Non Conformance: Clause 4.1.

During audit found some vessels was not completed with SIPI (*Surat Ijin Penangkapan Ikan*) document and also found some license has expired.

Vessels with no license document:
1. Niat Sayang
2. Asti Sayang
3. Nur Baitullah
4. Salam Baitullah
5. Gemala NTT
6. Madani 02
7. Inka Mina 699
8. Inka Mina 697
9. Inka Mina 444
10. Kasih Irma
11. Iskandar 01
12. Tujuan Baru
13. Tujuan Besama
14. Hajar Aswad 01

Vessels with expired license (SIPI)
1. Merpati (expired 7 Dec 2015)
2. Padang pasir (expired 28 Nov 2015)
3. Ati Merenu (expired 11 Nov 2015)
4. Iskandar 02 (expired 20 Oct 2015)
5. Putra Kencana (expired 18 Dec 2015)
<table>
<thead>
<tr>
<th>AC proposal</th>
<th>☐ implementation</th>
<th>Req. N. ___</th>
</tr>
</thead>
</table>

Remark for the auditor: In case of implementation the auditor is kindly asked to provide evidences in attachment to this form.

**Implementation**

All the boats completed with licenses and completed revalidation.

---

**Auditor comments (not mandatory)**

It will be review during the following audit

<table>
<thead>
<tr>
<th>To be filled in by FoS</th>
<th>Close out date</th>
<th>(for internal check) Accepted by Dir or SA (underline the correct option)</th>
</tr>
</thead>
</table>
**Non Conformance: Clause 5.1.**

No evidence that company was updated regulation for Tuna catch from Ministry of Marine Affairs and Fisheries Republic Indonesia (Kepmen no 107/kepmen-KP-2015, *tentang Rencana Pengelolaan Perikanan Tuna, Cakalang dan Tongkol*).

---

**Auditor comments (not mandatory)**

The evidence has been reviewed and accepted. The procedure has required and established by factory

---

**AC proposal □ implementation □**

Remark for the auditor: In case of implementation the auditor is kindly asked to provide evidences in attachment to this form

**Implementation**

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**Close out date / /**

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**To be filled in by FoS**

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**FoS acceptance □ Internal check □ Accepted by Dir or SA (underline the correct option)**
Corrective Action Form

<table>
<thead>
<tr>
<th>Recommendation to be checked during the following audit (3Y)</th>
<th>Form 08.01</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minor NC proposal within three weeks</td>
<td>Rev. 01/09/2011</td>
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<tr>
<td>Major NC implementation within 3/6 months</td>
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Ref. Check list: Friend Of the Sea (FOS)

<table>
<thead>
<tr>
<th>Audit date: Maumere, 4 – 8 January 2016</th>
<th>CB: SGS Indonesia</th>
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</thead>
<tbody>
<tr>
<td>Ref. Requirement: 5.2</td>
<td>Auditor: Mr. Wawan Somala, Mr. Tri Laksana Budiawan</td>
</tr>
<tr>
<td>NC notification date: 7 January 2016</td>
<td>Deadline: 7 January 2017</td>
</tr>
<tr>
<td></td>
<td>Date of implementation/proposal: 28 January 2016</td>
</tr>
<tr>
<td>Notified by:</td>
<td>Checked by__________________</td>
</tr>
</tbody>
</table>

NC or recommendation description

**Non Conformance: Clause 5.2**

No procedure and evidence that company have a precautionary approach to protect the target stock and safeguard the marine environment.

**AC proposal ☐ implementation ☐**

Remark for the auditor: In case of implementation the auditor is kindly asked to provide evidences in attachment to this form.

Implementation

Auditor comments (not mandatory)

The evidence has been reviewed and accepted. The procedure has required and established by factory.

To be filled in by FoS Close out date / / FoS acceptance ☐ Internal check ☐ Accepted by Dir or SA (underline the correct option)
Non Conformance: Clause 5.3

No evidence and procedure how to monitoring, surveillance, control and application

Auditor comments (not mandatory)

The evidence has been reviewed and accepted. The procedure has required and established by factory
Non Conformance: Clause 5.9

No evidence that organization conduct inspection compliance with FOS requirement.

Implementation

Inspection: Safety equipments, life jacket, first aid box, Temporary rubbish bin/box, GPS, Telescope and documents.

Auditor comments (not mandatory)

The evidence has been reviewed and accepted.
**Corrective Action Form**

<table>
<thead>
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<tr>
<td>Major Nc</td>
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<table>
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<td>Auditor: Mr. Wawan Somala</td>
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<tr>
<td>Mr. Tri Laksana Budiawan</td>
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<table>
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</tr>
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<tbody>
<tr>
<td>Notified by:</td>
</tr>
<tr>
<td>Checked by__________________________</td>
</tr>
<tr>
<td>Accepted Yes ☐ No ☐</td>
</tr>
</tbody>
</table>

**Non Conformance: Clause 6.4**

Refrigerant R-22 (Included CFC categories) is used for F/G warehouse (cold storage).

**AC proposal ☐ implementation ☐**

*Remark for the auditor: In case of implementation the auditor is kindly asked to provide evidences in attachment to this form*

**Implementation**

![Image](image1.png)

**Auditor comments (not mandatory)**

The evidence has been reviewed and accepted. The refrigerant change to non CFC category

**To be filled in by FoS**

<table>
<thead>
<tr>
<th>Close out date</th>
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</thead>
</table>

| Internal check | Accepted by Dir or SA (underline the correct option) |
**Non Conformance: Clause 8.1.4**

No evidence that emergency response procedure and emergency evacuation drill was conducted on the organization.

Found during audit Fire extinguisher on Processing tuna loin put direct contact on the floor and empty.

During vessel inspection life jacket was stored at chamber that not easy to take and used in emergency situation.

**AC proposal ☐ implementation ☐**

**Remark for the auditor:** In case of implementation the auditor is kindly asked to provide evidences in attachment to this form.

**Implementation**

![Fire extinguisher](image1.png)

![Life jacket](image2.png)

![Chamber](image3.png)

**Auditor comments (not mandatory)**
The evidence has been reviewed and accepted. The procedure has required and established by factory

| To be filled in by FoS | Close out date / / | FoS acceptance☐Internal check)Accepted by Dir or SA (underline the correct option) |
Non Conformance: Clause 7.2

Carbon Footprint per unit of product has not yet calculated and objective is not established

Auditor comments (not mandatory)

It will be reviewed and attested during the following audit (3Y)
Non Conformance: Clause 8.2
The organization is not presently certified for SA8000

Proposal: PT.KCBS will registre for SA8000

Auditor comments (not mandatory)
It will be review during the following audit (3Y)

To be filled in by FoS Close out date / / FoS acceptance☐Internal check)Accepted by Dir or SA (underline the correct option)