

Friend of the Sea Standard

FOS - Wild – Freezer Vessels Sustainable fishing Requirements

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Introduction

Friend of the Sea is a non-governmental organisation established in 2008, whose aim is to safeguard the marine environment and its resources incentivising a sustainable market and implementing specific conservation projects.

Friend of the Sea certification program allows assessment of fisheries and aquaculture products according to sustainability criteria and requirements. The certification, granted by Independent Certification Bodies following an audit, ensures that a product complies with the sustainability requirements.

The Friend of the Sea fishing certification program complies with the "**GUIDELINES FOR THE ECOLABELLING OF FISH AND FISHERY PRODUCTS FROM MARINE CAPTURE FISHERIES (FAO)**". All requirements refer to criteria which conform with the "Minimum substantive criteria" included in the following FAO Guidelines.

"Management systems

28. Requirement: *The fishery is conducted under a management system which is based upon good practice and that ensures the satisfaction of the requirements and criteria described in Paragraph 29. The management system and the fishery operate in compliance with the requirements of local, national and international law and regulations including the requirements of any regional fisheries management organization that manages the fisheries on the "stock under consideration".*

28.1 *For the "stock under consideration" there are documented management approaches with a well based expectation that management will be successful taking into account uncertainty and imprecision.*

28.2 *There are objectives, and as necessary, management measures to address pertinent aspects of the ecosystem effects of fishing as per paragraph 31.*

29. *The following criteria will apply to management systems for any fisheries, but it must be recognized that special consideration needs to be given to small-scale fisheries with respect to the availability of data and with respect to the fact that management systems can differ substantially for different types and scales of fisheries (e.g. small scale through to large scale commercial fisheries).*

29.1 *Adequate data and/or information are collected, maintained and assessed in accordance with applicable international standards and practices for evaluation of the current state and trends of the stocks⁴ (see below: Methodological aspects). This can include relevant traditional, fisher or community knowledge, provided its validity can be objectively verified.*

29.2 *In determining suitable conservation and management measures, the best scientific evidence available is taken into account by the designated authority, as well as consideration of relevant traditional fisher or community knowledge, provided its validity can be objectively verified, in order to evaluate the current state of the "stock under consideration"⁵ in relation to, where appropriate, stock specific target and limit reference points.*

29.2bis: *Taking due account of paragraph 32, for the "stock under consideration" the determination of suitable conservation and management measures should include or take account of:*

- *Total fishing mortality from all sources is considered in assessing the state of the "stock under consideration", including discards, unobserved mortality, incidental mortality, unreported catches and catches in other fisheries.*

- *Management targets are consistent with achieving maximum sustainable yield (MSY) (or a suitable proxy) on average, or a lesser fishing mortality if that is optimal in the circumstances of the fishery (e.g. multispecies fisheries) or to avoid severe adverse impacts on dependent predators.*

- *The management system should specify limits or directions in key performance indicators (see 30.2), consistent with avoiding recruitment overfishing or other impacts that are likely to be irreversible or very slowly reversible, and specify the actions to be taken if the limits are approached or the desired directions are not achieved.*

29.3 *Similarly, data and information, including relevant traditional, fisher or community knowledge, provided its validity can be objectively verified, are used to identify adverse impacts of the fishery on the ecosystem, and timely scientific advice is provided on the likelihood and magnitude of identified impacts (see paragraph 31).*

29.4 *The designated authorities adopt and effectively implement appropriate measures for the conservation and sustainable use of the "stock under consideration" based on the data, information and scientific advice referred to in the preceding bullets.⁷ Short-term considerations should not compromise the long-term conservation and sustainable use of fisheries resources.*

29.5 *An effective legal and administrative framework at the local, national or regional level, as appropriate, is established for the fishery⁸ and compliance is ensured through effective mechanisms for monitoring, surveillance, control and enforcement (see paragraph 6).*

29.6 *In accordance with the Code of Conduct Article 7.5, the precautionary approach is being implemented to protect the "stock under consideration" and to preserve the aquatic environment.*

Inter alia this will require that the absence of adequate scientific information should not be used as a reason for postponing or failing to take conservation and management measures.
Further, relevant uncertainties are being taken into account through a suitable method of risk assessment. Appropriate reference points are determined and remedial actions to be taken if reference points are approached or exceeded are specified.

Stocks under consideration

30. Requirement: The "stock under consideration" is not overfished, and is maintained at a level which promotes the objective of optimal utilization and maintains its availability for present and future generations taking into account that longer term changes in productivity can occur due to natural variability and/or impacts other than fishing. In the event that biomass drops well below such target levels, management measures (Code of Conduct Article 7.6) should allow for restoration within reasonable time frames of the stocks to such levels (see also paragraph 29.2.bis). The following criteria are applicable:

30.1 The "stock under consideration" is not overfished if it is above the associated limit reference point (or its proxy).

30.2 If fishing mortality (or its proxy) is above the associated limit reference point, actions should be taken to decrease the fishing mortality (or its proxy) below that limit reference point.

30.3 The structure and composition of the "stock under consideration" which contribute to its resilience are taken into account.

30.4 In the absence of specific information on the "stock under consideration", generic evidence based on similar stocks can be used for fisheries with low risk to that "stock under consideration". However, the greater the risk the more specific evidence is necessary to ascertain the sustainability of intensive fisheries.

Ecosystem considerations

31. Requirement: Adverse impacts of the fishery on the ecosystem should be appropriately assessed and effectively addressed. Much greater scientific uncertainty is to be expected in assessing possible adverse ecosystem impacts of fisheries than in assessing the state of target stocks. This issue can be addressed by taking a "risk assessment/risk management approach". For the purpose of development of ecolabelling schemes, the most probable adverse impacts should be considered, taking into account available scientific information, and traditional, fisher or community knowledge provided that its validity can be objectively verified. Those impacts that are likely to have serious consequences should be addressed. This may take the form of an immediate management response or further analysis of the identified risk. In this context, full recognition should be given to the special circumstances and requirements in developing countries and countries in transition, including financial and technical assistance, technology transfer, and training and scientific cooperation. The following criteria are to be interpreted in the context of avoiding high risk of severe adverse impacts:

31.1 Non target catches, including discards, of stocks other than the "stock under consideration" are monitored and should not threaten these non-target stocks with serious risk of extinction; if serious risks of extinction arise, effective remedial action should be taken.

31.2 The role of the "stock under consideration" in the foodweb is considered, and if it is a key prey species in the ecosystem, management measures are in place to avoid severe adverse impacts on dependent predators.

31.3 There is knowledge of the essential habitats for the "stock under consideration" and potential fishery impacts on them. Impacts on essential habitats and on habitats that are highly vulnerable to damage by the fishing gear involved are avoided, minimized or mitigated (Code of Conduct 7.2.2). In assessing fishery impacts, the full spatial range of the relevant habitat should be considered, not just that part of the spatial range that is potentially affected by fishing.

31.4 In the absence of specific information on the ecosystem impacts of fishing for the unit of certification, generic evidence based on similar fishery situations can be used for fisheries with low risk of severe adverse impact. However, the greater the risk the more specific evidence is necessary to ascertain the adequacy of mitigation measures.

Methodological aspects

Assessing current state and trends in target stocks

32. There are many ways in which state and trends in stocks may be evaluated, that fall short of the highly quantitative and data-demanding approaches to stock assessment that are often used for large scale fisheries in developed countries. Use of less elaborate methods for stock assessment should not preclude fisheries from possible certification for ecolabelling. However it should be noted that, to the extent that the application of such methods results in greater uncertainty about the state of the "stock under consideration", more precautionary approaches to managing fisheries on such resources will be required which may necessitate lower levels of utilization of the resource. There is a variety of management measures commonly used in small scale or low value fisheries that nonetheless can achieve quite adequate levels of protection for stocks in the face of uncertainty about the state of the resource. A past record of good management performance could be considered as supporting evidence of the adequacy of the management measures and the management system."

Friend of the Sea criteria and their compliance with Minimum Substantive Criteria (FAO)

For each of the following criteria whose compliance is verified in the course of the audit, the respective FAO Minimum Substantive Criterion observed is mentioned in brackets.

1. Status of stock (30)
2. Ecosystem Impact (31)
3. Selectivity (31)
4. Legal Compliance (28)
5. Management (28, 29)
6. Waste management
7. Energy Management
8. Social Accountability

Each one of these criteria contains essential or important requirements or recommendations.

Essential Requirements. 100% conformity to Essential Requirements is mandatory in order for the Certification Body to certify the Organisation's product. Any lack of compliance with these requirements will generate a Major Non-Conformity and the Organisation has to undertake effective Corrective Actions, to be implemented within three months from the issuing of the Non-Conformity. The Organisation shall provide satisfactory evidence to the Certification Body of correction of all major non-conformities. Six months are allowed exclusively for correction of requirements 2.1 and 2.2, in consideration of their more complex nature.

Important Requirements. 100% conformity to Important Requirements is mandatory in order for the Certification Body to certify the Organisation's product. Any lack of compliance with these requirements is to be considered as a Minor Non-Conformity and the Organisation has to propose effective Corrective Actions (declaration of intents and implementation plan), to be submitted to the Certification Body within three weeks from the issuing of the Non Conformity. This proposal must also include a timetable concerning the implementation of each correction measure. Each proposed Corrective Action must be fully implemented within the following twelve months.

Recommendations. Compliance with Recommendations is not mandatory for the product to be certified. However compliance with Recommendation will be verified during the audit and any deficiency (k day du) will be included in the Audit Report as a Recommendation. The Organisation shall inform the Certification Body, during the following audit, regarding any corrective measures implemented.

Requirements which are not applicable to the audited Organisation will be marked with "N.A."

Description of the Organisation

This document shall only be filled out by personnel of the Certification Body in charge of the audit. It must be filled out in English.

a) NAME OF THE ORGANISATION TO BE AUDITED:

INVERSIONES PRISCO S.A.C.

b) NAME OF THE ORGANISATION REQUESTING THE AUDIT:

INVERSIONES PRISCO S.A.C.

c) IS THE ORGANISATION TO BE AUDITED PART OF A GROUP? IF SO, PLEASE SPECIFY THE NAME OF THE GROUP:

d) ADDRESS OF THE ORGANISATION TO BE AUDITED:

Sector Tierras planas o llano cultivable lote 33, altura Km. 16 de la carretera Pisco – Paracas, Zona Industrial del distrito de Paracas, Provincia de Pisco, Ica, Peru.

d) NAME AND CONTACTS OF THE PERSON WITHIN THE ORGANISATION RESPONSIBLE FOR THE AUDIT AND CONTACTS WITH THE AUDITOR:

Héctor Zúñiga Mendoza / Jefe de aseguramiento de calidad.

f) FLEET TO BE AUDITED:

Name of the fishing vessel	Registration number	Vessel's flag	Fishing method	Capacity (MT)	Unloading harbor	Ship owner if different from a)
Veronica I	PS-29005-BM	PERU	CERCO	08.55	Pisco.	Carlos Uchuya Correa.
Elisban I	PS-28535-BM	PERU	CERCO	09.94	Pisco.	Juan Pablo Cuba Campos.
Mi Margarita II	PS-28852-BM	PERU	CERCO	08.9	Pisco.	Richard Soto García.
Luis III	PS-022061-BM	PERU	CERCO	09.94	Pisco.	Vicente García Soto.
Tigre de la Malasia II	PS-22282-BM	PERU	CERCO	09.94	Pisco.	Alex Borjas García.
Junior y Pilar I	PS-29057-BM	PERU	CERCO	09.94	Pisco.	José Casella Oxley.
Don Miguel	PS-27932-BM	PERU	CERCO	09.94	Pisco.	Carlos Medina Díaz.
Don Felix	PS-23093-BM	PERU	CERCO	09.94	Pisco.	Cyntihia Medina Jimenez.
Marion	PS-0843-BM	PERU	CERCO	09.7	Pisco.	Isabel Flores Vasquez.
Gianella	PS-28827-BM	PERU	CERCO	09.94	Pisco.	José Casella Oxley.
Sandokan	PS-23205-BM	PERU	CERCO	09.94	Pisco.	Julio García Wong.
Doña Lidia	PS-29605-BM	PERU	CERCO	09.93	Pisco.	Ever Candia Cano.
Georgina	PS-	PERU	CERCO	09.94	Pisco.	Jaime Casas

Thatiana	021948-BM					Rebatta.
Doña Lidia	PS-29605-BM	PERU	CERCO	09.94	Pisco.	Raul García Torres.

g) VESSELS AUDITED ON SITE: (the auditor must list the vessels which have actually been audited on site as sample representing the fleet)

Name of the fishing vessel	Registration number	Unloading harbor
Veronica I	PS-29005-BM	Pisco.
Marion	PS-0843- BM	Pisco.
Mi Margarita II	PS-28852-BM	Pisco.
Gianella	PS-28827-BM	Pisco.

h) FISHING ZONE (*Coordinates and/or FAO area and/or subarea and/or ICES area and/or EEZ. If available please include also a map*)

Area FAO 87

i) COMMON AND SCIENTIFIC NAME OF THE SPECIES TO BE AUDITED

Common Name	Scientific Name
Anchoveta.	Engraulis ringens.

j) TOTAL NUMBER OF EMPLOYEES:

835 trabajadores.

k) ENVIRONMENTAL CERTIFICATIONS AND AWARDS

INVERSIONES PRISCO S.A.C. tiene vigente la certificación BRC categoría 4.11, certificado N° 100609 vigente hasta el 06 Agosto 2017.

INVERSIONES PRISCO S.A.C. tiene vigente la certificación HACCP, certificado N° PE16.00034 vigente hasta el 06 Agosto 2017.

I) ADDITIONAL INFORMATION:

- The Friend of the Sea project was introduced** (*If not the Auditor must provide a short description*)
- The Organisation and the shipowners were informed of the opportunity, in case of approval, of using the Friend of the Sea logo on the certified products**
- The Organisation has a document qualifying and confirming the roles of the staff carrying out the audit**
- The duration of the Audit was agreed**
- The information included in the Preliminary Information Form has been confirmed:** (in case of changes to the PIF, an updated version has to be promptly provided)

CERTIFICATION BODY: SGS DEL PERU S.A.C.	AUDIT TEAM: Rufino Alemán Del Rosario.	AUDIT START AND END DATE: 24, 25 Noviembre 2016.
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SIGNATURE OF AUDITOR:	NAME OF THE PERSON IN CHARGE FROM THE ORGANISATION AND ACCOMPANYING THE AUDITOR DURING THE AUDIT: Héctor Zúñiga Mendoza / Jefe de aseguramiento de calidad. Mario Alberto Quinde Cuzcano / Jefe de planta de conservas.	AUDIT CODE:

NOTES TO THE AUDITOR

- 1) The auditor must fill out all fields in the checklist.
- 2) Checklist compilation guidelines are highlighted in the blue boxes.
- 3) The Auditor must provide explanation when requirements are not applicable.
- 4) The Auditor must write YES when the Organisation complies with a requirement and NO when it does not.
- 5) The Auditor must comment and explain the positive or negative answers. YES, NO, N.A. are not enough
- 6) Each relevant document must be added to the final Audit Report in a separate and numbered attachment
- 7) Photographic explanations added to the checklist or attached are appreciated

1 – STOCK STATUS

No.	Requirement	Level	Quantitative parameters	Y/N	Comments
1.1	Up-to-date data and/or information on the stock status is available from one of the following: FAO, Regional Fisheries Management Organisation, Marine Research National Authority, University, other independent research institute. This data concludes that the stock is NOT:			Y	En Perú el Instituto del Mar Peruano (IMARPE) es la entidad científica que evalúa los stocks de anchoveta y caballa para luego determinar las cuotas de captura con el objetivo de preservar la existencia del recurso.
1.1.1	Data deficient	Essential		Y	<p>La pesquería de anchoveta es gestionada con la evaluación, investigación y recomendaciones de la entidad científica en el Perú el Instituto del Mar del Perú (IMARPE) para garantizar el control y preservación de los stocks de los recursos.</p> <p>Actualmente IMARPE mediante oficio N° 530 – 2016 IMARPE/ CD emitió el informe de trabajo "LA ANCHOVETA Y LA ORDENACION DE SU PESQUERIA EN EL CONTEXTO DE LA DINAMICA DEL MAR PERUANO", establece las recomendaciones y conclusiones para definir los límites máximos de captura para el consumo humano directo por períodos anuales.</p> <p>El ministerio de la producción ha emitido la resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) basados en el informe con las recomendaciones de IMARPE que establece las capacidades de bodega de las embarcaciones artesanales hasta 32.6 metros cúbicos, operaciones de pesca a 03 millas de la costa, mantenimiento del sistema de monitoreo satelital, sistema de preservación a bordo, tamaño de malla de la red, talla mínima de la anchoveta y captura con especies incidentales (caballa, jurel) teniendo como límite máximo permisible el 5%.</p>

1.1.2	Over-exploited ($F > F_{\text{msy}}$)	Essential	$F < F_{\text{msy}}$ within probability range of available stock assessments	Y	El IMARPE es la autoridad científica responsable de monitorear el stock de anchoveta y el ministerio de la producción es responsable de verificar la legalidad de las embarcaciones y las descargas de anchoveta con el objetivo de mantener el stock máximo para la sostenibilidad del stock de anchoveta.
1.1.3	Over-Fished ($B < B_{\text{msy}}$)	Essential	$B > B_{\text{msy}}$ within probability range of available stock assessments	Y	El IMARPE es la autoridad científica responsable de monitorear el stock de anchoveta y el ministerio de la producción es responsable de verificar la legalidad de las embarcaciones artesanales y las descargas de anchoveta con el objetivo de evitar la sobre pesca de anchoveta y garantizar la sostenibilidad del stock de anchoveta.
<i>The Auditor must take into consideration only the most up-to-date official studies on the stock status. These studies can be provided by the company to be audited, by Friend of the Sea, by other interested parties and by the auditor. Most updated stock assessments for tuna resources are carried out by the tuna RFMOs (IOTC, IATTC, CIAT, WCPFC). The Auditor must provide evidence on the conclusion regarding the status of the stock including clear reference to documents and websites.</i>					
1.2	Requirement 1.1 and sub do not apply to Fisheries or fleets which comply with all other requirements and which are not responsible for stock over-exploitation and do not catch more than 10% in weight of total fish in the stock under consideration.	Essential	Weight of catches by fishery with same fishing method as the one under audit, same capacity and targeting same stock is not over 10% of total catches from the same stock.		
<i>The Auditor must fill-in these fields ONLY in case of negative answer to the 1.1 requirement</i>					

2 – ECOSYSTEM IMPACT

No.	Requirement	Level	Quantitative parameters	Y/N	Comments
2.1	The fishery or fleet complies with Marine Protected Areas regulation.		Verify compliance also by use of VMS and plotters tracking and World database www.mpaglobal.net	Y	La resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) basada en el informe con las recomendaciones de IMARPE establece la implementación del sistema de monitoreo satelital. Toda la flota habilitada es monitoreada desde el zarpe, navegación, captura y descarga mediante el sistema GPS, La información es registrada en la base de datos electrónica Titanium. Este sistema tiene demarcadas las 03 millas costeras y las zonas de reserva para controlar, informar y evitar el ingreso de la flota a las zonas de protección.
<i>The Auditor, through random sampling, using the Satellite Control System on the vessels or valid alternative evidence, must verify that the fishing activity is not carried out in infringement of Marine Protected Areas (MPA). Alternatively an official declaration from local Control Authorities must be produced. The Auditor must provide a list of Protected Marine Areas in the area (refer to www.mpaglobal.org).</i>					

2.2	The fishery or fleet must use fishing gears that do not affect the seabed unless proven that such impact is negligible.		The seabed and benthic marine wildlife must revert to their original conditions within a maximum of 30 days from the impact of the fishing gear on the seabed.	Y	Las redes y aparejos de la flota están diseñados, aprobados y certificados oficialmente para la pesca pelágica de anchoveta las cuales no afectan el fondo marino y solo realizan faenas de pesca durante las temporadas oficialmente autorizadas por el Gobierno Peruano luego de recibir las conclusiones y recomendaciones del IMARPE.
<i>The Auditor must collect conformity evidence.</i>					
2.3	The ecosystem impact of the fishery or fleet is taken into consideration by the RFMOs. (Cfr. Art. 31.2 of FAO guidelines 2009)	Recommendation	The RFMO must carry out studies which consider the impact of the fleet or fishery on the ecosystem and it must take this into account when producing managements advices.	N	En Perú no están implementados acuerdos internacionales para gestionar los stocks de anchoveta.
<i>The Auditor must provide evidence referring to all available studies.</i>					

3- SELECTIVITY

No.	Requirement	Level	Quantitative parameters	Y/N	Comments
3.1	Accidental catches must not include species listed in the IUCN red list of endangered species as Vulnerable or higher risk. The IUCN assessment must have been carried out no more than 10 years before and it must have not been outdated by a more recent stock assessment for the given species / stock.	Important	Bycatch studies must have been carried out by the relevant bodies (FAO or RFMOs or National Authorities or Universities) and they must provide information regarding level of bycatch and bycaught species These studies must not indicate the presence of species vulnerable or worse among the regularly (over 0.25% of total weight) species according to www.redlist.org .	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. evidencia un compromiso con la protección de especies en peligro de extinción, en caso de presentarse especies como: tortugas, delfines, lobos y otros mamíferos acuáticos, durante las faenas de pesca, estas especies se identifican y se liberan vivas al mar. Todas las embarcaciones artesanales tienen sus cuadernos de ocurrencias en los cuales se registran los eventuales avistamientos, presencia y liberaciones de tortugas, delfines, lobos y otros mamíferos acuáticos.

The Auditor must obtain a list of the species that are generally caught accidentally. Such list must be provided by the audited organisation with the available studies. The information included in the list must be compared with the accidental catches actually occurred on site at the time of unloading. The list must also be compared with the database of the IUCN red list www.redlist.org. The Auditor must provide a final document that shows if any of the accidentally caught species is included in the IUCN list.

3.2	The level of discard (in weight) must not be over 8% of total catch.		Discards are bycaught species which are not used for human consumption nor for fish meal or fishoil production.	Y	Para la pesquería de anchoveta el personal de la flota artesanal habilitada por Inversiones Prisco S.A.C. tienen el compromiso de evitar la captura con especies incidentales (caballa, jurel) teniendo como límite máximo permisible el 5% para las pesquerías de anchoveta establecido por la resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) y en cumplimiento con el dispositivo legal establecido por el Ministerio de la Producción R.M. 209-2001-PE Tallas mínimas de captura y tolerancia máxima de ejemplares juveniles de principales peces marinos e invertebrados.
3.3.1	<p>THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA).</p> <p>FADs (Fish Aggregating Devices)</p> <p>The fleet or fishery must provide a census of number of FADs deployed in the previous 12 months per vessel and its must report on a yearly basis to Friend of the Sea regarding FADs deployment per vessel.</p>	Important	Auditor must collect the data provided by the Fleet or fishery and attach it to the Audit Report		
3.3.2	<p>THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA).</p> <p>FADs (Fish Aggregating Devices)</p> <p>The fleet must use non entangling FADs only, to avoid entanglement of sharks and turtles.</p>	Important	Audit must collect evidence including pictures of FADs, purchase invoices with technical specifications to prove compliance.		

4 - LEGAL CONFORMITY

Nº	Requirement	Level	Quantitative parameters	Y/N	Comments
4.1	All fishing vessels must be officially registered.	Essential	Vessel registration and fishing license inspection.	Y	<p>Toda la flota artesanal habilitada por Inversiones Prisco S.A.C. está legalmente registrada y autorizada para las pesquerías de anchoveta por el Ministerio de la Producción PRODUCE en cumplimiento con la Ley General de Pesca N° 25977 del Perú. Las embarcaciones tienen sus autorizaciones de permiso de pesca actualizados y están registradas en la lista oficial de embarcaciones pesqueras para CHD (Consumo Humano Directo) actualizada del PRODUCE.</p> <p>El gobierno Peruano tiene establecido e implementado el D.S. N° 027-2003-PRODUCE para el Programa de vigilancia y control de la pesca y desembarque en el ámbito nacional mediante el cual los organismos de certificación autorizados verifican la legalidad de las embarcaciones, capacidad de bodega, muestreo y control de peso descargado de la anchoveta en cada descarga.</p>

The Auditor must request a list of all the fishing boats and the respective registration number. The Auditor must collect on site all the documents concerning the registration of at least 10% of the audited boats (copies of photos of the documents)

4.2	The fleet does not include boats with a flag of convenience.	Essential	The auditor must verify that the boat is not registered to another Nation identified as Flag of Convenience (http://www.itfseafarers.org/foc-registries.cfm).	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. no incluye embarcaciones con bandera de conveniencia.
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The Auditor must verify according to the website <http://www.itfseafarers.org/foc-registries.cfm>.

4.3	The fleet does not include IUU (illegal, unreported, unregulated) fishing vessels.	Essential	The boat cannot be included in the list http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:350:0038:0043:EN:PDF	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. no incluye embarcaciones ilegales.
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The Auditor must verify according to the list on the website <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:350:0038:0043:EN:PDF>

4.4	THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA). The fleet must be "Dolphin Safe" approved by the Earth Island Institute.	Essential	The organisation must be included in the Dolphin-Safe list of the Earth Island Institute: www.dolphinsafetuna.org		
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The Auditor must verify the conformity on the list www.dolphinsafetuna.org or else the company must sign the EII DS Policy and a copy must be included in the audit report

4.5	The fishing company complies with national and international regulations. Compliance with the following regulations in particular has to be confirmed and verified:	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. está legalmente establecida de acuerdo a los requisitos legales del Gobierno Peruano (Ley General de Pesca N° 25977) y de acuerdo con los requerimientos del código de pesca de la FAO. Las embarcaciones tienen sus autorizaciones de permiso de pesca actualizados y están registradas en la lista oficial de embarcaciones pesqueras para CHD (Consumo Humano Directo) actualizada del PRODUCE. El gobierno Peruano tiene establecido el D.S. N° 027-2003-PRODUCE para el Programa de vigilancia y control de la pesca y desembarque en el ámbito nacional. La legalidad de la flota es verificada en cada descarga por organismos de certificación que tienen implementado el sistema electrónico en línea con la pagina web del ministerio de la producción (PRODUCE) para confirmar su autorización oficial de pesca, el precintado de la radio baliza y que no tiene impedimentos legales.
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4.5.1	TAC (Total catching allowed)	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. tiene establecida su cuota de pesca. Esta cuota la establece el Ministerio de la Producción PRODUCE en cada temporada de pesca. Los organismos de certificación legalmente autorizados (SGS del Perú S.A.C., Bureau Veritas, Intertek.) verifican la especie, tallas, pesca incidental y el peso de la pesca en cada descarga y verifican que no sobrepase su capacidad de bodega.
4.5.2	Use of a logbook	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	Toda la flota artesanal habilitada por Inversiones Prisco S.A.C tiene su cuaderno de diario de pesca. En este cuaderno se registran las fechas de pesca, calas, especie, latitud, longitud, toneladas, firma y nombre del patrón.
4.5.3	Mesh size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	La malla de las redes de pesca en la flota artesanal habilitada por Inversiones Prisco S.A.C. cumplen las medidas establecidas por la Legislación Peruana: Resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) y R.M. 209-2001-PE Tallas mínimas de captura y tolerancia máxima de ejemplares juveniles de los principales peces marinos e invertebrados en cuyos anexos incluye las longitudes máximas de las mallas en las redes de cerco a bordo de embarcaciones para la pesca de anchoveta (13 mm.).
4.5.4	Net size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	Las redes de las embarcaciones cumplen las medidas establecidas por la Legislación Peruana. La longitud de las redes de pesca en la flota artesanal habilitada por Inversiones Prisco S.A.C. cumplen las medidas establecidas por la Legislación Peruana R.M. 225-2001-PE Longitud máxima de redes de cerco (máximo 18 brazas) en función a la capacidad de bodega de la embarcación (m^3).
4.5.5	Minimum size	essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	Para la pesquería de anchoveta el dispositivo legal del Perú establece la talla mínima de 12 cm. Para la pesca de anchoveta, D.S. 010-2009 Porcentaje máximo de juveniles y talla mínima de anchoveta. La Resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) y R.M. N° 209-2001-PE establecen la relación de tallas mínimas de captura y tolerancia máxima de especies juveniles de principales peces marinos e invertebrados incluye también a la anchoveta (12cm. / longitud total).

4.5.6	Distance from the shore	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators	Y	Toda la flota artesanal habilitada por Inversiones Prisco S.A.C. mantiene su compromiso y conocimiento de no pescar dentro de las 03 millas cerca de la costa en cumplimiento con la Resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016). Los patrones están capacitados para utilizar el GPS como sistema de monitoreo satelital y mantenerse fuera de las 03 millas.
4.5.7	Measures for the reduction of accidental catches	Essential	Countries fisheries laws available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators.	Y	La flota artesanal habilitada por Inversiones Prisco S.A.C. tiene el compromiso de evitar la captura con especies incidentales (caballa, jurel) teniendo como límite máximo permisible el 5% establecido por la Legislación Peruana para la pesquería de anchoveta. Además se tiene el compromiso de identificar, liberar y registrar la eventualidad de especies en extinción (mamíferos marinos, aves, tortugas).
4.5.8	No fishing in protected habitats	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators	Y	Inversiones Prisco S.A.C. mantiene informada a la flota para evitar la pesca en zonas suspendidas por presencia de juveniles, zonas de protección de la anchoveta dentro de las 03 millas costeras y zonas de reserva.
4.5.9	Use of forbidden gears, chemical substances and explosives	Essential	Countries' laws are available on the website FAO http://www.fao.org/fishery/countryprofiles/search/en . The Auditor must specify applicable indicators	Y	Durante la inspección a bordo de las embarcaciones auditadas no se evidenció la presencia de artefactos, productos químicos o explosivos.

The Auditor must verify, according to national and international regulations, that the aforementioned legal requirements are met and provide an exhaustive report with reference to the law. Where possible, the Auditor shall provide documents and photographs. A detailed description of the fishing regulation concerning each Country is available on FAO's website <http://www.fao.org/fishery/countryprofiles/search/en>

5 – MANAGEMENT

No.	Requirement	Level	Quantitative parameters	Y/N	Comments

5.1	The fishing company has a legal and administrative structure, locally, nationally or regionally, as appropriate (Code of conduct for responsible fishing, article 7.7.1).	Essential	Procedure and organisational chart.	Y	Inversiones Prisco S.A.C. tiene documentado el manual de organización y funciones SG – PRSI – MOF en el cual se establecen las responsabilidades y funciones de todos los niveles de personal. Inversiones Prisco S.A.C. esta establecido como procesador de conservas de anchoveta por el gobierno del Perú en cumplimiento de los lineamientos legales para controlar y preservar la pesca de anchoveta: D.S. N° 012-2001-Pe / Ley General de Pesca N° 25977, Resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016), D.L. N° 1084 Límites máximos de pesca de anchoveta para cada embarcación, D.S. 010-2009 Porcentaje máximo de juveniles y talla mínima de anchoveta, D.S. N° 027-2003-PRODUCE Programa de vigilancia y control de la pesca y desembarque en el ámbito nacional, R.M. N° 257-2009-PE norma de muestreo de Recursos Hidrobiológicos, D.S. N° 016-2007 Reglamento de inspecciones y sanciones pesqueras y acuícolas.
<i>The Auditor must verify and describe briefly the legal and administrative structure in force.</i>					
5.2	According to the Code of conduct (art 7.5) a precautionary approach is undertaken to protect the target stock and safeguard the marine environment.	Important	Procedure and evidence of conformity.	Y	En el Perú el Instituto del Mar del Perú (IMARPE) es la entidad científica que evalúa los stocks de anchoveta para determinar las cuotas de captura y preservar la existencia del recurso. Actualmente IMARPE mediante oficio N° 530 – 2016 IMARPE/ CD emitió el informe de trabajo "LA ANCHOVETA Y LA ORDENACION DE SU PESQUERIA EN EL CONTEXTO DE LA DINAMICA DEL MAR PERUANO", establece las recomendaciones y conclusiones para definir los límites máximos de captura para el consumo humano directo (CHD) por períodos anuales. El ministerio de la producción ha emitido la resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) basados en el informe con las recomendaciones de IMARPE que establece las capacidades de bodega de las embarcaciones artesanales hasta 32.6 metros cúbicos, operaciones de pesca a 03 millas de la costa, tamaño de malla de la red, talla mínima de la anchoveta y captura con especies incidentales (caballa, jurel) teniendo como límite máximo permisible el 5%.
<i>The Auditor must verify if the Country the flag of the fishing company refers to has ratified the FAO Code of conduct. Otherwise the Organisation must include a precautionary approach in their procedures.</i>					

5.3	The compliance with points 5.1 and 5.2 is achieved through monitoring, surveillance, control and application. (Code of conduct for responsible fishing, article 7.7.1)	Essential	Procedure and evidence of monitoring and control.	Y	Inversiones Prisco S.A.C. esta establecido oficialmente como procesador de conservas de anchoveta en cumplimiento con dispositivos legales requeridos por el Gobierno del Perú. Los lineamientos legales están establecidos para controlar y preservar la pesca de anchoveta: D.S. N° 012-2001-PE / Ley General de Pesca, Resolución ministerial N° 433 - 2016 PRODUCE (02 Noviembre 2016) Reglamento de ordenamiento pesquero del recurso anchoveta para consumo humano directo (CHD), D.S. N° 010-2009 Porcentaje máximo de juveniles y talla mínima de anchoveta, D.S. N° 027-2003-PRODUCE Programa de vigilancia y control de la pesca y desembarque en el ámbito nacional.
<i>The Auditor must describe briefly the monitoring, surveillance, control, and application methods.</i>					
5.4	The fleet or fishery must record bycatches per each fishing trip	Essential	Procedure and evidence of recording during at least one fishing trip.	Y	La flota artesanal habilitada de Inversiones Prisco S.A.C. mantiene conocimiento y procedimiento para identificar, liberar y registrar la presencia de aves, mamíferos marinos y tortugas durante las faenas de pesca.
5.5	The fleet or fishery must record discards.	Essential	Procedure and evidence of conformity	Y	La flota artesanal habilitada de Inversiones Prisco S.A.C. tiene implementados cuadernos de ocurrencias para registrar eventos de pesca incidental.
<i>The Auditor must provide evidence (photos or copies) of the report on accidental catches and discarded fish.</i>					
5.6	A management system to prevent possible accidental catching of endangered species must be in place.	Essential	Procedure and evidence of conformity.	Y	La alta dirección y personal de flota artesanal habilitada de Inversiones Prisco S.A.C. mantienen el compromiso de evaluar la pesca de cada lance para determinar la talla de la anchoveta y la presencia de otras especies (caballa, jurel y mamíferos acuáticos) con el objetivo de liberar los lances por presencia significativa o mayor al 5% de otras especies de peces y también de identificar y liberar mamíferos marinos, aves o tortugas.
5.7	The fleet implements a management program that guarantees that any live animals that may be accidentally caught are immediately released in the water under conditions that guarantee high chances of survival.	Essential	Procedure and evidence of conformity.	Y	El personal de flota artesanal habilitada de Inversiones Prisco S.A.C. tienen el conocimiento y compromiso para identificar y liberar en forma segura especies que accidentalmente están en los lances de anchoveta: mamíferos marinos, tortugas y aves.
5.8	The fleet is equipped with measures which guarantee a quick retrieval of lost fishing device to avoid "ghost fishing".	Essential	Procedure and evidence of conformity.	Y	El personal de flota artesanal habilitada de Inversiones Prisco S.A.C. tienen el conocimiento, compromiso y la responsabilidad para recuperar todo material de redes y aparejos que eventualmente pierden en el mar y evitar la pesca fantasma que afecta a las poblaciones de peces y predadores.
<i>The Auditor must obtain a copy of the aforementioned procedure.</i>					

5.9	The fleet has a full-time on-board inspector, approved by Friend of the Sea, who can reports compliance with Friend of the Sea requirements.	Important	Documental evidence of employment. At least one monthly report of the on-board inspector.	Y	En Perú la flota artesanal tiene el compromiso de mantener eventualmente a bordo un inspector asignado por el Ministerio de la producción para verificar las operaciones de pesca y reportar los hallazgos de la faena de pesca.
<i>The Auditor must verify the presence of the inspector and obtain their CV and contacts.</i>					
5.10	The fishing company implements "Threshold Reference Limits" or "Precaution Limits" for both, biomass and quantity of fish caught.	Important	Evidence of the values implemented	Y	<p>Actualmente IMARPE mediante oficio N° 530 – 2016 IMARPE/ CD emitió el informe de trabajo "LA ANCHOVETA Y LA ORDENACION DE SU PESQUERIA EN EL CONTEXTO DE LA DINAMICA DEL MAR PERUANO", establece las recomendaciones y conclusiones para definir los límites máximos de captura para el consumo humano directo (CHD) por períodos anuales.</p> <p>El ministerio de la producción ha emitido la resolución ministerial N° 433 – 2016 PRODUCE (02 Noviembre 2016) basados en el informe con las recomendaciones de IMARPE que establece las capacidades de bodega de las embarcaciones artesanales hasta 32.6 metros cúbicos, operaciones de pesca a 03 millas de la costa, tamaño de malla de la red, talla mínima de la anchoveta y captura con especies incidentales (caballa, jurel) teniendo como límite máximo permisible el 5%.</p> <p>Todas las descargas de anchoveta de la flota artesanal es verificada en los muelles artesanales por inspectores del Ministerio de la producción y de los organismos certificadores para verificar: Nombre de embarcación, matricula, especie, talla, cantidad. El peso es verificado en planta de proceso mediante balanzas oficialmente calibradas, precintadas por PRODUCE y se mantienen en línea para el control del ministerio de la producción.</p>

The Auditor must verify if the "Reference Points" and the "Precaution Limits" are set by the Regional Bodies and must verify they are complied with.

6 – WASTE MANAGEMENT

No.	Requirement	Level	Quantitative parameters	YN	Comments

6.1	The fishing company recycles, re-uses or re-processes all materials used during fishing, conservation and transport of the fish up to the selling point, including packaging.	Essential	Procedure and evidence of conformity.	Y	La flota artesanal habilitada de Inversiones Prisco S.A.C. tiene implementadas las Buenas Prácticas de Manufacturas (BPM) a Bordo para gestionar los residuos que se generan durante las faenas de pesca. Los residuos (plásticos, papeles, residuos orgánicos) se mantienen en contenedores y en las llegadas a puerto de descarga se entregan a los responsables para llevarlos a un destino seguro final en tierra. Los residuos peligrosos son entregados a una empresa autorizada (EPS-RS) aprobada por el Gobierno peruano para garantizar una gestión segura de estos residuos.
6.2	The fishing company implements measures to prevent dispersion of waste in the sea (including fuels and lubricants and plastic matter)	Essential	Procedure evidence of conformity.	Y	La flota artesanal habilitada de Inversiones Prisco S.A.C. tiene implementadas las Buenas Prácticas a Bordo para gestionar las materias de residuo que se generan durante las faenas de pesca. Los residuos (plásticos, papeles, residuos orgánicos) se mantienen en contenedores y en las llegadas a puerto de descarga se entregan a los responsables para llevarlos a un destino final seguro en tierra.
6.3	The fishing company utilises all the chemical non-toxic alternatives available in order to reduce the use of toxic, persistent or bio-accumulating substances.	Essential	Procedure evidence of conformity.	Y	La flota artesanal habilitada de Inversiones Prisco S.A.C. no utiliza químicos tóxicos para la pesca tampoco para el proceso de anchoveta.
6.4	The company does not use CFC, HCFC, HFC or other refrigerants that cause ozone depletion.	Essential	Procedure evidence of conformity.	Y	Por requerimiento legal las embarcaciones artesanales son insuladas y usan hielo para preservar la frescura de la anchoveta. En la planta de proceso se utiliza refrigerante 404 para el sistema de refrigeración.

The Auditor must provide procedures complete with photographic evidence.

7- ENERGY MANAGEMENT

No.	Requirement	Level	Quantitative parameters	Y/N	Comments

7.1	The Organisation must keep a register of the energy sources and use, updated at least once a year.	Essential	<p>The <u>at least yearly frequency</u> of the energy consumption records must be included in the procedure.</p> <p>The register must state at least the following parameters:</p> <ol style="list-style-type: none"> 1. incoming energy sources (renewable or not) 2. energy consumption per process line (fishing, processing, transport) 	Y	<p>Inversiones Prisco S.A.C. tiene un control diario, mensual y anual del consumo de energía en toda su flota y plantas productoras de conservas de pescado. Los resultados son evaluados para la toma de decisiones en proyectos de inversión en generación de energía y vapor para disminuir los consumos de combustibles y emisiones de CO₂.</p> <p>En las instalaciones de Inversiones Prisco S.A.C Pisco toda la iluminación esta implementada con luminarias LED para minimizar el consumo de energía.</p>
7.2	The Organisation should calculate its Carbon Footprint per product unit and engage to reduce it every year.	Recommendation		Y	<p>Inversiones Prisco S.A.C. no tiene calculados los bonos de carbón por unidad de producto.</p>
<i>The Auditor must request copies of the registers.</i>					

8- SOCIAL ACCOUNTABILITY

No.	Requirement	Level	Quantitative parameters	Y/N	Comments
8.1	The Organisation must respect human rights, complying with the following requirements:			Y	Inversiones Prisco S.A.C. cumple la legislación laboral establecida por el Gobierno Peruano de acuerdo a los lineamientos de OIT Ley General de Trabajo del Perú R.M. N° 136-2001-TR Síntesis de la Ley del Trabajo (OIT arrangement N°1), Ley general de Pesca N° 25977, Decreto Ley N° 728 Ley de la productividad y competencia laboral.
8.1.1	compliance with national regulations and ILO on child labour	Essential	Refer to ILO: http://www.ilo.org/global/stan-dards/introduction-to-international-labour-standards/lang--en/index.htm	Y	Inversiones Prisco S.A.C. cumple la legislación laboral establecida por el Gobierno Peruano de acuerdo a los lineamientos de la OIT y mantiene su compromiso de prohibir el trabajo a menores de edad. La empresa tiene el compromiso para facilitar el acceso de personas discapacitadas en labores que no comprometen su integridad física y mental.
8.1.2	pay the employees adequate salaries compliant at least with minimum legal wages	Essential	Minimum wages vary depending on the country. The Auditor must verify the Organisation knows about it.	Y	Los salarios de los trabajadores de la planta de proceso, tienen un salario básico mayor al mínimo vital establecido por el Gobierno Peruano. Los salarios de los trabajadores de planta se entregan semanalmente con la evidencia escrita de boletas de pago en las cuales se describen los pagos que realiza la empresa correspondientes a los derechos sociales del trabajador (ESSALUD, SENATI, SCTR / Seguro contra todo tipo de Riesgo), compensación de tiempos de servicios, vacaciones y gratificaciones establecidas por ley. Inversiones Prisco S.A.C. tiene contratos legalmente reconocidos con los armadores de la flota artesanal para facilitarles las condiciones seguras de pesca y asegurar los pagos de la pesca de acuerdo a los precios establecidos por el ministerio de la producción y el gremio de pescadores.
8.1.3	grant employees access to healthcare	Essential		Y	Inversiones Prisco S.A.C. es responsable de realizar los pagos para los seguros sociales de los trabajadores en ESSALUD para las atenciones médicas de las familias de los trabajadores y también tienen asegurados a todos los trabajadores con Seguros contra todo tipo de Riesgo.

8.1.4	apply safety measures required by the law	Essential		Y	Inversiones Prisco S.A.C. tiene asegurados a todos los trabajadores con Seguros contra todo tipo de Riesgo (SCTR). También realiza capacitaciones para prevenir accidentes de trabajo de acuerdo a la política implementada de seguridad ocupacional.
8.2	The organisation should be SA8000 certified.	Recommendation		N	Se recomienda a la empresa Inversiones Prisco S.A.C. la certificación SA8000.
<i>The Auditor must verify the compliance with the requirements through documental evidence (work-contract samples) and on-site observation.</i>					

Further comments:

CONCLUSIONS:

The Auditor must fill-in the following fields

The fleet COMPLIES with Friend of the Sea requirements

La flota artesanal habilitada y planta procesadora de Inversiones Prisco S.A.C. cumplen con los requerimientos establecidos en la norma Friend of the Sea.

The fleet DOES NOT COMPLY with Friend of the Sea requirements

MAJOR NON-CONFORMITIES (to be corrected within 3 months)

List major non conformities

MINOR NON-CONFORMITIES (corrective plan to be produced within 3 weeks and correction within 1 year)

List Minor non conformities

RECOMMENDATIONS (to be communicated within the next inspection)

Ítem 2.3 Se recomienda a Inversiones Prisco S.A.C. gestionar acuerdos regionales (RFMO) para mantener el control de los stocks de anchoveta y de los ecosistemas.

Ítem 7.2.

Se recomienda a Inversiones Prisco S.A.C. evaluar y calcular los bonos de carbono por unidad de producto para tener los indicadores que aseguran la reducción de emisiones de CO2 al ambiente.

Item 8.2.

Se recomienda a Inversiones Prisco S.A.C. implementar y certificar SA8000 de acuerdo a las recomendaciones de la norma Friend of the Sea.