

Friend of the Sea Sustainable Shipping and Cruise Lines Standard



REV	DATE	REASON	VALIDATION	APPROVAL
1	23/10/2015	First issue	Paolo Bray	<i>Paolo Bray</i>
2	26/02/2016	Update	Paolo Bray	<i>Paolo Bray</i>
3	06/04/2016	Update	Paolo Bray	<i>Paolo Bray</i>
4	25/09/2019	Update	Paolo Bray	<i>Paolo Bray</i>
5	08/09/2021	Update	Paolo Bray	<i>Paolo Bray</i>

Friend of the Sea non-seafood Standard

Foreword

Friend of the Sea is a non-governmental organization established in 2008. Its aim is to safeguard the marine environment and its resources by incentivising a sustainable market and implementing specific conservation projects.

Requirements are classified as Essential, Important or Recommendations, according to their level of importance.

Essential Requirements: The organization shall be 100% compliant with Essential Requirements to be certified. Failure to comply with Essential Requirements is a major non-conformity. To achieve certification, corrective actions shall be implemented within three months from the date of assessment of non-conformities. The organization shall provide with satisfactory evidence of correction of all major non-conformities, if necessary, with additional audits.

Important Requirements: Failure to comply with Important Requirements is a minor non-conformity. To achieve certification, the organization shall first propose a corrective action plan within a maximum of three weeks from the date of assessment of the non-conformities. In the proposal, the organization shall include the timeframe for the implementation of each corrective action, considering that all minor non-conformities must be closed before the surveillance audit. The proposal shall be analyzed by the auditor regarding its consistency and feasibility. If accepted, the certificate can be granted. Then, in the surveillance audit, the organization shall be able to demonstrate that all minor non-conformities reported in the approved proposal were solved. If the approved proposal has not been fully implemented, the certificate is suspended until the resolution of any remaining minor non-conformities.

Recommendations: It is not compulsory for the organization to comply with Recommendations to achieve certification. Nonetheless, compliance with Recommendations shall be verified during the audit and any non-conformities shall be highlighted in the audit report as a "recommendation". The organization shall inform the auditor, during the following audit, regarding any corrective measures implemented.

Requirements which are not applicable to the audited organization will be marked with 'N.A.'

Description of the organization to be audited:

a) Name of the organization under audit:

b) Is the organization under audit part of a group? If yes, specify the name:

c) Address of the organization under audit:

d) Name, role and contact of the representative(s) of the organization under audit accompanying the auditor during the inspection:

e) Vessel(s) and their registration number, IMO number, port of registration and passenger capacity (crew included):

Name of the vessel	Registration Number	IMO Number	Port of Registration	Passenger Capacity

f) If the owner of the vessel(s) is different from the company, please specify:

g) Vessel(s) type (e.g.: reefer vessel, container vessel, cruise vessel, etc.):

h) List of routes:

i) Date of entry into service:

l) Vessel(s) inspected by the auditor:

n) Other relevant information:

- The Friend of the Sea project has been outlined to the company under audit**
(If not, the auditor shall provide a short description to the company)
- The organization was informed of the opportunity, in case of approval, of using the Friend of the Sea logo in association with its activity** (e.g. vessels, marketing material and website)
- The duration of the audit has been agreed upon**
- The information included in the Preliminary Information Form (PIF) has been confirmed as valid** (in the case of changes to the PIF, an updated version has to be promptly provided).

CERTIFICATION BODY:	AUDIT TEAM:	AUDIT START AND END DATE:
SIGNATURE OF AUDITOR:	NAME OF THE PERSON IN CHARGE OF THE ORGANISATION AND ACCOMPANYING THE AUDITOR DURING THE AUDIT:	AUDIT CODE:
		TYPE OF AUDIT (Initial, Surveillance, Renewal and additional)

NOTES TO THE AUDITOR

- 1)** The auditor shall fill out all fields in the checklist.
- 2)** The Auditor shall provide an explanation when requirements are not applicable.
- 3)** The Auditor shall write YES when the unit of certification complies with a requirement and NO when it does not.
- 4)** The Auditor shall comment and explain the positive or negative answers. Simple "YES," "NO," or "N.A." are insufficient.
- 5)** Each relevant document shall be added to the final audit report in a separate and numbered attachment.
- 6)** Photographic and video evidence added to the checklist or attached are appreciated.

1. Compliance with Regulations

No.	Requirement	Level	Y/N/ N.A.	Comments
1.1	The vessel has all safety and navigational certificate valid and fully comply with IMO regulations.	Essential		

2. Flag of Convenience

No.	Requirement	Level	Y/N/ N.A.	Comments
2.1	The vessel does not use a Flag of Convenience. If the vessel uses a Flag of Convenience, evidence must be provided that it is still fully compliant with environmental and social regulations as per the requirements of this standard.	Essential		

3. Engagement to reduce Whale Ship Strikes

No.	Requirement	Level	Y/N/ N.A.	Comments
3.1	<p>The vessel owner engages in avoiding whale ship strikes by means of whale detection measures:</p> <ul style="list-style-type: none"> • Additional lookout in High-Risk Areas and/or when spotted at sea. • Reduced speed and routing adjustments. • Participation in Ship Reporting Systems. • Training Shipboard Resources. 	Essential		
3.2	<p>The vessel owner promotes on board and ashore the WHALE-SAFE culture, committing to implement the measures to achieve the Friend of the Sea Whale Safe Certification during the next dry dock.</p> <ul style="list-style-type: none"> • World Sustainability Organization Projects • Friend of the Sea - Save the Whales from Ship Strikes. • Whale Safe Certification Standards: https://friendofthesea.org/wp-content/uploads/Whale-Safe_Certification_Check_List_Standard_v.1.pdf 	Important		

4. Food Service and Catering on board

No.	Requirement	Level	Y/N/ N.A.	Comments
4.1	The company will give maximum support to serve on board sustainable and certified food. (FOS, FOE, MSC, GGAP, BAP, Naturland).	Important		
4.2	The company does not carry nor serve on board Dolphin-Unsafe Tuna.	Essential		
4.3	The served food and the catering on board does not include endangered or critically endangered species (whole animals or part of them) (IUCN).	Essential		

5. Research & Development (R&D)

No.	Requirement	Level	Y/N/ N.A.	Comments
5.1	The company is actively engaged, through collaboration with research organizations, in developing new technologies to reduce the impact of maritime transport on the marine environment (e.g. alternative fuel, fuel consumption reduction, heat recycling, alternative energy generator, innovative hull design, etc.).	Important		

6. Pollution Prevention and Ballast Management

No.	Requirement	Level	Y/N/ N.A.	Comments
6	The Organization must comply with the following regulations (where applicable by law) and provide the related evidence.			
6.1	MARPOL Annex VI Prevention of Air Pollution from Ships <ul style="list-style-type: none"> IAPP certification 	Essential		
6.1.1	Ozone Depleting Substances (ODS) <ul style="list-style-type: none"> Record Book Shore disposal logs 	Essential		
6.1.2	Nitrogen Oxides (NOx) <ul style="list-style-type: none"> Engine certification EIAPP Certificate 	Essential		
6.1.3	Sulphur Oxides (SOx) and Particulate Matter (2020 Sulphur cap) <ul style="list-style-type: none"> Multi fuel operations (LS/HS) LNG as marine fuel or other alternative fuels Sox scrubber systems 	Essential		
6.1.4	Volatile Organic Compounds (VOC)	Recommendation		
6.1.5	Shipboard Incineration <ul style="list-style-type: none"> Certification and Record Book Shore disposal logs 	Essential		
6.1.6	Energy efficiency regulations (CO2 emissions) <ul style="list-style-type: none"> International Energy Efficiency Certificate Ship Energy Efficiency Management Plan (SEEMP) Initial IMO GHG Strategy 	Essential		

6.1.7	Shoreside electrical power installed	Important		
6.2	<p>MARPOL Annex V Prevention of Garbage Pollution from Ships</p> <ul style="list-style-type: none"> • Statement of Conformance • Management Plan • Discharge Record Books • Recycling procedures • Measures to prevent dispersion of waste at sea (including plastic materials). 	Essential		
6.2.1	<p>If spotted, crew must collect floating fishing gear and equipment lost from other boats.</p> <p>All collected material shall be properly disposed of at port. If appropriate disposal facilities are not available, the company shall endeavor to work with port operators to provide adequate, affordable, and accessible disposal facilities.</p>	Recommendation		
6.2.2	The company does not hold or handle single-use plastic (e.g.: plastic bottles; straws; cutlery; plates, etc.) on the boat(s).	Important		
6.3	<p>MARPOL Annex IV Regulations for the Prevention of Pollution by Sewage from Ships</p> <ul style="list-style-type: none"> • ISPP Certificate (International Sewage Pollution Prevention Certificate) 	Essential		

6.4	MARPOL ANNEX III PREVENTION OF POLLUTION BY HARMFUL SUBSTANCES <ul style="list-style-type: none"> • Certification 	Essential		
6.5	MARPOL ANNEX II CONTROL OF POLLUTION BY NOXIOUS LIQUID SUBSTANCES IN BULK <ul style="list-style-type: none"> • Certification 	Essential		
6.6	MARPOL ANNEX I PREVENTION OF POLLUTION BY OIL <ul style="list-style-type: none"> • IOPP Certification • Log books 	Essential		
6.7	CONTROL AND MANAGEMENT OF SHIPS' BALLAST WATER AND SEDIMENTS <ul style="list-style-type: none"> • BW management plan • BW record book • International BW Management Certificate 	Essential		
6.8	INTERNATIONAL CONVENTION ON THE CONTROL OF HARMFUL ANTI-FOULING SYSTEMS ON SHIPS <ul style="list-style-type: none"> • International Anti-Fouling System Certificate 	Essential		
6.7	Stern tube oil: Biodegradable type. (Change to biodegradable type at first scheduled drydocking). Normal type due to air chamber shaft seal. Water lubrication.	Essential		

6.8	Gear oils for thrusters and controllable pitch (CP) propellers: Biodegradable type. (Change to biodegradable type at first scheduled drydocking). Not applicable if no thrusters and no CP propellers are installed.	Essential		
6.9	Crew awareness: documented education of personnel on environmental awareness, on procedures in case of pollutant dispersion (leak or shipwreck) to minimize impact on sea habitat, health risks and adequate protective equipment.	Essential		
6.10	Sea water intake: The hull opening for sea water intake must be protected to avoid the suction of marine creatures.	Essential		
6.11	The cooling water discharge must have a filtering system to prevent the release of non-endemic species.	Essential		
6.12	Shipyard (maintenance and scrapping): the owner company must refer for this to service providers that operate in a way that minimise the impact on marine environment.	Essential		

7. SOCIAL ACCOUNTABILITY

No.	Requirement	Level	Y/N/ N.A.	Comments
7.1	The unit of certification shall respect human rights, complying with the following requirements:	Essential		
7.1.1	Compliance with International Labor Organization and National Laws on child labor. <ul style="list-style-type: none"> • MLC - Maritime Labour Certificate (International) 	Essential		
7.1.2	Pay the employees adequate salaries compliant at least with the minimum legal wages.	Essential		
7.1.3	Grant employees' access to health care.	Essential		
7.1.4	Apply safety measures required by the law. Nonetheless, compliance with the minimum safety requirements are mandatory, even if not required by local law.	Essential		
7.1.5	Keep records of accidents or injuries.	Essential		
7.1.6	Freedom of association and collective bargaining.	Essential		
7.1.7	No forced or compulsory labor.	Essential		
7.1.8	No discrimination.	Essential		
7.1.9	Rights on board.	Essential		

8. Requirements for FISHING VESSELS ONLY

No.	Requirement	Level	Y/N/ N.A.	Comments
8.1	The vessel does not carry endangered or critically endangered species (whole animals or parts of them) (IUCN).	Essential		
8.2	The vessel does not carry shark fins, or product where shark fins are ingredients.	Essential		
8.3	The vessel does not carry products manufactured with ingredients derived from endangered species.	Essential		
8.4	The vessel does not carry seafood from an IUU listed vessel.	Essential		
8.5	Specialized reefer vessels which are performing high seas transshipment with fishing vessels shall:	Essential		
8.5.1	Use a Flag that is listed by one or more of the RFMOs as Member or CNCP.	Essential		
8.5.2	Have a valid fishing license, transshipment or transport license.	Essential		
8.5.3	Be equipped with an approved VMS tracking system.	Essential		

CONCLUSIONS:

The Auditor shall fill out the following fields:

The company COMPLIES with Friend of the Sea Sustainable Shipping Requirements

The company DOES NOT COMPLY with Friend of the Sea Sustainable Shipping Requirements

ESSENTIAL NON-CONFORMITIES (corrective plan to be produced within 3 weeks and correction within 1 year)

List essential Non-conformities

MINOR NON-CONFORMITIES (corrective plan to be produced within 3 weeks and correction within 1 year)

List minor non-conformities

RECOMMENDATIONS (to be communicated within the next inspection)

List recommendations