



Friend of the Sea Certification
FOS Audit Findings and Follow up registration Form

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CLIENT LEGAL NAME: **YASHASWI FISH MEAL AND OIL**

CLIENT REFERENCE PERSON NAME AND ROLE: **Mr. Pradeep Moger**
- Quality manager

SITE AUDITED: **UDUPI**

CONTACTS (PHONE/E-MAIL): **Mobile: 91 9620201415,**
email: Pradeep@Fishmealoil.com

FILE NO: **2017 DF IQ 3**

AUDIT DATE: **11TH DEC 2017 TO 15TH DEC 2017**

AUDIT TYPE (CERTIFICATION, SURVEILLANCE, RECERTIFICATION, EXTRA AUDIT):
CERTIFICATION

TEAM LEADER NAME AND SIGNATURE:
R SATHISH KUMAR

PLEASE INDICATE IF AN EXTRA AUDIT ON SITE IS REQUIRED FOR CLOSURE OF THE FINDINGS RAISED AND TEMPATIVE PERIOD:

1MD OF ADDITIONAL AUDIT IS RECOMMENDED TO VERIFY 1MD OF ADDITIONAL AUDIT IS RECOMMENDED TO VERIFY THE IMPLEMENTATION OF CORRECTIVE ACTION FOR VARIOUS MAJC CONFORMANCE.

| | |
|-------------------------|-------------------|
| APPLICABLE CHECKLIST(S) | ✓ FOS – Wild 2017 |
|-------------------------|-------------------|



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FINDINGS

please report below the audit finding details and grading as follow (guidance is contained in the FOS Checklists):

MAJOR NON CONFORMITY REFERRED TO ESSENTIAL REQUIREMENTS: THE ORGANISATION HAS TO UNDERTAKE EFFECTIVE CORRECTIVE ACTIONS, TO BE IMPLEMENTED WITHIN THREE MONTHS FROM THE ISSUING OF THE NON CONFORMITY. THE ORGANISATION SHALL PROVIDE SATISFACTORY EVIDENCE TO THE CERTIFICATION BODY OF CORRECTION OF ALL MAJOR NON CONFORMITIES. SIX MONTHS ARE ALLOWED EXCLUSIVELY FOR CORRECTION OF FEW REQUIREMENTS SPECIFIED IN THE APPLICABLE CHECKLIST.

MINOR NON CONFORMITY REFERRED TO IMPORTANT REQUIREMENTS: THE ORGANISATION HAS TO PROPOSE EFFECTIVE CORRECTIVE ACTIONS (DECLARATION OF INTENTS AND IMPLEMENTATION PLAN), TO BE SUBMITTED TO THE CERTIFICATION BODY WITHIN THREE WEEKS FROM THE ISSUING OF THE NON CONFORMITY. THIS PROPOSAL SHALL ALSO INCLUDE A TIMETABLE CONCERNING THE IMPLEMENTATION OF EACH CORRECTION MEASURE. EACH PROPOSED CORRECTIVE ACTION SHALL BE FULLY IMPLEMENTED WITHIN THE FOLLOWING 12 MONTHS.

RECOMMENDATION REFERRED TO RECOMMENDED INDICATORS: COMPLIANCE WITH RECOMMENDATIONS IS NOT MANDATORY FOR THE PRODUCT TO BE CERTIFIED; THE ORGANISATION SHALL INFORM THE CERTIFICATION BODY, DURING THE FOLLOWING AUDIT, REGARDING ANY CORRECTIVE MEASURES IMPLEMENTED.

| GRADING | CHECKLIST REQ NO. | NC DESCRIPTION | DEADLINE FOR CLOSURE/AC PROPOSAL |
|---------|-------------------|---|----------------------------------|
| MINOR | 2.1 | During the Vessel audit, presence of certain species indicates bull trawl/bottom trawl and light fishing in purse seine is being carried out. | 30 TH JAN 2018 |
| MAJOR | 2.3 | Bull trawling/bottom trawl is banned in these region. However few vessels seems to follow these practice, organization needs strict monitoring on the same. | 30 TH JAN 2018 |
| MAJOR | 3.1.1 | The Organization does not keep systematic records about the effects on endangered species, target catches and discards. (See comments and NC, further in this audit report). | 30 TH JAN 2018 |
| MAJOR | 3.2 | No records about discards amount and species is made available by the organization (see comments and NC, further in this audit report). | 30 TH JAN 2018 |
| MAJOR | 4.5.2 | However, maintaining of logbook shall be in place to have proper accounting of the fishing details. | 30 TH JAN 2018 |
| MAJOR | 4.5.3 | No monitoring evidenced to verify the mesh size used by the fishing vessels. | 30 TH JAN 2018 |
| MAJOR | 4.5.4 | No monitoring evidenced to verify the type of net used by the fishing vessels. | 30 TH JAN 2018 |
| MAJOR | 4.5.7 | No monitoring evidenced against the management advice for trawl line and purse seine. | 30 TH JAN 2018 |
| MAJOR | 4.5.9 | During the Vessel audit, presence of certain species indicates practicing of bull trawl/bottom trawl and light fishing in purse seine are being carried out. | 30 TH JAN 2018 |
| MINOR | 5.2.2 | There is clear evidence that Fishery management advisory recommended by CMFRI, Ministry of Agriculture and farmers welfare, Karnataka marine regulatory act are being considered and adhered by the organization. | 30 TH JAN 2018 |
| MAJOR | 5.4 | Bycatch is not recorded | 30 TH JAN 2018 |
| MAJOR | 5.5 | Discard is not recorded | 30 TH JAN 2018 |



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| MAJOR | 5.8 | Organization shall have system to improve the awareness level to fisher man to prevent any ghost fishing. | 30 TH JAN 2018 |
| MAJOR | 6.2 | Dispersion of lubricant was evidenced while auditing vessels as well as other plastics being used are not properly been collected and disposed. | 30 TH JAN 2018 |
| MAJOR | 6.3 | System shall be in place to avoid usage of Common Detergents available in markets. | 30 TH JAN 2018 |
| Major | 7.1 | Energy consumption (source wise) is not available and maintained including fishing, processing and transport. | 30 TH JAN 2018 |
| Recommendation | 7.2 | We recommend to calculate the carbon footprint per product unit and engage to reduce it every year | 30 TH JAN 2018 |
| DATE AND CLIENT SIGNATURE: 15.12.2017 | | | 30 TH JAN 2018 |





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FINDINGS FOLLOW UP

| GRADING | CHECKLIST REQ NO. | NC DESCRIPTION | AC DESCRIPTION | ACCEPTED Y/N |
|---------|-------------------|--|---|--------------|
| MINOR | 2.1 | During the Vessel audit, presence of certain species indicates bull trawl/bottom trawl and light fishing in purse seine is being carried out. | Ban on Bull trawl and light fishing in purse seine was brought in force from 10 th Nov 2017. During the audit period i.e 11/12/2017 the fisherman where on transition phase to new requirements. Hence the requirements were not fully been implemented. And currently adherence to the requirements is being followed from Jan '2018. The same requirements is verified by the harbor authority and the organization is also monitoring the same once in 15 days by surprise audit. However, minutes or update from harbor authority is also requested to maintain by the client. | Y |
| MAJOR | 2.3 | Bull trawling/bottom trawl is banned in these region. However few vessels seems to follow these practice, organization needs strict monitoring on the same. | AS ABOVE. | Y |
| MAJOR | 3.1.1 | The Organization does not keep systematic records about the effects on endangered species, target catches and discards. (See comments and NC, further in this audit report). | LOG BOOK (PUR04 1.0/15.12.2017) HAS BEEN IMPLEMENTED TO MEASURE AND MONITOR THE BYCATCHES AND NON-TARGET SPECIES. THE RECORD IS ALSO ANALYSED INCE IN A MONTH TO VERIFY THE IMPACT. APART FROM THAT REGULAR INTERACTION WITH COMPETENT AUTHORITY OF CMFRI/MPEDA/FSI/KARNATAKA STATE FISHERY/HARBOUR AUTHORITY/FISH MEAL ASSOCIATION. MINUTES OF THE SAME IS BEING REVIEWED AND ACTIONS WERE TAKEN ACCORDINGLY. | Y |



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| MAJOR | 3.2 | No records about discards amount and species is made available by the organization (see comments and NC, further in this audit report). | LOG BOOK (PUR04 1.0/15.12.2017) HAS BEEN IMPLEMENTED TO MEASURE AND MONITOR THE DISCARDS. ALSO REPORTS FROM CONCERN AUHTHORITIES LIKE CMFRI IS ALSO BEING REVIEWED MONTHLY. FOR THE PAST THREE MONTH THERE IS NO DISCARD | Y |
| MAJOR | 4.5.2 | However, maintaining of logbook is recommended to have proper accounting of the fishing details. | LOG BOOK (PUR04 1.0/15.12.2017) HAS BEEN IMPLEMENTED | Y |
| MAJOR | 4.5.3 | No monitoring evidenced to verify the mesh size used by the fishing vessels. | LOG BOOK CAPTURES THE MESH SIZE | Y |
| MAJOR | 4.5.4 | No monitoring evidenced to verify the type of net used by the fishing vessels. | LOG BOOK CAPTURES THE NET SIZE | Y |
| MAJOR | 4.5.7 | No monitoring evidenced against the management advice for trawl line and purse seine. | THE PROCURE MENT PERSON AT HARBOUR IS MONITORING ALL THE REQUIREMENTS AS PER THE MANAGEMENT ADVISORY. TRAINING ON MANAGEMENT ADVISORY WAS GIVEN TO ALL THE PROCUREMENT PERSON. ALSO THE REPORTS ISSUED BY CMFRI IS ALSO USED FOR IMPLEMENTING APPROPRIATE ACTIONS. | Y |
| MAJOR | 4.5.9 | During the Vessel audit, presence of certain species indicates practicing of bull trawl/bottom trawl and light fishing in purse seine are being carried out. | Ban on Bull trawl and light fishing in purse seine was brought in force from 10 th Nov 2017. During the auditperiod i.e 11/12/2017 the fisherman where on transition phase to new requirements. Hence the requirements were not fully been implemented. And currently adherence to the requirements is being followed from Jan '2018. The same requirements is verified by the harbor authority and the organization is also monitoring the same once in 15 days by surprise audit. However, minutes or update from harbor authority is also requested to maintain by the client. | Y |



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| MINOR | 5.2.2 | We recommend to improve the precautionary approach to protect the target stock and its habitat and safeguard the marine environment | WE ARE IN REGULAR CONTACT WITH CMFRI, ALL THE PRECAUTIONARY NOTES RELEASED BY CMFRI WILL BE ADHERED WITH. | Y |
| MAJOR | 5.4 | Bycatch is not recorded | BYCATCH IS BEING RECORDED IN LOGBOOK AND BEING REVIEWED. APPROPRIATENESS OF THE SAME IS ALSO BEING REVIEWED WITH CMFRI. | Y |
| MAJOR | 5.5 | Discard is not recorded | DISCARD IS BEING RECORDED IN LOGBOOK AND BEING REVIEWED. APPROPRIATENESS OF THE SAME IS ALSO BEING REVIEWED WITH CMFRI. | Y |
| MAJOR | 5.8 | Organization shall have system to improve the awareness level to fisherman to prevent any ghost fishing. | ALL THE FISHING VESSELS / FISHERMANS WERE MADE AWARE OF GHOST FISHING. HOWEVER ITS RECOMMENDED TO HAVE REGULAR SUCH TRAINING FOR BETTER AWARENESS. | Y |
| MAJOR | 6.2 | Dispersion of lubricant was evidenced while auditing vessels as well as other plastics being used are not properly collected and disposed. | AWARENESS TRAINING ON TO FISHERMAN HAS BEEN PROVIDED AND RECOMMENDED TO USE ONLY DUST BINS. STATUS OF THE SAME WILL BE MONITORED PERIODICALLY. | Y |
| MAJOR | 6.3 | System shall be in place to avoid usage of Common Detergents available in markets. | AWARENESS TRAINING ON TO FISHERMAN HAS BEEN PROVIDED AND RECOMMENDED TO USE ONLY ECO FRIENDLY SOAP SOLUTIONS OR WITH WATER. STATUS OF THE SAME WILL BE CHECKED ONCE IN 15 DAYS BY THE ORGANIZATION | Y |
| Major | 7.1 | Energy consumption (source wise) is not available and maintained including fishing, processing and transport. | RECORD HAS BEEN IMPLEMENTED AND REQUESTED ALL FISHING VESSELS TO FOLLOW THE SAME. CURRENTLY STATUS OF THE SAME WILL BE CHECKED AND UPDATED ONCE IN SIX MONTHS. | Y |



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| Recomm endation | 7.2 | We recommend to calculate the carbon footprint per product unit and engage to reduce it every year | WE ARE IN THE PROGRESS OF COLLECTING RELEVANT DATA. IN THE DUE COURSE WE WILL PLAN TO IMPLEMENT THE SAME. | Y |
|--------------------|-----|--|--|---|

DATE AND AUDITOR SIGNATURE: *05/04/2018*

EVENTUAL AUDITOR COMMENTS ON AC: *Corrective action of the ac's found were presented in due time and accepted, thus clearing them and making the organization comply with FOS requirements.*

