| Corrective Action Form  | Reco<br>Mino<br>Majo                          | A STATE OF THE STA | □ propose  | hecked during to all within three we nentation within |  | Form 08<br>Rev.0                     | 3.01<br>01/09/2011               |
|---|---|--|--|---|--|--------------------------------------|----------------------------------|
| Ref. Check list   |   | *  | 3  | Company   | name:Minh  | Dang                                 |                                  |
| Audit date_9-14 <sup>th</sup> Nov<br>2015   | CB:   | _SGS Vie   | tnam   |   | any Ltd  | 248                                  |                                  |
| Ref. Requirement:<br>4.5.2 FOS Wild<br>Generic 01072015 rev<br>01   | Auditor                                       | :_Pham   | Thi Binh   | Xuyen Di<br>Contact p                                 | dited:83 provinci<br>st, Soc Trang province<br>person:Le Thi Bio<br>details: Le Thi Bio<br>minhdangseafood.c | nce Vietna<br>ch Hop<br>ch Hop       | 2000 C - 100 C 200 C 200 C 100 C |
| NC notification date 14<br>/2015  | /11   | Deadli<br>/2015  | ne 05 / 12   | Date of in  | mplementation/pro  | posal                                | 11                               |
| Notified byPham Thi   | Binh _  | Check  | ed by Le Th  | och Hop   | Accepted Yes [   | No No                                |                                  |
| Minor NC 01: The log<br>Capture Fisheries and<br>issued the catch certif<br>However it should be<br>Only one copy of log I<br>formosana, loligo edu | l Resour<br>icate .<br>kept on o<br>oook of v | ces Pro<br>copy file<br>ressel N   | tection by the<br>es for all certific<br>lo ST 90748 ( | Master of fi<br>ed versel as<br>Tran De - S           | shing vessel afte<br>s the versel recor<br>oc Trang province   | r a•catch<br>ds to ver<br>) for loli | trip for<br>rify.<br>go          |
|   |   | AC   | proposal 🗆   | implementa  | tion 🗆   |                                      | Req. N.                          |
| Remark for the auditor: In ca   | se of imple                                   | mentation  | the auditor is kind                                    | ly asked to pro                                       | vide evidences in attach   | ment to thi                          | is form                          |
|   |   |  |  |   |  |                                      |                                  |
|   |   | Aud  | itor comments  | (not mandate  | ory)   |                                      |                                  |
|   |   |  |  |   |  |                                      |                                  |
| To be filled in by FoS Close  | out date                                      | 11   | FoS acceptance   | (for internal chec                                    | k) Accepted by Dir or SA   | (underline th                        | ne correct option)               |

| Corrective Action Form                                       | Minor NC ⊠ propos                         | checked during the following audit (3Y) Form 0 Rev.0 Rev.0  |            |
|--|---|---|------------|
| Ref. Check list  | · · · · · · · · · · · · · · · · · · ·     | Company name:Minh Dang  |            |
| Audit date_9-14 <sup>th</sup> Nov<br>2015                    | CB:SGS Vietnam                            | Company Ltd   | 5          |
| Ref. Requirement: 5.5<br>FOS Wild Generic<br>01072015 rev 01 | Auditor :_Pham Thi Binh                   | Site(s) audited:83 provincial Road<br>Xuyen Dist , Soc Trang province Vietr<br>Contact person:Le Thi Bich Hop _<br>Contact details: Le Thi Bich Hop<br>[hop.le@minhdangseafood.com) | nam        |
| NC notification date 14<br>2015                              | /11 Deadline 05 / 12 /2015                | Date of implementation/proposal   | 11         |
| Notified by Pham Thi B                                       | inh _ Checked by Le The V                 | Brich Hop Accepted Yes 19 No  |            |
| Minor NC 02: No disca  | NC or recommendate                        | tion description<br>er discards records defined 0% discards I   | Req. N. 02 |
|  | AC proposal 🗆                             | implementation  | Req. N.    |
| emark for the auditor: In cas                                | e of implementation the auditor is kindle | ly asked to provide evidences in attachment to the  | nis form   |
|  |   | not mandatory)  |            |

| Corrective Action<br>Form  | Mino                | ommendation<br>or NC<br>or Nc  | propos                    | hecked during t<br>al within three<br>nentation within |  |                                  | Form 08<br>Rev.0     | 01/09/2011  |
|--|---------------------|--------------------------------|---------------------------|--|--|----------------------------------|----------------------|-------------|
| Ref. Check list  |                     | •                              |                           | Company  | name:N   | finh D                           | ang                  |             |
| Audit date_9-14 <sup>th</sup> Nov<br>2015  | CB:                 | _SGS Vietnan                   | 1                         |  | any Ltd  |                                  | 9                    |             |
| Ref. Requirement: 5.6<br>FOS Wild Generic<br>01072015 rev 01   | Auditor             | r :_Pham Thi I                 | Binh<br>—                 | Contact of   | dited:83 pi<br>st , Soc Trang<br>person:Le<br>letails: Le<br>minhdangsea | province<br>Thi Bich<br>Thi Bich | Vietna<br>Hop<br>Hop |             |
| NC notification date 14/2015   | /11                 | Deadline<br>/2015              | 05 / 12                   | Date of in   | nplementatio   | n/propo                          | sal                  | 11          |
| Notified by _Pham Thi I  | Binh _              | Checked by                     | 1e This                   | ich Hop  | Accepted   | Yes 🗵                            | No                   |             |
|  |                     | NC or rec                      | commendat                 | tion descripti   | on   |                                  |                      | "Req. N. 03 |
| Local Department of Ca<br>Environment Police as d<br>Ministry . Each vessel co<br>accidental catching of e | efined in omplied w | the declare N<br>vith by thems | o. 02/2006<br>elves . How | /TT -BTS dat<br>vever the ma                           | ed 20.03.200 nagement sys  | 6 issued                         | by Fish              | ery         |
|  |                     | AC propo                       | osal 🗆 i                  | implementat  | ion 🗅  |                                  |                      | Req. N.     |
| Remark for the auditor: In ca  | se of imple         |                                | The second second second  |  | Marie Call   | attachme                         | nt to thi            |             |
|  |                     |                                |                           |  |  |                                  |                      | S IUIIII    |
|  |                     | Auditor c                      | omments (                 | not mandato  | ry)  |                                  |                      | SOUTH       |

| Notified by _Pham Thi Binh Checked by _Pham Thi Binh NC or recommodified in the process of the contained and transport of the pert waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling process.  AC proposal Remark for the auditor: In case of implementation the audit  | to be checked during the following audit (3Y) Form 08.01 proposal within three weeks Rev.0 01/09/2011 implementation within 3/6 months   |
|---|--|
| Ref. Requirement: 6.1 FOS Wild Generic 01072015 rev 01  NC notification date 14 / 11 Deadline 05 /2015  Notified by _Pham Thi Binh Checked by _e  NC or recom  Minor NC 04 : The recycles, re-uses or re-process been contained , conservation and transport of the De Port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling process.  AC proposal Remark for the auditor: In case of implementation the audit | Company name:Minh Dang   |
| NC notification date 14 / 11 Deadline 05 /2015  Notified by _Pham Thi Binh Checked by \_e  NC or recom  Minor NC 04: The recycles, re-uses or re-process been contained, conservation and transport of the De Port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling proco.  AC proposal Remark for the auditor: In case of implementation the audit  | Company Ltd  |
| Notified by _Pham Thi Binh Checked by _e  NC or recommoder of the recycles, re-uses or re-processed to and transport of the port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling poco.  AC proposal Remark for the auditor: In case of implementation the audit   | Site(s) audited:83 provincial Road No. 8 , My Xuyen Dist , Soc Trang province Vietnam Contact person:Le Thi Bich Hop Contact details: Le Thi Bich Hop [hop.le@minhdangseafood.com) |
| Minor NC 04: The recycles, re-uses or re-process been contained, conservation and transport of the De Port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling process.  AC proposal Remark for the auditor: In case of implementation the audit  | 12 Date of implementation/proposal / /   |
| Minor NC 04: The recycles, re-uses or re-process been contained, conservation and transport of the De Port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling p. Co.  AC proposal Remark for the auditor: In case of implementation the audit  | The Bich Hop Accepted Yes  No  |
| Minor NC 04: The recycles, re-uses or re-process been contained, conservation and transport of the De Port waste treatment service Co. The basket whowever the records of the Tran De Port waste that it was transport of the fish up to the selling process.  AC proposal Remark for the auditor: In case of implementation the audit  |  |
| been contained, conservation and transport of the De Port waste treatment service Co. The basket we however the records of the Tran De Port waste that it was transport of the fish up to the selling poor.  AC proposal Remark for the auditor: In case of implementation the audit  | nendation description Req. N. 0  |
| Remark for the auditor: In case of implementation the audit   | aste and waste collection package in place during the aud reatment Service Co has not been kept on file to ensure point and treated by Tran De Port waste treatment service        |
| Remark for the auditor: In case of implementation the audit   | ☐ implementation ☐ Req. N  |
| Auditor com   | r is kindly asked to provide evidences in attachment to this form  |
|   | ments (not mandatory)  |
|   |  |

|  | Minor N  | c 🗵                   | proposal within three v   |  | Form 08.01<br>Rev.0 01/09/2011 |
|--|--|-----------------------|---------------------------|--|--------------------------------|
| •  | Major N  | <u> </u>              | implementation within     | 3/6 months   |                                |
| Ref. Check list  |  |                       | Company                   | name:Minh  | Dang                           |
| Audit date_9-14 <sup>th</sup> Nov<br>2015                    | CB:SC  | GS Vietnam            | WEST CONTRACTOR           | any Ltd  |                                |
| Ref. Requirement: 6.2<br>FOS Wild Generic<br>01072015 rev 01 | Auditor :_   | Pham Thi Binh         | Xuyen Di<br>Contact p     | dited:83 provinci<br>st , Soc Trang provin<br>person:Le Thi Bio<br>details: Le Thi Bi<br>minhdangseafood.c | ch Hop<br>ch Hop               |
| NC notification date 14 /2015                                | The second secon | Deadline 05 /<br>2015 | 12 Date of in             | mplementation/pro  | posal / /                      |
| Notified by _Pham Thi B                                      | Sinh_ (  | checked by Le         | The Bich Hop              | Accepted Yes E   | ☑ No □                         |
|  |  |                       |                           | •  |                                |
|  |  | NC or recomm          | mendation descript        | ion  | Req. N. 05                     |
|  |  |                       |                           |  |                                |
|  |  |                       |                           |  |                                |
|  |  | AC proposal           | □ implementa              | tion   | Req. N                         |
| Remark for the auditor: In ca                                | se of impleme  |                       |                           |  |                                |
| Remark for the auditor: In ca                                | se of impleme  |                       |                           |  |                                |
| Remark for the auditor: In ca                                | se of impleme  | ntation the audito    |                           | vide evidences in attach   |                                |
| Remark for the auditor: In ca                                | se of impleme  | ntation the audito    | or is kindly asked to pro | vide evidences in attach   |                                |

| Form  | Minor<br>Major |  |  | propos            | hecked during the<br>al within three w<br>entation within | reeks   | udit (3Y                 |                            | orm 08<br>ev.0      | .01<br>01/09 | /2011   |
|---|----------------|--|--|-------------------|---|---|--------------------------|----------------------------|---------------------|--------------|---------|
| Ref. Check list   |                | •  |  | ,                 | Company   | name:]  | Minl                     | h D                        | ano                 | Co           | Ltd     |
| Audit date_9-14 <sup>th</sup> Nov<br>2015                               | CB:            | SGS Vietna   | m  |                   |   |   | San S                    | _                          |                     |              |         |
| Ref. Requirement:<br>8.1.4 FOS Wild<br>Generic 01072015 rev<br>01       | Auditor :      | _Pham Thi  | Binh   |                   | Xuyen Dist  | lited:83  <br>t , Soc Tran<br>erson:Le<br>etails: L<br>ninhdangse | g prov<br>Thi B<br>e Thi | ince \<br>lich H<br>Bich H | Vietna<br>op<br>lop | am           |         |
| NC notification date 14 /2015   | /11            | Deadline<br>/2015  | 05/  | 12                | Date of im  | plementat   | ion/pr                   | opos                       | al                  | 11           |         |
| Notified byPham Thi   | Bnh_           | Checked b  | v Le   | Mahi              | Bich Hop  | Accepted  | Yes                      |                            | No                  |              |         |
|   |                |  |  |                   | ,   |   |                          |                            |                     |              |         |
|   | ,              | NC or re   | comm   | nendat            | ion description   | on  |                          |                            |                     | Reg          | . N. 06 |
|   | e vessel a     | the safety<br>are on stan                                      | insur  | rance<br>by for t | certificate of  | the vessel h  | (G 620<br>on 1.12        | 003TS<br>2.200             | expir<br>5 as t     | e date       |         |
| 31.05.2015 . However th   | e vessel a     | the safety<br>are on stan                                      | insur  | rance<br>by for t | certificate of  | the vessel h  | (G 620<br>on 1.12        | 003TS<br>2.200             | expir<br>5 as t     | e date       |         |
| 31.05.2015 . However th<br>Vietnamese catching reg                      | e vessel a     | the safety<br>are on stan<br>r Paphia u                        | insuriding to indula                           | rance of tax.     | certificate of the next catch                             | the vessel hing begin o   | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |
| 31.05.2015 . However th<br>Vietnamese catching reg                      | e vessel a     | the safety<br>are on stan<br>r Paphia u                        | insuriding to indula                           | rance of tax.     | certificate of the next catch                             | the vessel hing begin o   | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |
| 31.05.2015 . However th Vietnamese catching reg                         | e vessel a     | the safety<br>are on stan<br>r Paphia u                        | insuriding to indula                           | rance of tax.     | certificate of the next catch                             | the vessel hing begin o   | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |
| 31.05.2015 . However th<br>Vietnamese catching reg                      | e vessel a     | the safety<br>are on stan<br>r Paphia u                        | insuriding to indula                           | rance of tax.     | certificate of the next catch                             | the vessel hing begin o   | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |
| Minor NC 06: At the til 31.05.2015. However the Vietnamese catching reg | e vessel a     | the safety<br>are on stan<br>r Paphia u<br>AC propentation the | oinsuri<br>ding t<br>ndula<br>posal<br>auditor | rance py for ta.  | certificate of the next catch                             | on  de evidences  | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |
| 31.05.2015 . However th Vietnamese catching reg                         | e vessel a     | the safety<br>are on stan<br>r Paphia u<br>AC propentation the | oinsuri<br>ding t<br>ndula<br>posal<br>auditor | rance py for ta.  | certificate of the next catch                             | on  de evidences  | on 1.12                  | 2.200                      | 5 as t              | e date       | ed      |