA	Mediante la observación de cada ORP, se realiza una parada biológica –see attached file “, y una parada en el uso de los FAD’s (Avalable TAC por barco –See attached file- “2- Buenas Practicas Normativa 2018 Oceano Atlantico”, 2- Buenas Practicas Normativa 2018 Oceano pacifico”).
4.5.2	Use of a logbook	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	See attached file “logbook montemaioir logbook montealegre, logbook montefrisa nueve , see attached file “12- Copia Diario pesca OPAGAC” (one on each case/inside vessel folder).
4.5.3	Minimum net mesh size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	not available maximum at present (Size or mesh) in the fishing zones.
4.5.4	Net size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	not available maximum at present (Size or mesh) in the fishing zones.
4.5.5	Minimum legal size of the target species	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	not available maximum at present (Size or mesh) in the fishing zones.
4.5.6	Distance from the shore	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/	Y	No limit (after see accreditation/vessel and license crew; distance usual over 1200 milles/checked by GPS records during inspection (see logbook).

4.5.7	Measures for the reduction of accidental catches	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable measures.	Y	See previous paragraph 3.3.2.
4.5.8	No fishing in protected habitats	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	checked by GPS records during inspection. Not evidenced protected areas in the work zone.
4.5.9	Use of forbidden gears, chemical substances and explosives	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/faolex/en/ The auditor shall specify applicable indicators.	Y	Not evidenced Use of forbidden gears, chemical substances and explosives during vessels check/interview document and good practices signed.

The auditor shall verify, according to fisheries national and international regulations, that the aforementioned legal requirements are met and provide an exhaustive report with reference to the law. Where possible, the auditor shall provide documents and photographs. A detailed description of the fishing regulation concerning each Country is available on FAO's website <http://www.fao.org/faolex/en/>.

5 – FISHERY MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments
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5.1.1a	A fishery management organisation, that holds a legal mandate in compliance with national and international laws, manages, by means of a Fishery Management Plan (FMP), the fishery of which the fishery or fleet under audit is a part.	Essential	<p>The organization shall provide a copy of the FMP.</p> <p>A map of existing RFMOs is available at http://www.fao.org/figis/geoserver/factsheets/rfbs.html</p> <p>In addition, national fishery ministries and authorities can be considered, e.g. Fisheries Management Organisations (FMO).</p>	Y	<p>According 2.1. Paragraph and related files/low community and national. Feed back after fishing activities and data from observers on board.</p> <p>See web link.</p> <p>https://www.iattc.org/ https://www.wcpfc.int/ https://www.iccat.int/es/</p>
5.1.1b	<p>If the stock under consideration is a transboundary fish stock, straddling fish stock, highly migratory fish stock or high seas fish stock, a bilateral, sub regional or regional fisheries organisation or arrangement is in place.</p> <p>States and entities in the arrangement shall collaborate in the management of the whole stock unit and bycaught or discarded species over their entire area of distribution.</p> <p>The arrangement shall ensure the rights of the small-scale fishing communities are granted.</p>	Essential	<p>Evidence of conformity.</p> <p>In case this is not applicable, provide justification.</p>	Y	<p>According 2.1. Paragraph and related files/low community and national. Feed back after fishing activities and data from observers on board. Not evidenced minimum size or small scale by control bodies.</p> <p>See web link.</p> <p>https://www.iattc.org/ https://www.wcpfc.int/ https://www.iccat.int/es/</p>
5.1.1c	The fishery management organisation convenes regularly to update its management advices according to the most updated data.	Essential	Evidence of meetings frequency.	Y	According 2.1. Paragraph and related files/low community and national. Feed back after fishing activities and data from observers on board.

The Auditor shall verify and describe briefly the legal and administrative structure in force and provide the evidence of compliance with local laws and regulations.

The fishing company or organisation may also be part of traditional or community system of management of the stock, provided their performance can be objectively verified.

5.1.2	The fisheries management system (FMS) under which the fishery or fleet under audit is managed shall be both participatory and transparent, to the extent permitted by national laws and regulations.	Essential	<p>Information and advice used in FMS decision-making is publicly available. A consultation process regularly seeks and considers relevant information.</p> <p>Consultation with Deep Sea fishers shall be carried out when applicable.</p>	Y	<p>available dairy and fish data/communications and "regional observer program". Available on vessel document/check example attached file See attached file "logbook/diario de pesca montemaior_opagac", "logbook/diario de pesca montealegre_opagac", "logbook/diario de pesca montefrisanueva_opagac".</p> <p>On time, available "catch certificate/certificado de capturas" by government in each case, eg: "certificado_captura_montefrisa", "certificado_captura_montealegre", "certificado_captura_montemaior" –see attached file"-.</p> <p>Mediante la asistencia de los observadores:</p> <p>See attached file "4- Buenas practicas OPAGAC", with available contract according to OPAGAC/AGAC, and ANABAC/OPTUC requirements, and implemented on zones: WCPFC, IATTC and ICCAT (IOTC also included in this good practices international contract, but not fishery zone in the organization "Calvo pesca" at present).</p> <p>Those all commision web available habitual restrictions: "observer in board, bycach, others": ej.: CIAT/IATTC (comission interamericana del atun tropical), dispone procedimiento: C04-05 "prohibición de descartar", y 100" observadores a bordao (C-03-04; C-04-05). Available on CIAT web.</p>
5.1.3	Small-scale fishing communities and deep-sea fishers shall be involved in the planning and implementation of management measures affecting their livelihood, as appropriate.	Important	<p>Evidence of involvement of local communities.</p> <p><i>E.g.</i> monitoring and control of fishing activities, protected areas</p>	NA	NA.
5.2.1	A precautionary approach is applied through the FMS to protect the target stock and its habitat and preserve the marine environment.	Important	Procedure and evidence of conformity.	Y	<p>Feed back after fishing activities and data from observers on board.</p> <p>See web link. https://www.iattc.org/ https://www.wcpfc.int/ https://www.iccat.int/es/</p>

5.2.2	<p>Management measures specify the actions to be taken in the event that the status of the stock under consideration (with special consideration to deep-sea stocks) drops below a level consistent with achieving management objectives.</p> <p>These measures shall prompt the restoration of the stock to such levels within a reasonable timeframe.</p>	Important	Procedure indicating target reference points and timeframe.	Y	<p>Feed back after fishing activities and data from observers on board.</p> <p>See web link. https://www.iattc.org/ https://www.wcpfc.int/ https://www.iccat.int/es/</p>
5.2.3	Efficacy of management measures and their possible interactions is kept under continuous review.	Essential	Evidence of periodical reviews of the management measures shall be provided.	Y	The organizations and zones have a continuous feed back from observer and catches, and improves according this data/indicators.

The auditor shall verify if the Country the flag of the fishing company refers to has ratified the FAO Code of conduct. Otherwise the organisation shall include a precautionary approach in their procedures, including a risk assessment procedure.

5.3	The compliance with fishery regulations is achieved through monitoring, surveillance, control and enforcement.	Essential	Procedure and evidence of monitoring and control by the fishery management authority.	Y	The organizations and zones have a continuous feed back from observer and catches, and improves according this data/indicators.
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The Auditor shall describe briefly the monitoring, surveillance, control, and application methods and provide the evidences of the activities undertaken by the fishery enforcement system to ensure compliance.

5.4	The fleet or fishery shall record bycatch during every fishing trip.	Essential	Procedure and evidence of recording during at least one fishing trip.	Y	<p>Dairy communications to government (catch and accidental catch also). Ej dairy logbook records: See attached file " available dairy and fish data/communications and "regional observer program". Available on vessel document/check example attached file See attached file "logbook/diario de pesca montemaior_opagac" , "logbook/diario de pesca montealegre_opagac", "logbook/diario de pesca montefrisanueve_opagac".</p> <p>On time, available "catch certificate/certificado de capturas" by government in each case, eg: "certificado_captura_montefrisa", "certificado_captura_montealegre", "certificado_captura_montemaior" –see attached file"-.</p> <p>Mediante la asistencia de los observadores:</p> <p>See attached file "4- Buenas practicas OPAGAC", with available contract according to OPAGAC/AGAC, and ANABAC/OPTUC requirements, and implemented on zones: WCPFC, IATTC and ICCAT (IOTC also included in this good practices international contract, but not fishery zone in the organization "Calvo pesca" at present).</p> <p>Those all commision web available habitual restrictions: eg.: "observer in board, bycach, others": ej.: CIAT/IATTC (commission interamericana del atun tropical), dispone procedimiento: C04-05 "prohibición de descartar", y 100" observadores a bordao (C-03-04; C-04-05). Available on CIAT web.</p>
5.5	The fleet or fishery shall record discards.	Essential	Procedure and evidence of conformity	Y	See previous paragraph 5.4.
5.5.1	Bycatch and discard data shall be made publicly available by either the FMO or the organisation.	Recommendation	Procedure and evidence of conformity	Y	All dairy logbook must be available on the WCPFC , IATTC and ICCAT.
The auditor shall attach copies of the bycatch and discards reports to the audit report.					
5.6	A management system to prevent possible accidental catch and significant negative impacts of endangered species shall be in place.	Essential	Procedure, performance indicators and evidence of conformity.	Y	See previous paragraph 5.4.

5.7	The organisation implements a management program to reduce the accidental catch of non-target species, including procedures for the release of live animals under conditions that guarantee high chances of survival.	Essential	Procedure, performance indicators, and evidence of conformity.	Y	Available FADs modifications certificate for reduce Accidental catches. See web: http://opagac.org/ Continuous report, target, indicators, CCAA, etc. And others: See previous paragraph 5.4.
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The auditor shall provide documental evidence that the organisation collects data to assess the impact of the fishing activities on non-target species and endangered fauna (i.e. IUCN listed). The data collection shall address specific outcome indicator(s) consistent with achieving management objectives.

5.8	The fleet is equipped with measures that guarantee a quick retrieval of lost fishing gears to avoid 'ghost fishing'.	Essential	Procedure and evidence of conformity.	Y	Not evidenced "ghost fishing" during documental review.
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The auditor shall obtain a copy of the procedures.

5.9	<p>The fleet has a full-time on-board independent observer who reports compliance with Friend of the Sea requirements. In alternative a CCTVs system has been deployed and it is accessible by the auditor to verify compliance with Friend of the Sea requirements.</p> <p>Only applicable to large-scale vessels and fleets. Not applicable to small-scale artisanal fisheries.</p>	Important	Documental evidence of employment. At least one monthly report of the on-board inspector.	Y	<p>A Tráves del programa de seguimiento y observación (all vessels work with WCPFC , CIATT and ICCAT, observers at work).</p> <p>Mediante la asistencia de los observadores:</p> <p>See attached file "4- Buenas practicas OPAGAC", with available contract according to OPAGAC/AGAC, and ANABAC/OPTUC requirements, and implemented on zones: WCPFC, IATTC and ICCAT (IOTC also included in this good practices international contract, but not fishery zone in the organization "Calvo pesca" at present).</p> <p>Those all commision web available habitual restrictions: eg.: "observer in board, bycach, others": ej.: CIAT/IATTC (commission interamericana del atun tropical), dispone procedimiento: C04-05 "prohibición de descartar", y 100" observadores a bordao (C-03-04; C-04-05). Available on CIAT web.</p> <p>After check documents, evidenced fishing activity is not carried out in infringement of Marine Protected Areas (MPA).</p> <p>On time, available certificate:</p> <p>Azti and certificates under scheme Norma UNE 195006, for tuna responsible fishery (and dolphin safe included), see attached file "certificado atun pesca responsable atun APR" and "declaracion conformidad azti".</p>
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The auditor shall verify the presence of the observer(s) and obtain their CV and contacts. See definition for large-scale fisheries in section 1.

5.10	Outcome indicator(s), including target and limit reference points, are defined for all management objectives related to the conservation of the stock under consideration. Management objectives take into account the best scientific evidence available	Essential	Target reference points. e.g maximum sustainable yield (MSY, or a suitable proxy) or a lesser fishing mortality if that is applicable to the fishery. Marine resources exploited in deep-sea fisheries in the high seas have low productivity, thus biological reference points shall be set to ensure long term sustainability.	Y	All vessels work with WPCPF, CIATT and ICCAT observers at work; this organization develop indicator, objectives, targets, procedures, and etc.
5.11	There are clear management objectives, outcome indicators and measures defined and periodically reviewed by means of risk assessment to avoid, minimize, or mitigate impacts on:	Essential	Procedure, outcome indicators, action taken and outcomes.	Y	All vessels work with WPCPF, CIATT and ICCAT observers at work; this organization develop indicator, objectives, targets, procedures, and etc.
5.11.1	Essential habitats for the stock of consideration, and vulnerable ecosystems, including those potentially impacted by Deep-Sea fisheries			Y	Se previous paragraph.
5.11.2	Endangered species			Y	Se previous paragraph.
5.11.3	Non-target stocks			Y	Se previous paragraph.
5.11.4	Dependent predators and/or preys			Y	Se previous paragraph.
5.11.5	Ecosystem structure and processes			Y	Se previous paragraph.
5.12	A yearly reviewed Ecosystem Approach to Fisheries is in place	Recommended	Documental evidence	Y	Se previous paragraph.
5.13	Fisheries management approaches, plans and strategies are an integral part of integrated coastal management, and/or ocean management for oceanic fisheries.	Recommended	Documental evidence	Y	Se previous paragraph. 5.9., 5.10., 5.11.

The auditor shall provide evidence of the reference values targeted and implemented. These can, in some cases, be threshold reference limits and precaution limits set by regional bodies.

The management measures implemented by the management system of the organisation shall be based on the best available scientific evidence. Any traditional or scientific knowledge can be used within the management system, given that it can be objectively verified by the auditor

6 WASTE MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments
6.1	The organisation recycles, re-uses or re-processes all materials used during fishing, conservation and transport of the fish up to the selling point, including packaging.	Essential	Procedure and evidence of conformity.		CUMPLIMIENTO DE imo (CONVENIO MARPOL –para la prevention de la polución marítima), ATÁÑE A AIRE, HIDROCARBUROS, SÉPTICOS, Y LASTRE (AGUAS DE LASTRE) –anex. V MARPOL; also Available IOPP (hidrocarburos: “oil record book”) unload. Available índice al certificates in force and verified during documental review/check (IOPP -óil’-), IAPP (cert. internacional air pollution prevention); IEE (efficiency energy –Spain-).
6.2	The organisation implements measures to prevent dispersion of waste at sea (including fuels and lubricants and plastic materials)	Essential	Procedure and evidence of conformity.		Available book for garbage book (not certificate/internal uso only).
6.3	The organisation utilises all the chemical non-toxic alternatives available in order to reduce the use of toxic, persistent or bio-accumulating substances.	Essential	Procedure and evidence of conformity.		See previous paragraph 6.1. and 6.2.
6.4	The organisation does not use CFC, HCFC, HFC or other refrigerants that cause ozone depletion. <i>Only applicable to large-scale vessels</i>	Essential	Procedure and evidence of conformity.		As IMO certificates show: See attached file “imo certificates”.

**The auditor shall provide procedures complete with photographic evidence.
See definition of large-scale fisheries in section 1.**

7 ENERGY MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments
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7.1	The organisation shall keep a register of all energy sources and their use, updated at least once a year.	Essential	<p>Energy consumption records, which shall be created at least once a year shall be included in the procedure.</p> <p>At minimum, the register shall include the following parameters:</p> <ol style="list-style-type: none"> 1. incoming energy sources (renewable or not) 2. energy consumption per process line (fishing, processing, transport) 	Y	SEEMP-see energy management efficiency plan (plan de gestión energética de la eficiencia del buque). Certificado "IEE" emitido por la bandera española (Actualmente en desarrollo en los buques objeto de estudio). See attached file "Certificado internacional de eficiencia energética" (ANEX .VI MARPOL) for "montemaior vessel" on file certificado internacional eficiencia "18 IEE maior" (for vessel on salvador country not applicable/El salvador country not added to marpol rules – see attached file "18_exencion_iapp).
7.2	The organisation should calculate its carbon footprint per product unit and engage to reduce it every year.	Recommendation		N	Not available.

The auditor shall review copies of the registers.

8 SOCIAL ACCOUNTABILITY

No.	Requirement	Level	Parameters and information	Y/N	Comments
8.1	The Organisation shall respect human rights, complying with the following requirements:				

8.1.1	compliance with national regulations and ILO on child labour	Essential	Refer to ILO: http://www.ilo.org/global/standards/introduction-to-international-labour-standards/lang-en/index.htm	Y	Available ILO 188 certificates; see attached file "2017-04 Certificado ILO 188 Calvopesca ENG". Wessels: Montemaior: ej.: worker J.A. "mariner" from Ghana/costa de marfil, for season 22/05/2018 a 08/10/2018, total payment (incluye sueldo, pesca y gratificación): 2788626, Francostefas, que al cambio son 4905,98 dólares, aprox 1226,49 (salario mínimo establecido por la ILO 586 dólares/mes). ej.: worker G.F. "mariner" from senegal, for season 22/05/2018 a 08/10/2018, total payment (incluye sueldo, pesca y gratificación): 2537010, Francostefas, que al cambio son 4463,31 dólares, aprox 1115,83 (salario mínimo establecido por la ILO 586 dólares/mes). See attached file "17- ejemplo liquidaciones maior" Montealegre: Idem. 17- ejemplo liquidaciones alegre. Montefrisa9: Idem. 17- ejemplo liquidaciones frisa.
8.1.2	pay the employees adequate salaries compliant at least with minimum legal wages	Essential	Minimum wages vary depending on the country. The Auditor shall verify that the organisation knows the minimum wage.	Y	See 3.3.1.
8.1.3	grant employees access to healthcare	Essential		Y	Available legal access to healthcare.
8.1.4	apply safety measures required by the law	Essential		Y	Available health and safety instructions.

The auditor shall verify the compliance with the requirements through documental evidence (work contract samples) and on-site observation.

Further comments:

Observación/existe/valorar la inclusión en alcance: office on Galicia: Carretera Coruña-Finisterre, Km 34,5, 15105, Carballo, La Coruña, Galicia, España.

CONCLUSIONS:

The Auditor shall fill out the following fields

The fleet COMPLIES with Friend of the Sea requirements

The fleet DOES NOT COMPLY with Friend of the Sea requirements

MAJOR NON CONFORMITIES (to be corrected within 3 months)

List major non conformities: NA.

MINOR NON CONFORMITIES (corrective plan to be produced within 3 weeks and correction within 1 year)

List minor non conformities: NA.

RECOMMENDATIONS (to be communicated within the next inspection)

List recommendations: NA.