

Corrective Action Form

NC: Non-conformity. CA: Corrective action.

NC classification:

Essential requirements → Major / Important requirements → Minor / Recommendation → Recommendation

Major NC(s)	<input checked="" type="checkbox"/>	Implementation within 3/6 months	Form 08.01 Rev.02 – dated July 04th, 2019
Minor NC(s)	<input checked="" type="checkbox"/>	Proposal within three weeks	
Recommendation(s)	<input checked="" type="checkbox"/>	To be checked during the following audit (3 years)	

Ref. Check list: FOS – Wild and CoC		Company name:	
Audit date: 12-08-2019	CB: SGS Lanka (Pvt) Ltd	John Seafood (Pvt) Ltd	
Ref. Requirement: 1.1 of FOS CoC 1.5 of FOS CoC 3.1.4 of FOS CoC & 8.1.4 of FOS wild 7.2 of FOS Wild 5.5.1 of FOS Wild 3.2 of FOS CoC	Auditor: Mr. Gamunu Wickramasekara	Site(s) audited: Factory- Kosgahawatte, De Silva Mw, Miriswatte, Demanhandiya	
NC notification date: 12-08-2019	Notified by: Mr. Gamunu Wickramasekara	Contact person: Ms. Thilini Jayashani	
Deadline: Major NC(s): 12-11-2019 Minor NC(s): 02-09-2019	Checked by: Mr. Gamunu Wickramasekara	Contact details: venoja.johnseafoods@gmail.com	
Date of CA proposal: 16-08-2019	Accepted by the CB: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
		Type of audit:	
		Initial <input checked="" type="checkbox"/>	Additional <input type="checkbox"/>
		Surveillance <input type="checkbox"/>	Recertification <input type="checkbox"/>

Description of NC(s) and/or recommendation(s)				
NC classification	N° Requirement	NC Description	CA Description*	Accepted (Y/N)
Major	1.1 of FOS CoC	The organization has not identified each product and each batch uniquely	Organizations has submitted their amended procedure of product coding and shelf life marking	Y
Minor	1.5 of FOS CoC	The organization has not done a mick recall for individual loins or individual units level	Organization has submitted evidances of conducted mock recall up to individual units	Y
Major	3.1.4 of FOS CoC & 8.1.4 of FOS Wild	The organization has not apply safety measures required by the law such as HSE and firstaid, ergonomic assessment	Organization has submitted evidances of training coonducted for HSE and firstaid, ergonomic assesment	Y
Recommendation	7.2 of FOS Wild	The organisation should calculate its carbon footprint per product unit and engage to reduce it every year.	Organization has submitted their proposal of planning the calculating the carbon footprint within the given time frame (3 years)	Y
Recommendation	5.5.1 of FOS Wild	Bycatch and discard data has not made publicly available by ether the FMO or the organization	Organization has submitted their proposal of making publicly available the bycatch and discard data within the given time frame (3 years)	Y
Recommendation	3.2 of FOS CoC	The organization has not certified for SA8000	Organization has submitted their proposal of certification for SA8000 within the time frame (3 years)	Y

* The CB shall specify if the CA is related to a proposal or an implementation. In the case of implementation, the auditor is kindly asked to provide below the title(s) of document(s) attached as evidence to this form.

Explanation of the factors that allowed the NC(s):	
N° Requirement	Explanation
1.1 of FOS CoC	Organizations has not specified and defined their sub categories of the product in the procedure to identify the product uniquely.
1.5 of FOS Wild	Although a full batch is recalled no Mock recall is done for individuiual loins or individual units level.
3.1.4 of FOS CoC & 8.1.4 of FOS Wild	The organization has not apply safety measures required by the law such as HSE and firstaid, ergonomic assessment

7.2 of FOS Wild	Organization has not calculated its carbon footprint per product unit
5.5.1 of FOS Wild	Organization has not make publicly available the bycatch and discard data
3.2 of FOS CoC	The organization has not certified for SA8000

Explanation of the CA(s) required to ensure that a similar NC does not re-occur:	
N° Requirement	Explanation
1.10 of FOS CoC	Organization has amended their documented procedure by explaining sub categories to identify product code accordingly.
1.5 of FOS Wild	Organization has taken action to perform mock recall once a year.
3.1.4 of FOS CoC & 8.1.4 of FOS Wild	Organization has to plan annual training on HSE and firstaid, ergonomic assessment
7.2 of FOS Wild	Organization has taken action to calculate their carbon footprint within the time frame.
5.5.1 of FOS Wild	Organization has taken action to make available the bycatch and discard data.
3.2 of FOS CoC	Organization has taken action to certify their organization for SA8000.

Date of closing out of each CA and explanation on how the problem was solved:	
N° Requirement	Date and Explanation
1.1 of FOS CoC	16/08//2019, Organizations has submitted the amended procedure by explaining each sub categories uniquely.
1.5 of FOS Wild	16/08//2019, Organization has submitted evidances of conducted mock recall up to individual units.
3.1.4 of FOS CoC & 8.1.4 of FOS Wild	16/08/2019, Organization has submitted evidances of training coonducted for HSE and firstaid, ergonomic assesment
7.2 of FOS Wild	Recommendation was not corrected but submitted a proposal to take action towards rectifying the non conformity.
5.5.1 of FOS Wild	Recommendation was not corrected but submitted a proposal that organization will make publicly available the bycatch and discard data through the company website.
3.2 of FOS CoC	Recommendation was not corrected but submitted a proposal that organization planing to certify SA8000 within 3 years.