

Friend of the Sea Standard

FOS – FAD FREE

**Criteria and Indicators for the Certification of the
“Friend of the Sea” FAD FREE tuna products**



Friend of the Sea

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REV	DATE	DESCRIPTION	APPROVAL
1	18/01/2014	First Issue	Paolo Bray
2	20/01/2015	Second Issue	Paolo Bray

Summary

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Introduction

Friend of the Sea is a non-governmental organization established in 2008, whose aim is to safeguard the marine environment and its resources incentivising a sustainable market and implementing specific protection and conservation projects.

Friend of the Sea certification standard assesses, according to sustainability criteria and indicators, products from fisheries and aquaculture. The audits are carried out by independent Certification Bodies.

Description of the Organization

This document must be compiled only by the Certifying Body and the Auditor in charge of the inspection; it must be filled in the mother tongue or in English only if spoken fluently.

a) NAME OF THE ORGANIZATION TO BE AUDITED:
b) NAME OF THE ORGANIZATION THAT REQUESTED THE AUDIT:

c) IS THE ORGANIZATION TO BE AUDITED PART OF A GROUP?

d) ADDRESS OF THE ORGANIZATION TO BE AUDITED:

e) NAME AND CONTACTS OF THE PERSON RESPONSIBLE FOR THE ORGANISATION TO BE AUDITED:

f) COMMON NAME OF THE AUDITED SPECIES:

(e.g.: Yellowfin tuna, Skipjack tuna)

f) SCIENTIFIC NAME OF THE SPECIES BRED:

(e.g.: Thunnus albacares, Katsuwonus pelamis)

h) AUDITED SITES AND VESSELS:

(list name of the site and location; fishing vessel's name and registration number)

i) SITES AND VESSELS VISITED BY THE AUDTIOR:

(if different from f) sites to be audited)

j) DESCRIPTION OF THE PRODUCTION:

l) COMPANY'S ACTIVITY IN RELATION TO THE PRODUCTS TO BE AUDITED: (please check appropriate ones):

- farming, fishing
- pre – processor
- end processor
- importer
- exporter
- distributor
- other (description):

m) DESCRIPTION OF THE FINAL PRODUCT:

(eg: fresh, frozen, canned, other)

n) BRANDS OF FINISHED PRODUCTS:

(List of brands under which the product is sold. If available include images of the brands)

o) DESCRIPTION AND LOCATION OF FREEZERS AND WAREHOUSES, IF ANY:

(For product traceability purposes)

p) TOTAL NUMBER OF EMPLOYEES:

optional

q) ENVIRONMENTAL CERTIFICATIONS AND AWARDS:

r) ADDITIONAL INFORMATION:

The Friend of the Sea project was presented

(If not the Auditor must provide a short description)

The Organisation was informed of the possibility, in case of approval, of using the Friend of the Sea logo on the certified products

The Organisation has a document certifying the roles of the staff carrying out the audit

The duration of the Audit was agreed

The information included in the Preliminary Information have been confirmed: (in case of changes send an update promptly)

NAME OF THE CERTIFYING BODY:	AUDIT TEAM:	START AND END DATE OF THE AUDIT :
SIGNATURE OF THE AUDITOR :	SIGNATURE OF THE PERSON IN CHARGE OF THE ORGANISATION THAT ACCOMPANIES THE AUDITOR DURING THE INSPECTION:	AUDIT CODE:

NOTES FOR THE AUDITOR

- 1) The auditor must fill-in all the fields in the checklist
- 2) The directions to fill-in the checklist are written in the blue fields
- 3) The Auditor must explain when the qualification requirements are not applicable
- 4) The Auditor must write YES when the Organisation complies with a requirement and NO when it doesn't
- 5) The Auditor must comment and explain the positive or negative answers. YES, NO, N.A. are not enough
- 6) Each relevant document must be added to the final Audit Report in a separate and numbered attachment
- 7) Photographic explanations added to the checklist and/or attached are appreciated

FAD FREE Chain of Custody requirements

Definitions

A **fish aggregating (or aggregation) device (FAD)** is a man-made object used to attract ocean going pelagic fish. Smart FADs include sonar and GPS capabilities so that the operator can remotely contact it via satellite to determine the population under the FAD.

A **FAD FREE or FREE SCHOOL tuna set** is one carried out during daylight, at least 1 nautical mile from FAD and not associated with smaller vessels

N.	Requirement	Level	S/N	Comments
1.1a	The organization has a trained independent observer onboard which can identify a FAD FREE or FREE SCHOOL tuna set.	Essential		
1.1b	In alternative to 1.1a the organization has deployed a CCTVs system which allows for verification of FAD FREE or FREE SCHOOL tuna sets.	Essential		
1.2	The organization separates FAD caught tuna from FAD FREE or FREE SCHOOL tuna by means of wells segregation or use of nets on same well.	Essential		
1.3a	The organization maintains a written report of wells' location of the FAD and FAD FREE or FREE SCHOOL caught tuna. This report can be included in the Log Book or on a separate report.	Essential		
1.3a	The trained independent onboard observer has confirmed the veracity of the report described in 1.3a	Essential		
1.4	In case of transshipment , the qualified FAD and FAD FREE or FREE SCHOOL tuna lots have been identified, kept segregated and their batch locations reported and verified by a trained independent observer.	Essential		
1.5	The organization identifies FAD and FAD FREE lots at time of unloading . New lots identifications are confirmed by a trained independent observer.	Essential		
1.6	The organization ensures the presence of a specific traceability system all through the processing line which assures that the final product labeled as FAD FREE or FREE SCHOOL originates from a FAD FREE or FREE SCHOOL set and that there is no possibility of mixing with FAD caught tuna lots.	Essential		
1.7	The organization provides a detailed identification of the origin of products, including:	Essential		

	- vessel name and registration number - fishing area - fishing method			
1.8	The organization carries out at least once a year a training course or an update for the employees involved in the maintenance of Chain of Custody, in order to achieve and maintain an adequate knowledge and preparation.	Important		
1.9	The Organization checks the functionality of the system, at least once a year	Important		
2.0	A product recall procedure is in place and it is tested at least once a year	Important		

Please register in the following space the traceability test carried out, specifying the relevant data collected.

Traceability test: Audits must include a test at the beginning and at the end of one or more batches of the finished product, contemplating suitable mass balances, bills, invoices and all relevant documents to prove origin of the product and his conformity to the standard

Further comments:

The Auditor must complete the following fields

- The products of Organization COMPLY with the FAD FREE requirements.**

- The products of Organisation DO NOT comply with the FAD FREE requirements.**

The Auditor reports the following non-conformities:

MAJOR NON-CONFORMITIES (to be conformed within 3 months)

Provide description and reference to the requirement's number.

MINOR NON-CONFORMITIES (to be reported within 3 weeks and confirmed within 1 year)

Provide description and reference to the requirement's number.

RECOMMENDATIONS

Provide description and reference to the requirement's number.