



Corrective Action Form

NC: Non-conformity. CA: Corrective action.

NC classification:

Essential requirements → Major / Important requirements → Minor / Recommendation → Recommendation

Major NC(s) 5 Implementation within 3/6 months	Form 08.01 Rev.02 – dated April 23rd, 2019
Minor NC(s) 1 Proposal within three weeks	
Recommendation(s) 4 To be checked during the following audit (3 years)	

Ref. Check list:		Company name: RAI SEAFOODS LIMITED	
Audit date: 21 – 23 August 2019	CB: CU	Site(s) audited: PT TOBA SURIMI INDUSTRIES	
Ref. Requirement:	Auditor:	Contact person: ROSIDA IDRIS & EDISON TARDY	
		Contact details: 0616- 871022	
NC notification date:	Notified by:	Type of audit:	
		Initial <input type="checkbox"/>	Additional <input type="checkbox"/>
Deadline:	Checked by:	Surveillance <input type="checkbox"/>	Recertification <input checked="" type="checkbox"/>
Date of proposal: 13 September 2019	Accepted by the CB: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		

Description of NC(s) and/or recommendation(s)

NC classification	N° Requirement	NC Description	CA Description*	Accepted (Y/N)
2 Ecosystem and Habitat Impact (Minor)	2.1	In general, organization provide research about effect of catching handline in WPP 571. however, the research provided was Not detail, the literature/data/information provided by Organization is not addressing 2.1. criteria and audit guidance of FOS.	Organization to conduct further research on the effect of fishery to the marine habitat & ecosystem. Organization communicates with local government and institutes regarding marine habitat & ecosystem. OK	Yes, date: 18/10/2019. Timeframe: before 20 Aug 2020.



5.Fishery Management (major)	5.4	Conformed with crew of vessel, that they are not record any by-catch.	<p>Corrective action: Organization conducting training/brief to the fishermen concerning procedure and to record any by-catch in their log book. Establish procedure of recording bycatch</p> <p>Correction: organisation provide fishing record for Mr Nasib (vessel registration no.: 0896/Distankan, quantity: 67 kgs) OK</p>	Yes, date: 15 Nov2019
5.Fishery Management (major)	5.5	Conformed with crew of vessel there is no record of discard fish	<p>Corrective action: to conduct training/brief to fishermen concerning procedure and to record any non-target catch in their log book. Establish procedure of recording bycatch</p> <p>Correction: organisation provide fishing record for Mr Nasib (vessel registration no.: 0896/Distankan, quantity: 67 kgs) OK</p>	Yes, date: 15 Nov2019
5.Fishery Management (major)	5.7	There is no document provided by organisation.	<p>Corrective action: Organization to create a procedure to reduce accidental catch of non-target species and giving training to fishermen.</p> <p>Correction: provide documents concerning management objective (National) regarding ecosystem structure. Document provided: establish procedure concerning how to reduce accidental catch of non-target species (document name: CPF/SOP-008) effective date 12 Nov 2019. OK</p>	Yes, date: 15 Nov2019
5.Fishery Management (major)	5.11.5	No evidence provided	<p>Corrective action: communicating to local authority and training to responsible personel of</p>	Yes, date: 15 Nov2019



			<p>toba surimi concerning management (national) objectives, outcome indicators and measures and also meeting with institutes regarding the collection of marine habitat & eco-system data.</p> <p>Correction: organisation provide literature regarding the objective of ecosystem structure, document name: Kebijakan nasional pengelolaan dan pengembangan kawasan konservasi perairan</p> <p>OK</p>	
8. Social Accountability (major)	8.1.3	<p>Check during site visit, Most of the fisherman has National insurance. However, found few crew can't provide evidence that they have national insurance (BPJS insurance)</p>	<p>Corrective action: Organization to ensure all existing and newly joined fishermen have National Healthcare (BPJS insurance). Establish a social policy to ensure all supplier has national insurance.</p> <p>Correction: fisherman which doesn't has national insurance yet, organisation support to arrange national insurance (evidence sent). Another fisherman which still doesn't has national insurance will be excluded from supplier list.</p> <p>OK</p>	<p>Yes, date: 15 Nov2019</p>

* The CB shall specify if the CA is related to a proposal or an implementation. In the case of implementation, the auditor is kindly asked to provide below the title(s) of document(s) attached as evidence to this form.

Explanation of the factors that allowed the NC(s):	
N° Requirement	Explanation (of root causes)
2.1	lack of understanding on FOS standard 2.1 ok
5.4	By-catch is very rare due to fishing techniques (handline and jig), so fisherman doesn't record it. ok
5.5	Non-target species being caught is very rare due to fishing techniques (handline and jig), so fisherman doesn't record it. ok
5.7	Non-target species being caught is very rare due to fishing techniques (handline and jig). Lack of understanding on FOS standard 5.7, so fisherman doesn't record it. ok
5.11.5	At the time of audit, the team did not receive local Government literature relating to marine eco-systems and their habitats. ok
8.1.3	Newly joined fishermen. ok

Explanation of the CA(s) required to ensure that a similar NC does not re-occur:	
N° Requirement	Explanation
2.1	Briefing or training will be regularly conducted based on: <ol style="list-style-type: none"> 1) Governmental literature or assessment on the effect of fishery to ecosystem 2) FOS standard point 2.1. ok
5.4	Briefing / refresher training on procedures and recording system will be conducted every 6 months by the organisation to the fishermen. Monitor regularly the logbook to ensure fishermen have recorded all catch including by-catch. ok
5.5	Briefing / refresher training on procedures and recording system will be conducted every 6 months by the organisation to the fishermen. Monitor regularly the logbook to ensure fishermen have recorded all catch including non-target species. ok
5.7	The organization will review procedures & implementation regularly. ok
5.11.5	Maintaining regular communication with the local Government and institution. ok
8.1.3	Organization to ensure all the existing and newly joined fishermen have access to National healthcare. ok

Date of closing out of each CA and explanation on how the problem was solved:	
N° Requirement	Date and Explanation
2.1	05 September 2019 (PT. Toba surimi has collected the information of ecosystem and habitat impact based on FOS standard 2.1). <i>Please find attached the CA evidence (Indonesia Marine Fellows Program – MFP ecosystem guidelines – December 2018) – ok</i>
5.4	15 Nov. 2019 (PT. Toba surimi conducted training/briefing to all fishermen related to the by-catch procedures). <i>Please find attached the CA evidence document no. CPF/SOP-008, together with the attendance list and implementation of recording by catch and discard fish. ok</i>
5.5	15 Nov. 2019 (PT. Toba surimi conducted training/briefing to all fishermen relating to non-target species procedure). <i>Please find attached the CA evidence document no. CPF/SOP-008, together with the attendance list and implementation of recording by catch and discard fish. ok</i>
5.7	15 Nov. 2019 (PT. Toba surimi conducted training/briefing to all fishermen regarding the procedures for releasing 'live' non-target species, after ensuring high chance of survival). <i>Please find attached the CA evidence document no. CPF/SOP-011 and establish procedure concerning how to reduce accidental catch of non-target species (document name: CPF/SOP-008) effective date 12 Nov 2019 ok</i>
5.11.5	15 Nov. 2019 (PT. Toba surimi have now started collecting the following data): <ol style="list-style-type: none"> 1. National policy for the management and development of water conservation areas, which include the effective management program on conservation & maintaining the stock sustainability. <i>Please find attached CA evidence (Kebijakan Nasional Pengelolaan dan pengembangan kawasan konservasi perairan). ok</i>
8.1.3	15 Nov. 2019 (PT. Toba surimi have now verified and confirmed that all fishermen have access to National healthcare (BPJS) and Establish a social policy to ensure all supplier has national insurance. <i>Please find attached CA evidence (BPJS). ok</i>