



Corrective Action Form

NC: Non-conformity. CA: Corrective action.

NC classification:

Essential requirements → Major / Important requirements → **Minor** / Recommendation → **Recommendation**

Major NC(s) <input type="checkbox"/> Implementation within 3/6 months	Form 08.01 Rev.02 – dated July 04th, 2019
Minor NC(s) xxx <input type="checkbox"/> Proposal within three weeks	
Recommendation(s) xxx <input type="checkbox"/> To be checked during the following audit (3 years)	

Ref. Check list:		Company name:	
Audit date: 20 June 2021	CB: London Associati Ltd.	DIMITRIOS I	
Ref. Requirement: 5.5 - Bycatch and discard data are not publicly available by the fisheries management system. 7.2 - The Unit of Certification don't calculate the carbon footprint; 6.7 - The Unit of Certification is not in compliance with ports to implement gear collection and/or recycling programs for end-of-life gear. 3.2 the UoC is not SA8000 certified (CoC standard)	Auditor: Pierluigi Monticini	Site(s) audited: The Gambia – Senegal Contact person: Mr. Ioannis Anastasakis Contact details: gianni@triton.gr	
NC notification date: 20 June 2021	Notified by: email	Type of audit:	
Deadline: Major NC(s): none Minor NC(s): Point 3.6.	Checked by: Pierluigi Monticini Lead Auditor (London Associati Ltd)	Initial X Additional <input type="checkbox"/> Surveillance <input type="checkbox"/> Recertification	



Date of CA proposal: 30 September, 2021	Accepted by the CB: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
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Description of NC(s) and/or recommendation(s)				
NC classification	N° Requirement	NC Description	CA Description*	Accepted (Y/N)
Minor non-conformity	3.6.	The Unit of Certification don't use Turtle excluder devices (TEDs) for targeting shrimps.	TED implementation	Y
Recommendation	5.5.	Bycatch and discard data are not publicly available by the fisheries management system	Major involvement in the FMOs Policy	Y
Recommendation	7.2.	The Unit of Certification don't calculate the carbon footprint	Implementation of IT system for the Carbon foot print calculation	Y
Recommendation	6.7	The Unit of Certification is not in compliance with ports to implement gear collection and/or recycling programs for end-of-life gear.	Sensibilization local authorities for the implementation of gear collection or recycling	Y
Recommendation	3.2. (CoC standard)	The Organization is not SA8000 certified (CoC standard)	Starting the procedure for the SA8000 certification	Y

* The CB shall specify if the CA is related to a proposal or an implementation. In the case of implementation, the auditor is kindly asked to provide below the title(s) of document(s) attached as evidence to this form.

Explanation of the factors that allowed the NC(s):	
N° Requirement	Explanation
Point 3.6.	The Unit of Certification don't use Turtle excluder devices (TEDs) for targeting shrimps.
Point 5.5.	Bycatch and discard data are not publicly available by the fisheries management system.



fisheries management system.	
Point 7.2.	The Unit of Certification don't calculate the carbon footprint
Point 6.7.	The Unit of Certification is not in compliance with ports to implement gear collection and/or recycling programs for end-of-life gear.
Point 3.2 (CoC standard)	the UoC is not SA8000 certified (CoC standard)

Explanation of the CA(s) required to ensure that a similar NC does not re-occur:	
N° Requirement	Explanation
Point 3.6.	Use of TED for targeting Shrimps
Point 5.5.	Major involvement in the RMOS Policy
Point 7.2.	Implementation of IT system for the Carbon foot print calculation
Point 6.7.	Sensibilization local authorities for the implementation of gear collection or recycling
Point 3.2 (CoC standard)	Starting the procedure for the SA8000 certification

Date of closing out of each CA and explanation on how the problem was solved:	
N° Requirement	Date and Explanation
Point 3.6.	30 September 2021