Assessment Form for FOS - Wild
Sustainable Fishing Requirements
General Information

CONTROLUNION

version: FOS.AS.F02 (01)
issued: 01-04-2017

Name of client	**Lihini Sea Foods Pvt. Ltd.**		
Number of client	**PRJ 854800**		
Report number	**854800-2017-1-NAP**		
Name of auditor	Nanda Agung Pratama	**Siganture of Auditor**	

Program	**Inspection type**	*Explanation if necessary:
FOS - Wild	Intial audit Phase 2	

Duration of inspection (dd.mm.yyyyy)	**From**	**To** (date of closing meeting)
	28/08/17	30/08/17

Description of Organization

a) NAME OF THE ORGANISATION TO BE AUDITED:

Lihini Sea Foods Pvt. Ltd.

b) NAME OF THE ORGANISATION REQUESTING THE AUDIT:

same as a

c) IS THE ORGANISATION TO BE AUDITED PART OF A GROUP? IF SO, PLEASE SPECIFY THE NAME OF THE GROUP and ALL THE GROUP MEMBERS:

NA

d) ADDRESS OF THE ORGANISATION TO BE AUDITED:

St. Jude Mawatha, North Western Province, Katuneriya (61180) Srilanka

e) NAME AND CONTACTS OF THE PERSON WITHIN THE ORGANISATION RESPONSIBLE FOR THE AUDIT AND CONTACTS WITH THE AUDITOR:

Mr. Malintha Fernando
Tel : 0094 3122 51100
Mob: 0094 7738 66430
Web : www.lihiniseafood.com
email : Lihini Sea Foods <lihiniseafoods@sltnet.lk>

f) FLEET TO BE AUDITED :

Name of the fishing vessel	*Registration Number*	*Vessel's flag*	*Fishing method*	*Capacity (MT)*	*Unloading harbour*	*Ship owner (if different from [a])*
as attached						

g) VESSELS AUDITED ON SITE

Name if the fishing vessel	Registration number	Unloading harbour
IMUL-A-0434KLT	2017EEZIMUL0031CHW	Negombo, Puttlam
IMUL-A-687CHW	17HS0216CHW	Negambo, Dikowita, Trincomalee
IMUL-A-0611CHW	17HS0174CHW	Negambo, Dikowita, Trincomalee
IMUL-A-0635CHW	17HS0156CHW	Negambo, Dikowita, Trincomalee
IMUL-A-0474NBO	17HS0009PTM	Negambo, Dikowita, Trincomalee
IMUL-A-0700CHW	IMUL-A-0700CHW	Dikowita, Trincomalee
IMUL-A-0777CHW	17HS0018CHW	Dikowita, Trincomalee
IMUL-A-0668CHW	17HS0017CHW	Dikowita, Trincomalee
IMUL-A-0776CHW	17HS0318CHW	Dikowita, Trincomalee

h) FISHING ZONE ***(Coordinates and/or FAO area and/or Subarea and/or ICES area and/or EEEZ. If available, please include map.) :***

FAO 57, Eastern Indian Ocean

i) COMMON AND SCIENTIFIC NAME OF THE SPECIES TO BE AUDITED:	
Common Name	**Scientific Name**
Yellow Fin Tuna	*Thunnus albacares*
Swordfish	*Xiphias gladius*
j) TOTAL NUMBER OF EMPLOYEES:	
Total 50 workers	
k) ENVIRONMENTAL CERTIFICATIONS AND AWARDS	
The company is complied with environmental protection authority of (wayamba) North Western Province	
l) ADDITIONAL INFORMATION:	
The Company is awarded silver medal from national chamber of exporter in year of 2017 under the medium scale category.	

In case of any nonconformity resulting in a suspension (major (1.0)) inspector must inform the certifier within 24 hours after the audit.
MAJOR NON-CONFORMITIES (to be conformed within 3 months) MINOR NON-CONFORMITIES (to be reported within 3 weeks and confirmed within 1 year)

NOTES TO THE AUDITOR	
1	The auditor must fill out all fields in the checklist.
2	Instructions on how to fill in the checklist are written in the blue fields
3	The auditor must give an explanation when the qualification requirements are not applicable
4	The Auditor must write YES when the Organisation complies with a requirement and NO when it does not.
5	The auditor must comment and explain all answers. YES, NO, N.A. only are not accepted
6	Each relevant document must be added to the final Audit Report in a separate and numbered attachment.
7	Photographic evidence added to the checklist and/or attached to the report are desirable

Opening Meeting

Please ensure following point has been explained

No	Opening Meeting Guidance	Explained (please remark)
1	Explain purpose of the audit/inspection : 1. Introduction of the persons present (audit team); 2. Mentioning the scope of certification, products and units included. 3. Asking agreement with the client on the scope; 4. Explain the inspection procedure and request permission to access data. 5. Explain the grading of NC's: Major, Minor. Ensure: Only those products/units mentioned in the contract may be inspected. If additions to the scope of certification occur the client shall send a new application form FOS to the certifier immediately and have the changes included in the contract, before inspection is allowed.	YES
2	Any changes in the scope of the certificate?. (additions, reduce, change). must be discussed; and notify to CAB	YES
3	A detailed plan for inspection conform audit plan has been delivered. (how the audit activities, i.e. visited sample,where and when, what and which information is needed; which persons will be interviewed)	YES
5	Verification of the list of registered/certified suppliers. In the case of changes, client can add or remove suppliers. *Discussed before audit started	YES
6	Any subcontractors will handling certified products (FOS) and which ones are independently certified.	YES
7	Inform incase any Confidentiality of the information during the audit because report will be send to FOS then FOS will upload to the official FOS website	YES

Closing Meeting

Please ensure following point has been explained

No	Closing Meeting Guidance	Explained (please remark)
1	Client can only claim as certified since client has been certified.	YES
2	NCs (minor and Major), timeframes for NC closure and actions need to complete before certification can proceed;	YES
3	Any non-conformances and their likely category (subject to approval by the CUP´s decision making entity);	YES
4	re-confirm regarding the scope and/or vessel list and/or subcontractor and/oe supplier list is correct and agreed	YES
5	Client shall inform the CB of any changes that affect the certification (e.g t ownership, contact detail changes);	YES
6	Auditor writes a certification report that is sent to the certifier and Tech. expert for review and completion	YES
7	Report will be Review by the certifier and Tech. expert.	YES

1. STOCK STATUS

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical*
1.1	The state of the stock under consideration must be assessed by the fisheries management organisation.	Essential	The fishery must demonstrate to collect data in accordance with applicable international standards (e.g. Coordinating Working Party on Fishery Statistics, the FAO Guidelines for the routine collection of capture fishery data, FAO Fisheries Technical Paper No. 382; Deep Sea Fishery (DSF) in the High Seas, FAO Programme).	yes for SW	IOTC organisation (RFMO) manage and keep review and update the condition, trend analysis of the tuna stock. periodically report could be access through iotc official website. name of paper/literature: issued by/year: IOTC, 2016. YFT: 67.6% (over exploited) and for SW.: 85% stock status based on FAO: http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc	OK
The fisheries management organisation is an institution responsible for fisheries management, including the formulation of rules governing fishing activities. The fishery management organisation may also be responsible for collection of information, its analysis stock assessment, monitoring, control and surveillance. FAO 1997; FAO Technical Guidelines for Responsible Fisheries.						
1.1.1	The stock under consideration must NOT be data deficient	Essential		no for YFT SW yes	name of paper/literature: issued by/year: yellow fin tuna Executive Summary, IOTC, 2016 and Swordfish Executive Summary, iotc, 2016 YFT: 67.6% (over exploited) and for SW.: 85% stock status based on FAO: http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc	OK
1.1.2	The stock under consideration must NOT be Over-exploited.	Essential	F<=F_{msy} within probability range of available stock assessments or at least F<=F_{lim} (limit reference point – or its proxy) If overfishing of a stock under consideration of a certified fishery occurs, the certification of this fishery is suspended or revoked.	no for YFT SW yes	name of paper/literature: issued by/year: IOTC, 2016. YFT: 67.6% (over exploited) and for SW.: 85% YFT: Fmsy, set at 0.151 F2015/Fmsy (80% CI) is 1.11 (0.759-1.361). document name: yellow fin tuna Executive Summary, IOTC, 2016 SW: aboce MSY level. Fmsy set at 0.138 F2013/Fmsy (80% CI is 0.34 (0.28 - 0.40). document name: Swordfish Executive Summary, IOTC, 2016 stock status based on FAO: http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc organisation consider as small scale fishery. 1.1.1. until 1.1.3 will be N/A (please refer to 1.2)	1st Comment: it will be better if we can compared national quota of srilanka with this company production. 2nd comment: OK
1.1.3	The stock under consideration must NOT be Over-Fished.	Essential	B>=B_{msy} within probability range of available stock assessments or at least B>B_{lim} (limit reference point – or its proxy). If the stock under consideration of a certified fishery becomes overfished, the certification of this fishery is suspended or revoked.	no for YFT SW yes	YFT: overfished. SB*2015/* SB MSY (80% CI) is 0.89 SW: not over fished SB2013 / SB MSY >1 stock status based on FAO: http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc	OK
All the requirements related to the current status and trend of the stock under assessment must include data of bycatch, discards, unobserved mortality, incidental mortality, unreported catch, and catch outside of the unit of certification. *Up-to-date data and/or information on the stock status is available from one of the following: FAO, Regional Fisheries Management Organisation, Marine Research National Authority, University, other independent research institute. Other information may include generic evidence based on similar stocks, when specific information on the stock under consideration is not available, providing there is low risk to the stock under consideration in accordance with the Precautionary Approach.*						
1.1.4	The methodology of assessment of the status and trends of the stock under consideration must be made publicly available in a	Essential	Documental evidence		refer to 1.1.3	OK
1.2	Only applicable to small-scale and artisanal fisheries (i.e. it is not applicable to freezer vessels) If the organisation complies with all the requirements of the standards in the present document and does not catch more than 10% of the total catch (weight) of the same target species in the stock under consideration, requirements 1.1.1, 1.1.2., 1.1.3. do not apply.	Essential	Weight of catches by fishery with same fishing method as the one under assessment is not over 10% of total catch from the same stock.	YES	total registered : 79 vessel. Total catch YFT and SW: 9%, less than 10% percentage of total tuna catch not over 10% http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc	OK
The Auditor must fill-in these fields ONLY in case of negative answer to the 1.1 requirement.						

2. ECOSYSTEM and HABITAT IMPACT

2.1	Current data and/or other information is collected and updated about the effects of the fishery under assessment on the ecosystem structure and habitats vulnerable to damage by fishing gear, also considering the role of the stock in the food web (e.g. key prey or predator species). The same information regarding any associated enhancement activities is also collected and maintained.	Important	Data collection must be in accordance with international standards (e.g. CWP and DSF in the High Seas, FAO Programme). The data and analysis may include local, traditional or indigenous knowledge and research, providing its validity can be objectively verified. The methodology and results of the analysis of the most probable adverse impacts of the unit of certification and any associated culture and enhancement activity on the ecosystem are made publicly available in a timely manner, respecting confidentiality where appropriate.	NO	No document provided (by-catch data) missing for tuna albacore The operator of fishery vessel affraid to record regarding by catch and discard fish. However now, they have new policy related to log book	1st comment: I guest because the fishing vessels did not owned by company, so it is complicated to manage the bycatch product. 2nd comment: OK
2.2	The fishery or fleet complies with Marine Protected Areas regulation.	Essential	Verify compliance also by use of VMS and plotters tracking and World database www.mpaglobal.n etc	YES	use of VMS is mandatory for Srilanka fisheries vessel having LoA more than 10.3 meter. 1 (one) sample VMS doesn't have VMS. (according to SL regulation, vessel has no VMS (IMUL-A-0434-KLT). vessel doesnt go to high seas. Others vessel has VMS, VMS monitored by Local Authority. Verified during interviewing skipper and fisheries officer, every vessel who has VMS always monitored by Local Authority, incase vessel going far away outside of the license then vessel will get warning alarm in the vessel. The local authority will always monitor all registered vessel by VMS. Incase they are fishing on prohibited area (protected area, spawning area etc) then fishery vessel will be caught by local authority.	1st comment: this point is about MPA,not VMS. May be more data on MPA in Srilanka (if any) compared with tuna's fishing ground would be better. 2nd comment: OK, justified
The Auditor, through random sampling, using the Satellite Control System on the vessels or valid alternative evidence, must verify that the fishing activity is not carried out in infringement of Marine Protected Areas (MPA). Alternatively an official declaration from local Control Authorities must be produced. The Auditor must provide a list of Protected Marine Areas in the area (refer to www.mpaglobal.org).						
2.2	The fishery or fleet must use fishing gears that do not affect the seabed unless proven that such impact is negligible.	Essential	The seabed and benthic marine wildlife must revert to their original conditions within a maximum of 30 days from the impact of the fishing gear on the seabed.	NO	Fishing gear used is Longline. Reference: no referene provided whether any effect of long line used. However during audit client cant provide such document. So auditor raised as non compliance	many references reported that side effect of the use of longline only by-catch, no effect to seabed 2nd comment: OK
The Auditor must collect conformity evidence.						
2.3	The organisation has requested or conducted an assessment of the impact of its activities on essential habitats for the stock under consideration and on habitats vulnerable to damage by the fishing gear.	Recommendation	The RFMO must carry out studies which consider the impact of the fleet or fishery on the ecosystem and it must take this into account when producing managements advices.	NO	No data provided regarding assessment of the impact of its activities on essential habitats for the stock under consideration and on habitats vulnerable to damage by the fishing gear. This criteria just recommendation	1st comment: Another approach can be done by comparing habitat's change to the production trends. If there is no significant change on habitat/methods but production continuosly droped, it might caused from habitat damaged by fising gears/methods. 2nd comment: OK, justified
The Auditor must provide evidence referring to all available studies.						
2.4	In the case of enhanced fisheries (e.g. fishery and aquaculture activities) the organisation is assessed also against FOS Aquaculture standards	Essential	Full compliance of FOS-Aqua standards	N/A	no enhance fisheries	
2.4.1	Natural reproductive stock components of enhanced stocks are not overfished nor substantially displaced by stocked components.	Essential	The displacement must not result in a reduction of the natural reproductive stock component below abundance-based target reference points (or their proxies).	N/A	no enhance fisheries	
2.4.2	Management objectives are in place that seek to avoid significant negative impacts of enhancement activities on the natural reproductive stock component of the stock under consideration and any other wild stocks from which the organisms for stocking are being removed.	Essential	Target Reference points or their proxies.	N/A	no enhance fisheries	
Only applicable to enhanced fisheries. ***Enhanced fisheries are fisheries supported by activities aimed at supplementing the recruitment and raising the total production of a fishery beyond a level supported by natural processes (FAO Technical Guidelines for Responsible Fisheries. Inland fisheries. No 6. Rome, FAO 1997.***						
3. SELECTIVITY						
No.	**Requirement**	**Level**	**Parameters and information**	**Y/N**	**Comments**	***Comments (Only completed by technical reviewer)***

3.1	Accidental catches must not include species listed in the IUCN red list of endangered species as Vulnerable or higher risk. The IUCN assessment must have been carried out no more than 10 years before and it must have not been outdated by a more recent stock assessment for the given species / stock.	Important	Bycatch studies must have been carried out by the relevant bodies (FAO or RFMOs or National Authorities or Universities) and they must provide information regarding level of bycatch and bycaught species These studies must not indicate the presence of species vulnerable or higher risk among the regularly (over 0.25% of total weight) species according to www.redlist.org.	YES	IOTC collecting data and report information about by catch fish, detail information could be access through IOTC website (http://www.iotc.org/science/status-summary-species-tuna-and-tuna-species-under-iotc-mandate-well-other-species-impacted-iotc) by catch fishes refer to the vessel's log-sheet: albacore fish, blue shark, black marlin (fish that un listed on the licenses). no species under endangered species.	OK
3.1.1	The organization collects and maintains current data and/or other information about the effects of the fishery and associated enhancement activities on endangered species, non-target catches and discards.	Essential	Traditional, fisher or community knowledge can be used as reference, provided its validity can be objectively verified	NO	no data regarding effects of the fishery and associated enhancement activities on endangered species, non-target catches and discards. However during audit client cant provide such document. So auditor raised as non compliance	Since the by catch fishes do not listed under the endangered species, this company should be complies with this point. 2ND Comment: OK, justified
The Auditor must obtain records kept by the organisation of the species that are caught accidentally, and an assessment of the effects of the fishery on non-target stocks. The information included in the list must be compared with the accidental catches actually occurred on site at the time of unloading. The list must also be compared with the database of the IUCN red list www.redlist.org. The Auditor must provide a final document that shows if any of the accidentally caught species is included in the IUCN list.						
3.2	The level of discard (in weight) must not be over 8% of total catch.	Essential	Discards are bycaught species which are not used for human consumption not for fish meal or fish oil production.	NO	discard fish is not documented. Cant justify due to no data of discard fish with in the vessel	
3.3.1	THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA). FADs (Fish Aggregating Devices). The fleet or fishery must provide a census of number of FADs deployed in the previous 12 months per vessel and its must report on a yearly basis to Friend of the Sea regarding FADs deployment per vessel.	Important	Auditor must collect the data provided by the fleet or fishery and attach it to the audit report	N/A	not using FAD	
3.3.2	THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA). FADs (Fish Aggregating Devices). The fleet must use non entangling FADs only, to avoid entanglement of sharks and turtles.	Important	Audit must collect evidence including pictures of FADs, purchase invoices with technical specifications to prove compliance.	N/A	not using FAD	

4. LEGAL CONFORMITY

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical reviewer)*
4.1	All fishing vessels must be officially registered.	Essential	Vessel registration and fishing license inspection.	YES	Sample vessel has license. Refer to general information as well as list if visited vessel and total list of registered vessel.	OK
The Auditor must request a list of all the fishing boats and the respective registration number. The Auditor must collect on site all the documents concerning the registration of at least 10%of the audited boats (copies of photos of the documents)						
4.2	The fleet does not include boats with a flag of convenience.	Essential	The auditor must verify that the boat is not registered to another Nation identified as Flag of Convenience (http://www.itfs eafarers.org/foc- registries.cfm).	YES	Fleet registerd on SRI LANKA only	OK
The Auditor must verify according to the website http://www.itfseafarers.org/foc-registries.cfm.						
4.3	The fleet does not include IUU (illegal, unreported, unregulated) fishing vessels.	Essential	The boat cannot be included in the list http://eur- lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:350:0038:0043:EN:PDF	YES	79 vessel. None of registered vesseil included in IUU fishing list reference: IOTC website (list of IUU vessel) http://www.iotc.org/vessels	OK
The Auditor must verify according to the list on the website http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=OJ:L:2012:350:0038:0043:EN:PDF						
4.4	THIS REQUIREMENT IS TO BE COMPLIED WITH ONLY BY TUNA FLEETS/FISHERIES. FOR ALL OTHER FLEETS/FISHERIES, IT IS NOT APPLICABLE (NA). The fleet must be "Dolphin Safe" approved by the Earth Island Institute.	Essential	The organisation must be included in the Dolphin- Safe list of the Earth Island Institute: www.dolphinsafe tuna.org	YES	Lihini seafood pvt ltd is certified for Dolphin Safe. Source evidence--> http://savedolphins.eii.org/news/entry/eii-approved-dolphin-safe-tuna-processing-companies-and-fishing-companies	OK
The Auditor must verify the conformity on the list www.dolphinsafetuna.org or else the company must sign the EII DS Policy and a copy must be included in the audit report						

4.5	The Organization complies with national and international regulations. Compliance with the following regulations in particular has to be confirmed and verified:	Essential	Countries' fisheries laws are available on the website FAO http://www.fao. org/fishery/cou ntryprofiles/sea rch/en. The Auditor must specify applicable indicators.	YES	vessel has permitt/license. License shall be bring (carried on board) during fishing. found 1 vessel has no VMS (IMULA-04340KLT) vessel doesn't go to high seas	**OK**
4.5.1	TAC (Total Allowable Catches)	Essential	Countries' fisheries laws are available on the website FAO http://www.fao. org/fishery/cou ntryprofiles/sea rch/en. The Auditor must specify applicable indicators.	N/A	no reference regarding TAC in Srilanka (http://www.fao.org/fi/oldsite/FCP/en/LKA/profile.htm) Based on link information, we cant find TAC for srilanka. Furthermore for TAC in iotc still pending reference: IOTC-2016-S20-RE.pdf	It should be found in IOTC or RFMO report, otherwise this country does not listed as a member of those commisions, and if so, export product should be baned, because without country quota its mean fishing only conducted in the level of artisanal and catches sould only marketed locally. 2ND COMMENT: OK, JUSTIFIED
4.5.2	Use of a logbook	Essential	Countries' fisheries laws are available on the website FAO http://www.fao. org/fishery/cou ntryprofiles/sea rch/en. The Auditor must specify applicable indicators.	YES	log book was used and monitored by Navy as well as fisheires officer	**OK**
4.5.3	Mesh size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao. org/fishery/cou ntryprofiles/sea rch/en. The Auditor must specify applicable indicators.	N/A	fishing gear used is Long line.	
4.5.4	Net size	Essential	Countries fisheries laws are available on the website FAO http://www.fao. org/fishery/coun tryprofiles/searc h/en. The Auditor must specify applicable indicators.	N/A	fishing gear used is Long line.	
4.5.5	Minimum size	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.or g/fishery/country profiles/search/en . The Auditor must specify applicable indicators.	YES	minimum size regulated by Local Authority no provided YFT: above 15 kg SW: above 15 kg	**OK**
4.5.6	Distance from the shore	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.or g/fishery/countryp rofiles/search/en. The Auditor must specify applicable indicators	YES	based on the license is EEZ.	**OK**
4.5.7	Measures for the reduction of accidental catches	Essential	Countries fisheries laws available on the website FAO http://www.fao.or g/fishery/countryp rofiles/search/en. The Auditor must specify applicable indicators.	YES	verified during interviewing skipper and workers, by catch protected fish will be release, however no record implemented. For other un protected fish skipper and owner will sell to local market (example: Albacore tuna, verified during site visit for IMUL-A-0434-KLT)	**OK**
4.5.8	No fishing in protected habitats	Essential	Countries' fisheries laws are available on the website FAO http://www.fao.or g/fishery/countryp rofiles/search/en. The Auditor must specify applicable indicators	YES	no change vessel to fishing in protected area due to Vessel installed VMS, so incase vessel going to prohibited area will has warning alarm from their VMS. Verifed during interviewing skipper and fisheries officer	**OK**
4.5.9	Use of forbidden gears, chemical substances and explosives	Essential	Countries' laws are available on the website FAO http://www.fao.or g/fishery/countryp rofiles/search/en. The Auditor must specify applicable indicators	YES	verified during site visit, not found any chemical or additional substances used in the vessel or in fishing gear.	**OK**

The Auditor must verify, according to national and international regulations, that the aforementioned legal requirements are met and provide an exhaustive report with reference to the law. Where possible, the Auditor shall provide documents and photographs. A detailed description of the fishing regulation concerning each Country is available on FAO's website **http://www.fao.org/fishery/countryprofiles/search/en**

5. MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical reviewer)*
5.1.1a	A fishery management organization, that holds a legal mandate in compliance with national and international laws, manages, by means of a Fishery Management Plan, the fishery of which the fishery or fleet under audit is a part.	Essential	Evidence of conformity to local laws and regulations. A map of existing RFMOs is available at http://www.fa o.org/figis/ge oserver/factsh eets/rfbs.html In addition, national fishery ministries and authorities can be considered, e.g. Fisheries Management Organisations (FMO).	YES	1. sample vessel registered in Local Auhotrity (attached list) 2. skipper of the vessel shall have permitt/licenses 3. Srilanka as member of commision. http://www.fao.org/fishery/rfb/iotc/en	**OK**
5.1.1b	If the stock under consideration is a transboundary fish stock, straddling fish stock, highly migratory fish stock or high seas fish stock, a bilateral, sub regional or regional fisheries organisation or arrangement is in place. States and entities in the arrangement must collaborate in the management of the whole stock unit and bycaught or discarded	Essential	Evidence of consideration of the rights of small scale fishing communities.	YES	Lihini not involved directly in IOTC, but Srilanka Government involved in IOTC . http://www.iotc.org/meetings/21st-session-indian-ocean-tuna-commission-s21	**OK**

5.1.1c	The fishery management organization convenes at least yearly to update its management advices according to the most updated data.	Essential	Evidence of meetings frequency.	YES	client provide data that there is a meeting IOTC at 2017, yogya, Indonesia, there is update informarion about tuna commision, updated list of IUU vessel. Etc http://www.iotc.org/meetings/21st-session-indian-ocean-tuna-commission-s21	OK
The Auditor must verify and describe briefly the legal and administrative structure in force and provide the evidence of compliance with local laws and regulations. *The fishing company or organisation may also be part of traditional or community system of management of the stock, provided their performance can be objectively verified.*						
5.1.2	The fisheries management system (FMS) under which the fishery or fleet under audit is managed must be both participatory and transparent, to the extent permitted by national laws and regulations.	Essential	Information and advice used in FMS decision-making is publicly available. A consultation process regularly seeks and considers relevant information. Consultation with Deep Sea fishers must be carried out if applicable.	YES	Departement of Fisheries and Aquatuc resources of Srilanka has attend the meeting. Report of meeting privided by operator.	OK
5.1.3	Small-scale fishing communities and deep- sea fishers, must be involved in the planning and implementation of management measures affecting their livelihood, as appropriate.	Important	Evidence of involvement of local communities. E.g. monitoring and control of fishing activities, protected areas	NO	no evidence provided regarding involvement of local communities meeting. E.g. monitoring and control of fishing activities, conservation, management of tuna or protected areas. However during audit client cant provide such document. So auditor raised as non compliance	company can ask their government since this is the regular jobs of their gov. 2ND COMMENT: OK
5.2.1	A precautionary approach is undertaken to protect the target stock and its habitat and safeguard the marine environment. (FAO Code of conduct, art 7.5)	Important	Procedure and evidence of conformity.	NO	there is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species*	OK
5.2.2	Management measures specify the actions to be taken in the event that the status of the stock under consideration (with special consideration to deep-sea stocks) drops below a level consistent with achieving management objectives. These measures must prompt the restoration of the stock to such levels within a reasonable time frame.	Important	Procedure indicating target reference points and time frame.	NO	there is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species*	OK
5.2.3	Efficacy of management measures and their possible interactions is kept under continuous review.	Essential	Evidence of periodical reviews of the management measures must be provided.	NO	there is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *No procedure form the fishery level it self*	OK
The auditor must verify if the Country the flag of the fishing company refers to has ratified the FAO Code of conduct. Otherwise the organisation must include a precautionary approach in their procedures, including a risk assessment						
5.3	The compliance with points 5.1 and sub and 5.2 is achieved through monitoring, surveillance, control and enforcement.	Essential	Procedure and evidence of monitoring and control.	YES	verified duriing interviewing skipper and fisheries officer, monitoring, control, surveilance and enforcement are conducted by Local Authority. Resolution 10/04 established observer program that requires at least 5% coverage for vessel over 24 meter. http://www.iotc.org/compliance/monitoring	OK
The Auditor must describe briefly the monitoring, surveillance, control, and application methods and provide the evidences of the activities undertaken by the fishery enforcement system to ensure compliance.						
5.4	The fleet or fishery must record bycatch from each fishing trip.	Essential	Procedure and evidence of recording during at least one fishing trip.	NO	During interview, the operator catched albacore tuna, but they didn't write it in the log book with the reason that the Albacore tuna will be sold to the local market, not to the company. They only wrote the yellowfin tuna and Swordfish in the log book, however procedure for bycatch fish and discard fish mention at Log sheet. that operator shall record release or discard fish that accidentally catched.	OK
5.5	The fleet or fishery must record discards.	Essential	Procedure and evidence of conformity	NO	During interview, skipper and vessel owner said, in case they catch non target fish (protected fish which will be discard) they skipper and crew will release the species catched (ie. Shark, dolphin and turtle) immediately . All those species listed prohibited to catch in the log book sheet. However no record provided during the audit for discard fish. there's procedure for bycatch fish and discard fish mention at Log sheet. that vessel shall record release or discard fish that accidentally catched.	OK
5.5.1	The organisation must make bycatch and discard data publicly available.	Recommendation	Procedure and evidence of conformity	YES	The by catch/discard data only published by internal organization. The organization (fishery vessel) has registered on RFMO (in this case IOTC) then all data regarding by catch and discard fish will be assessed by RFMO.	OK
The Auditor must provide evidence (photos or copies) of the report on accidental catches and discarded fish.						
5.6	A management system to prevent possible accidental catch and significant negative impacts of endangered species must be in place.	Essential	Procedure, performance indicators and evidence of conformity.	NO	Procedure to prevent possible accidental catch and significant negative impacts of endangered species no available	OK

5.7	The organisation implements a management program to reduce the accidental catch of non-target species, including procedures for the release of live animals under conditions that guarantee high chances of survival.	Essential	Procedure, performance indicators, and evidence of conformity.	NO	procedure to reduce the accidental catch of non-target species, including procedures for the release of live animals under conditions no available	OK
The auditor must provide documental evidence that the organisation collects data to assess the impact of the fishing activities on non-target species and endangered fauna (i.e. IUCN listed). The data collection must address specific outcome indicator(s) consistent with achieving management objectives.						
5.8	The fleet is equipped with measures that guarantee a quick retrieval of lost fishing devices to avoid "ghost fishing".	Essential	Procedure and evidence of conformity.	YES	vessel will not leaving the fishing gear right after drifting. Vessel will wait +/- 24 hrs in the area. verified during interviewing skipper and crew vessel. Permanent gear marking is compulsory for all gear types.	OK
The Auditor must obtain a copy of the aforementioned procedures.						
5.9	ONLY APPLICABLE TO FREEZER VESSELS AND FLEETS. NOT APPLICABLE TO SMALL-SCALE ARTISANAL FISHERIES. The fleet has a full-time on-board independent observer who reports compliance with Friend of the Sea requirements. In alternative a CCTV's system has	Important	Documental evidence of employment. At least one monthly report of the on-board inspector.	N/A	not a freezer vessel	OK
The Auditor must verify the presence of the observers and obtain their CV and contacts.						
5.10a	Outcome indicator(s), including Target and Limit reference points, are defined for all management objectives related to the conservation of the stock under consideration. Management Objectives take into account the Best Scientific Evidence available	Essential	Target reference points. e.g maximum sustainable yield (MSY, or a suitable proxy) or a lesser fishing mortality if that is applicable to the fishery. Marine resources exploited in deep-sea fisheries in the high seas have low productivity, thus biological reference points must be set to ensure long term sustainability.	YES	refer to above IOTC report (stock assessment and report of Fmsy) IOTC agreement.	OK
5.11	There are clear management objectives, outcome indicators and measures defined and periodically reviewed by means of risk assessment to avoid, minimize, or mitigate impacts on: *(This requirement does not refer to the target species, but it refers to any endangered non-target species that may be affected by*	Essential	Procedure, performance indicators, action taken and outcomes.	YES	Regulation of Fisheries and Aquayic resourcces Act, no.2 of 1996. part/chaper (1) fish landing condition, no.9. mention in the license as well, regarding the soecific condition fir high seas fishing operatrion	OK
5.11.1	Essential habitats for the stock of consideration, and vulnerable ecosystems, including those potentially impacted by Deep-Sea fisheries	Essential	Procedure, performance indicators, action taken and outcomes.	YES	Regulation of Fisheries and Aquayic resourcces Act, no.2 of 1996. part/chaper (1) fish landing condition, no.9. "land, sell or exhibit for sale of whole carcass or any oart of marine mammals, sea turtle, ... Completely prohibited".	OK
5.11.2	Endangered species	Essential	Procedure, performance indicators, action taken and outcomes.	YES	refer to 5.11	OK
5.11.3	Non target stocks	Essential	Procedure, performance indicators, action taken and outcomes.	YES	refer to 5.11	OK
5.11.4	Dependent predators and/or preys	Essential	Procedure, performance indicators, action taken and outcomes.	YES	refer to 5.11	OK
5.11.5	Ecosystem structure and processes	Essential	Procedure, performance indicators, action taken and outcomes.	YES	refer to 5.11	OK
5.12	A yearly reviewed Ecosystem Approach to Fisheries is in place	Recommended	Documental evidence	YES	refer to 5.11	OK
5.13	Fisheries management approaches, plans and strategies are an integral part of integrated coastal management, and/or	Recommended	Documental evidence	YES	refer to 5.11	OK
The Auditor must provide evidence of the reference values targeted and implemented. These can, in some cases, be threshold reference limits and precaution limits set by regional bodies. ***The management measures implemented by the management system of the organisation must be based on the best available scientific evidence. Any traditional or scientific knowledge can be used within the management system, given that it can be objectively verified by the auditor***						

6. WASTE MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical reviewer)*
6.1	The fishing company recycles, re-uses or re-processes all materials used during fishing, conservation and transport of the fish up to the selling point, including packaging.	Essential	Procedure and evidence of conformity.	NO	Based on interview the skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.	OK

Assessment Form for FOS - Wild
Non-conformity register
version: FOS.AS.F02 (01)

CONTROLUNION

Name of client	Lihini Seafood Pvt Ltd	Total number of NCs detected during Audit	18
Number of client	PRJ 84800	Date of closing meeting	30/08/2017
Units inspected	Lihini Seafood pvt ltd	Program(s) involved in Audit	FOS Wild

Name and signature	Malintha Fernando Name and signature of **Client** I confirm to understand the non-conformities and receive a copy list of them	Nanda Agung Pratama Name and signature of **CU auditor**

Leave a copy of this page by the client!

Standard item	NC type	Failing (text of what was not compliant):	Evidence (on what does the auditor base the finding to be non-compliant):	Deadline
2.1	2 (Minor)	Fishery vessel does not record by catch and discard fish	There's no document provided (by-catch data), missing for Tuna albacore	Proposals to carry out CAs shall be submitted to the CB within maximum three weeks from the date of assessment (20 Sept 2017)
2.2	1 (Major)	Fishing gear must not affect the seabed	Fishing gear used is Longline. Reference: no referene provided whether any effect of long line used.	3 month implementation (29 Nov 2017)
2.3	3 (Recommendation)	Assesment of the impact of fishing gear to habitat	Fishing gear used is Longline. There's no data provided regarding assessment of the impact of its activities on essential habitats for the stock under consideration and on habitats vulnerable to damage by the fishing gear.	3 month implementation (29 Nov 2017)
3.1.1	1 (Major)	Data regarding effects of the fishery and associated activities on endangered species, non-target catches and discards.	There's no data regarding effects of the fishery and associated activities on endangered species, non-target catches and discards.	3 month implementation (29 Nov 2017)
3.2	1 (Major)	The level of discard (in weight)	Discard fish is not documented. Can't justify due to no data of discard fish with in the vessel	3 month implementation (29 Nov 2017)

5.1.3	1 (Major)	There's no evidence provided regarding involvement of local communities meeting. E.g. monitoring and control of fishing activities, conservation, management of tuna or protected areas	There's no evidence provided regarding involvement of local communities meeting. E.g. monitoring and control of fishing activities, conservation, management of tuna or protected areas	Proposals to carry out CAs shall be submitted to the CB within maximum three weeks from the date of assessment (20 Sept 2017)
5.2.1	2 (Minor)	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species*	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish.	Proposals to carry out CAs shall be submitted to the CB within maximum three weeks from the date of assessment (20 Sept 2017)
5.2.2	2 (Minor)	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species*	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. *However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species*	Proposals to carry out CAs shall be submitted to the CB within maximum three weeks from the date of assessment (20 Sept 2017)
5.2.3	1 (Major)	There is regulation from Local Authority said that fishery/vessel only allow catch tuna or swordfish above 15 kg/fish. *No procedure form the fishery level it self*	There is regulation from Local Authority said that fishery/vessel only allow catch tuna or swordfish above 15 kg/fish. *No procedure form the fishery level it self*	Proposals to carry out CAs shall be submitted to the CB within maximum three weeks from the date of assessment (20 Sept 2017)
5.4	1 (Major)	not all Bycatch has been recorded by operator	During interview, the operator catched albacore tuna, but they didn't write it in the log book with the reason that the Albacore tuna will be sold to the local market, not to the company. They only wrote the yellowfin tuna and Swordfish in the log book, however procedure for bycatch fish and discard fish mention at Log sheet. that operator shall record release or discard fish that accidentally catched.	3 month implementation (29 Nov 2017)
5.5	1 (Major)	Discards is not record by operator	During interview, skipper and vessel owner said, in case they catch non target fish (protected fish which will be discard) they skipper and crew will release the species catched (ie. Shark, dolphin and turtle) immediately . All those species listed prohibited to catch in the logbook sheet. However no record provided during the audit for discard fish. there's procedure for bycatch fish and discard fish mention at Log sheet. that vessel shall record release or discard fish that accidentally catched.	3 month implementation (29 Nov 2017)

6.2	The fishing company implements measures to prevent dispersion of waste in the sea (including fuels and lubricants and plastic matter)	Essential	Procedure and evidence of conformity.	NO	Based on interview the skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.	OK
6.3	The fishing company utilises all the chemical non-toxic alternatives available in order to reduce the use of toxic, persistent or bio-accumulating substances.	Essential	Procedure and evidence of conformity.	N/A	no chemical used	OK
6.4	The company does not use CFC, HCFC, HFC or other refrigerants that cause ozone depletion.	Essential	Procedure and evidence of conformity.	N/A	no use freezer storage	OK

The Auditor must provide procedures complete with photographic evidence.

7. ENERGY MANAGEMENT

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical reviewer)*
7.1	The Organisation must keep a register of all energy sources and use thereof, which must be updated at least once a year.	Essential	Energy consumption records, which must be created at least once a year must be included in the procedure. At minimum, the register must include the following **parameters**: 1. incoming energy sources (renewabl e or not) 1. energy consumpti on per process line (fishing, processing , transport)	NO	annual document not provided main energy used: diesel. operator/client provide one vessel, name: Lihini 06 (795 CH): 6000 Litre trip 07. date: 2017/07/04; invoices no.334874	OK
7.2	The Organisation should calculate its carbon footprint per product unit and engage to reduce it every year.	Recommendation		NO	no document provided	we can offer them as third party to calculate their carbon emmision

The Auditor must request copies of the registers.

8. SOCIAL ACCOUNTABILITY

No.	Requirement	Level	Parameters and information	Y/N	Comments	*Comments (Only completed by technical reviewer)*
8.1	The Organisation must respect human rights, complying with the following requirements:					
8.1.1	compliance with national regulations and ILO on child labour	Essential	Refer to ILO: http://www.ilo. org/global/stan dards/introduct ion-to- international- labour-standards/lang - -en/index.htm	YES	verified during site visit, not found any child workers/crew in the sample vessels. In other part, Local authorirty will always check and monitor the vessel regularly to make sure, there are no smuggling, child labor or other thing against law. (according to ministry regulation under: no)	OK
8.1.2	pay the employees adequate salaries compliant at least with minimum legal wages	Essential	Minimum wages vary depending on the country. The Auditor must verify that the organisation knows the minimum wage.	N/A	salary oscheme for the workers/crew based on sharing from owner and the workers.	OK
8.1.3	grant employees access to healthcare	Essential		YES	health care provided by owner (workers/crew as well as the vessel it self). Otherwise vessel cant depart for ffishing (according to SL regulation Act. :	OK
8.1.4	apply safety measures required by the law	Essential		YES	safety equipment provided in the vessel (i.e. fire extinguiser, 1st aid kit, life jacket) skipper shall have skipper license as well as skipper training and basic safety training (12 days)	OK
8.2	The organisation should be SA8000 certified.	Recommendation		NO	organisation not certified for SA 8000	

The Auditor must verify the compliance with the requirements through documental evidence (work contract samples) and on- site observation.

5.6	1 (Major)	Procedure to prevent possible accidental catch and significant negative impacts of endangered species	The procedure not available	3 month implementation (29 Nov 2017)
5.7	1 (Major)	Procedure to reduce the accidental catch of non-target species, including procedures for the release of live animals under conditions	The procedure not available	3 month implementation (29 Nov 2017)
6.1	1 (Major)	There's no procedure for re-cycle and re-process for waste management	Based on interview the skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.	3 month implementation (29 Nov 2017)
6.2	1 (Major)	There's no procedure to prevent dispersion of waste in the sea	Based on interview the skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.	3 month implementation (29 Nov 2017)
7.1	1 (Major)	Annual document not provided main energy used: diesel. operator/client provide one vessel, name: Lihini 06 (795 CH): 6000 Litre trip 07. date: 2017/07/04; invoices no.334874	Operator/client provide one vessel, name: Lihini 06 (795 CH): 6000 Litre trip 07. date: 2017/07/04; invoices no.334874	3 month implementation (29 Nov 2017)
7.2	3 (Recommendation)	There's no document provided about the carbon footprint per product unit and engage to reduce it every year		
8.2	3 (Recommendation)	Organisation not certified for SA 8000		

Technical Review Checklist FOS

Name Client :	Lihini Seafood Pvt Ltd
Audit Date :	PRJ 854800
Lead Auditor :	Mr. Nanda Agung Pratama
Audit Type :	Initial Audit
Technical Review done by :	Mr. Puji Rahmadi
Date :	4/1/2018
Review Number :	1
(Please do not forget to give every comment in the checklist)	
Additional Comments to the certifier :	OK
Conclusion of the technical review : (overall is about checklist	FEASIBLE

Serial No	Boat reg no	Operating license no	Length of boat (feet)	IOTC no	Log book no	Name of boat	Owner name	Owner address	Skipper name	Supplier name	Landing site	Country origini	Fishing Methdod	CU Code
1	IMUL-A-0719 NBO	16/SLS/town,,,/00129/ NBO	46' 3"		LB 15 4338		Pattana Hettige Tharsius Silva	No: 157/2, E/Palagathure, Kochchikade.	Nalin prasanga		Negambo	Sri Lanka	Longline	P 139278, P 140960
2	IMUL-A-0058 GLE	16/SLS/0054CHW	39' 2"		LB 15 3325		D.A.K. Dias	257/B, Mada Thoduwawa, Thoduwawa	K.G. Athula		Negamboo, Dicovita	Sri Lanka	Longline	P 139278, P 140961
3	IMUL-A-0205 MTR	16/SLS/0036CHW	36'		LB 15 4358		T.W.L. Kaniesious	133/A, Galamuna, Kuda wewa	W.L. Thisera	Lorenz	Negambo, kalpiti, Chilaw	Sri Lanka	Longline	P 139278, P 140962
4	IMUL-A-0702 TLE	16/HS/0417/TLE	40.3'	IOTC14604	LB 15 218		Hemathilaka Wijesooriya	Wijesooriya Hardware, Annapitiya, Tangalle	G.A.P. Kumara	Prasad	Tangalle	Sri Lanka	Longline	P 139278, P 140963
5	IMUL-A-1459 MTR	16/HS/0003 MTR	45' 09"	IOTC16171	LB 15 1858		kunam Hennadige Nandasiri	"Chejana", Light House Rd, Devinuwara	A.M.M.D. Niroshana		Devinuwara	Sri Lanka	Longline	P 139278, P 140964
6	IMUL-A-0358 MTR	16/SLS/Pitipana/0025/ NBO	35' 06"		LB 15 4323		T.Raj Chaminda	16. North Pitipana,Pitipana, Negambo	Chamida		Negambo, Dicovita	Sri Lanka	Longline	P 139278, P 140965
7	IMUL-A-0581 CHW	16/HS/0121 CHW	45'	IOTC11857	LB 15 422		W.A.M.L. Fernando	119,"Wasana", Thoduwawa	W.B.N. Low	Bandula	Negambo,Dicovita, Trinkomalee	Sri Lanka	Longline	P 139278, P 140966
8	IMUL-A-0301 NBO	16/SLS/town III/0099/NBO	36'		LB 15 3523		N.N.Ranjith Upali Fernando	91/5/A, Siriwardena pedesa, Munnakkaraya, Negambo	Lakman	Bandula	Negambo	Sri Lanka	Longline	P 139278, P 140967
9	IMUL-A-0210 NBO	16/SLS/town III/0028/NBO	35 1/2'		LB 15 3587		EE.P.Sidny Fernando	284/16, St. Nicalus Rd,Munnakkaraya.	Tiron	Bandula	Negambo	Sri Lanka	Longline	P 139278, P 140968
10	IMUL-A-0079 CBO	16/HS/0005 CBO	54' 7"	IOTC15395	LB 15 1276		Rainbow Foods (Pvt) Ltd	No: 75/5A, Alan Avenue, Dehiwala	W.S.S.U. Fernando	Chamil	Dicovita	Sri Lanka	Longline	P 139278, P 140969
11	IMUL-A-0559 NBO	16/SLS/0090/CHW	38'		LB 15 4972		W.P.T.S Fernando	Lurdu mawatha, N/Thoduwawa, Thoduwawa	Nishshanka	Ravee	Dicovita, Negambo	Sri Lanka	Longline	P 139278, P 140970
12	IMUL-A-0729 MTR	16/HS/0155 MTR	40'	IOTC11888	LB 15 1979	Jaya Isuru 3	A.G.C.Nishan & M.M.D.Priyantha	'Sahan", Lunukalapuwa, Kottagoda	L.C. Thenuwara		Tangalle	Sri Lanka	Longline	P 139278, P 140971
13	IMUL-A-0701 NBO	16/SLS/Kadawaladara/ 0003/NBO	48' 4"		LB 15 3575		Amarasiri Sunil Prema Jayantha	No 5:,E/Palagathuraya, Kichchikade	Wijerathne		Negambo	Sri Lanka	Longline	P 139278, P 140972
14	IMUL-A-1185MTR	16/HS/0055MTR	41'	IOTC14681	LB 15 1850	Juggaya 07	Los Patabandige Dushantha Chanaka	Mihindu Pedesa, Nawimana Para, Welewaththa, Mathara	A.w.M.Sampath		Dewinuwara	Sri Lanka	Longline	P 139278, P 140973
15	IMUL-A-1208 MTR	16/HS/0139 MTR	40' 6"	IOTC14696	LB 15 705		Mathara Arachchilage Priyanthi	No. 49/23A, Galagane Road	W.G.L.Roshan	Manju	Nilwella	Sri Lanka	Longline	P 139278, P 140974
16	IMUL-A-1229 MTR	16/HS/0097 MTR	40' 6"	IOTC14713	LB 15 0579	Raja 01	W.P.Aulawathee	Galewela, Hummana Rd, Devinuwara	Buddika	Daminda	Devinuwara	Sri Lanka	Longline	P 139278, P 140975
17	IMUL-A-1185MTR	16/HS/0055MTR	41'		LB 15 1850	Juggaya 07	Los Patabandige Dushantha Chanaka	Mihindu Pedesa, Nawimana Para, Welewaththa, Mathara	A.w.M.Sampath		Dewinuwara	Sri Lanka	Longline	P 139278, P 140976
18	IMUL-A-1040 MTR	16/HS/0218 MTR	40' 6"	IOTC12403	LB 15 1660		N. Ajith Kumara	No: 61/13, Galgane Rd,	K.P. Roshan		Devinuwara	Sri Lanka	Longline	P 139278, P 140977
19	IMUL-A-0178 KTL	16/SLS/0030 CHW	36'		LB 15 3374	Jordan nadiya 2	T.V.H.S. Appuhami	Iranawila Abakadhawila, Chilaw	Luke Kristoper		Negambo, kalpitiya, Chilaw	Sri Lanka	Longline	P 139278, P 140978

20	IMUL-A-0511 NBO	16/SLS/Town III/0019 NBO	34'		LB 15 3476	Channa Putha 4	M.H. Weeragamage Lusena Fernando	No: 76, Mankeliya, Negambo	Wasantha		Negambo	Sri Lanka	Longline	P 139278, P 140979
21	IMUL-A-0057 GLE	16/SLS/Town III/0026 NBO	35' 4"		LB 15 3451		Kulakulasuriya Sudarshana Prasanna Fernando	251/12, Siriwardena pedesa,Munnakkaraya, Negambo	Loyel		Negambo	Sri Lanka	Longline	P 139278, P 140980
22	IMUL-A-0322 NBO	16/SLS/Town III/0054 NBO	35'		LB 15 3566		W.F.A.M. Nishshanka Sowis	251/20, Siriwardena pedesa, Munnakkaraya, Negambo	Reji	Bandula	Negambo	Sri Lanka	Longline	P 139278, P 140981
23	IMUL-A-0103 CHU	16/SLS/Town III/0088 NBO	34'		LB 15 3434		G.O.P. Fernando	51/3, St. Nkula Rd, Munnakkaraya, Negambo	Jayalath	Bandula	Negambo	Sri Lanka	Longline	P 139278, P 140982
24	IMUL-A-O562 NBO	16/SLS/Town III/0042 NBO	34'		LB 15 3446		K. Antoni Yumon Perera	35/1, W/Ela iwra, Negambo	Dimuthu		Negambo	Sri Lanka	Longline	P 139278, P 140983
25	IMUL-A-0123 NBO	16/SLS/Town III/0045 NBO	34'		LB 15 3541		K.F. Peris	130/10, St. Nikula Rd, Munnakkaraya, Negambo.	Rohan		Negambo	Sri Lanka	Longline	P 139278, P 140984
26	IMUL-A-0763 KLT	16/HS/0262 KTL	12.9 m	IOTC16591	LB 15 2589		Manawaduge Saman Palitha Fernando	No.15/1B,Nallahena, Beruwala.	Sunil Shantha		Beruwala	Sri Lanka	Longline	P 139278, P 140985
27	IMUL-A-0811 CHW	16/SLS/Town III/0010 NBO	34'		LB 15 3482		K.S. Jude Ranil Peris	257/6/B, Siriwardena Pedesa, Munnakkaraya	Ranil	Bandula	Negambo	Sri Lanka	Longline	P 139278, P 140986
28	IMUL-A-0733 CHW	16/SLS/0062/CHW	48'6"		LB 15 3344	Weron putha	W.J.M. Fernando	236/A/1, Kulamulla,Waikkala	Pradeep Susantha	Bandula	Dicovita	Sri Lanka	Longline	P 139278, P 140987
29	IMUL-A-0739 CHW	16/SLS/0081 CHW	47'9"		LB 15 3371		P.L.N.Madusanka	Rathnakarawella para, Pahala Katuneriya	W.A.D.T Peris		Negambo, Dicovita	Sri Lanka	Longline	P 139278, P 140988
30	IMUL-A-0724CHW	16/HS/0348CHW	47'09"	IOTC16543	LB 15 3360		M.R. Appuhami	Alamba mawatha, Maikkulama, Chilaw	B.S.S. Fernando		Negambo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 140989
31	IMUL- A-O671NBO	16/HS/0028 NBO	46'	IOTC15432	LB 15 1287		W. Mery Shiromi Mangala Fernando	16, Duuwa, Negambo	W.S. Fernando	Madunani	Negambo	Sri Lanka	Longline	P 139278, P 140990
32	IMUL-A-0299NBO	16/SLS/townIII/ 0020/NBO	42' 3"		LB 15 4304		P.N. Prasad Fernando	87/30, Mankuliya, Negambo	G.A. Fernando		Negambo	Sri Lanka	Longline	P 139278, P 140991
33	IMUL-A-00833MTR	16EEZ/ 0055PTM			LB 15 4015		Warnakulasooriya Francis Sumith Maduranga	Kandakuliya	Jude Kolin		Kodbe, Kalpitiya	Sri Lanka	Longline	P 139278, P 140992
34	IMUL-A-0405GLE	16/SLS/Town II/0025/NBO	35' 4"		LB 15 3543		Arawinda Dilip Kumara Peris	30/13 Agaradaguru Nickalus Road, Kadolkale	Niroshan		Negambo	Sri Lanka	Longline	P 139278, P 140993
35	IMUL-A-0498CHW	16/SLS/Town II / 0026 /NBO	40'		LB 15 4343		K. Antony Ranjan Perera	24/5 Nickalus Marcus Road, Kadolkale, Negambo	Jayashantha		Negambo	Sri Lanka	Longline	P 139278, P 140994
36	IMUL-A-0153NBO	16/ HS /0369CHW	40'	IOTC09808	LB 15 381		W. J. W. Rupas Jayantha	76, Beach road, Thoduwawa North	Ruupas		Negambo	Sri Lanka	Longline	P 139278, P 140995
37	IMUL-A-0702NBO	16/SLS/Town III/0055/NBO	48'		LB 15 4344		pitipanage Nuwan Prasad Fernando	87/30 Mankuliya, Negambo	Nilukaran		Negambo	Sri Lanka	Longline	P 139278, P 140996
38	IMUL-A-0082CHW	16/SLS/Town/007/NB O	36'		LB 15 3528		Warnakulasooriya Titus Thisera	105, 10 B, Don Devid Janapadaya, Thimbirigaskatuwa	Sampath		Negambo	Sri Lanka	Longline	P 139278, P 140997
39	IMUL-A-0562NBO	16/SLS/Town III/0042/NBO	34'		LB 15 3446		K. Antony Uvon Perera	35/1, West Ela Iwura, Negambo	Dimuthu		Negambo	Sri Lanka	Longline	P 139278, P 140998

40	IMUL-A-0118CHW	16/SLS/Town III/0010/NBO	34'		LB 15 3482		K. S. Jude Ranil Peris	257/6/B, Siriwardana pedesa, Munnakkaraya	Ranil		Negambo	Sri Lanka	Longline	P 139278, P 140999
41	IMUL-A-0380CHW	16/HS/0034NBO	40'	IOTC10481	LB 15 1291	Nimsha Duwa	T. Anthony Suresh Peris	41/3, Mankuliya, Negambo	P. K. P. S. Nonis		Negambo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 141000
42	IMUL-A-0148TCO	16/HS/0286MTR	40' 03"		LB 15 2934	Dulaj Putha	Peli Thanthrige Kapila Lolitha	11/5, "Deepani Niwasa", Welegoda, Dewinuwara	M. M. S. Maduranga		Dewinuwara	Sri Lanka	Longline	P 139278, P 141001
43	IMUL-A-1245MTR	16/HS/0306MTR	40'	IOTC15637	LB 15 2081	Kanthi 04	Marakkala Manage Sirisena	'Selalika", Godauda, Kottagoda	P.H. J. Chandana		Thangalla	Sri Lanka	Longline	P 139278, P 141002
44	IMUL-A-0631CHW	16/SLS/0012/CHW	40' 8"		LB 15 3334		W. G. S. Kostha	524, Thoduwawa South, Thoduwawa	M. N. A. R. Pinthu		Dikovita	Sri Lanka	Longline	P 139278, P 141003
45	IMUL-A-1382MTR	16/HS/0045/MTR	40' 06"	IOTC15471	LB 15 668		Kunama Hannadige Samanchandra	47, Kiralawella Road, Dewinuwara	G.A. Damith Suranga		Dewinuwara	Sri Lanka	Longline	P 139278, P 141004
46	IMUL-A-0367TLE	16/HS/0328MTR	40'	IOTC12580	LB 15 1866		Andra Hannadige Sunil Shantha	Ratagahawaththa, Kapugama(East), Dewinuwara	B. H. Ranjith		Dewinuwara	Sri Lanka	Longline	P 139278, P 141005
47	IMUL-A-0068CBO	16/H/0003/CBO	45'	IOTC12685	LB 15 1274		W. K. Suranga Prakash Perera	90/1, Talawathugoda Road, Pitakotte	W. P. R. K. Thisera		Negambo Fishery Harbour	Sri Lanka	Longline	P 139278, P 141006
48	IMUL-A-0550NBO	16/SLS/Town III/0035/NBO	34'	IOTC11836	LB 15 1292	Nimsha Duwa	T. A. Suresh Peris	53, Mankeliya, Negambo	N. M. Saman Kumara		Negambo	Sri Lanka	Longline	P 139278, P 141007
49	IMUL-A-0646KLT	16/HS/0353CHW	45' 09"	IOTC15328	LB 15 4223		W. P. Vaz	Palliya Road, Thalvila, Marawila	K. L. M. Fernando		Negambo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 141008
50	IMUL-A-0413CHW	16/SLS/002/CHW	40'		LB 15 3352	Janith Putha 3	W. R. Kumar Fernando	Bacilica Road, Thoduwawa South, Thoduwawa	W. S. A. Fernando		Kalpitiya,	Sri Lanka	Longline	P 139278, P 141009
51	IMUL-A-0716NBO	16/SLS/Ja-ela/0001/NBO	55' 07"		LB 15 4372		N. J. Fishik and Export (PVT)Ltd	Nimesha Jayani, Lurdu Road, Ja-ela	H. P. Lakmal Indika		Dicovita	Sri Lanka	Longline	P 139278, P 141010
52	IMUL-A-0442CHW	16/HS/0053NBO	40'	IOTC11760	LB 15 1342	Dinusha Baby 2	K. M. Dinasha Dilshan Fernando	8/1, Martin Road, Munnakkaraya	Ruwan		Negambo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 141011
53	IMUL-A-0460CHW	16/HS/0198CHW	40'	IOTC15956	LB 15 0503		W.M. Peris	256, Thalwila Wella, Thoduwawa	Nishantha		Negambo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 141012
54	IMUL-A-0908MTR	16/HS/0067MTR	41'	IOTC11895	LB 15 1847	Jayamuthu	Kiri Giganage Prince Sujeewa	71/2, Market Para, Kotuwegoda, Mathara	K.G. P. Sujeewa		Dewinuwara	Sri Lanka	Longline	P 139278, P 141013
55	IMUL-A-0734CHW	16/SLS/0063/CHW	48' 6"		LB 15 3345		W.M.A.Fernando	33, Lurdu Mawatha, Waikkala	W.D. Wasantha Kumara		Dicovita	Sri Lanka	Longline	P 139278, P 141014
56	IMUL-A-0392CHW	16/SLS/0019/CHW	41'		LB 15 4222		A.S.P. Fernando	'Pathum", Sindrathiya, Wikkala	Nelson		Negambo, Kalpitiya, Chilaw	Sri Lanka	Longline	P 139278, P 141015
57	IMUL-A-0490NBO	16/SLS/Town I/002/NBO	40'		LB 15 4324		W.T.N. Fernando	14/2, Kadinakure Mawatha, Walla Widiya, Negambo	Lasantha		Kalpitiya	Sri Lanka	Longline	P 139278, P 141016
58	IMUL-A-0495NBO	16/SLS/OU/0004/NBO	38'		LB 15 4321		M.T. Priyantha vazz	1/9/1, 4th Lane, Negambo	Ajith Rohana		Pitipana, Lellawa	Sri Lanka	Longline	P 139278, P 141017
59	IMUL-A-0615KLT	16/HS/0243KLT	12.82m	IOTC14501	LB 15 984	Shantha Ana 03	Ambalangoda Liyanaralalage Jude Densil Fernando	41/1 B, Wewa Para, Katukurunda, Kaluthara	Jude Densil		Beruwala	Sri Lanka	Longline	P 139278, P 141018

60	IMUL-A-0066KLT	16/SLS/0006/CHW	34' 06"		LB 15 4245		W.J.W.J.R.Fernando	176, Werala Para, Thoduwawa North	W. Shelton		Negambo	Sri Lanka	Longline	P 139278, P 141019
61	IMUL-A-0666NBO	16/SLS/Town III/0037/NBO	36'		LB 15 3580		K.R. Lakshan Fernando	116, Rejina Para, Munnakkaraya, Negambo	P. H. Perera		Negambo	Sri Lanka	Longline	P 139278, P 141020
62	IMUL-A-0069NBO	16/SLS/Town III/0007/NB0	34		LB 15 3494	Jonson 01	K.M. Ranjith Fernando	16/ Siriwardana Pedesa, Munnakkaraya	Jerad		Negambo	Sri Lanka	Longline	P 139278, P 141021
63	IMUL-A-0315CHW	16/SLS/0025/CHW	43'		LB 15 3359	Mariya	W.M. Fernado	Janasawi Mawatha, Dummaladeniya West, Wennappuwa	W.M.A.M.N Weththasinghe		Negambo, Kalpitiya, Chilaw	Sri Lanka	Longline	P 139278, P 141022
64	IMUL-A-0166NBO	16/SLS/0110/CHW	40' 6"		LB 15 468		M.A. Jude Samson Fernando	288A/ Thoduwawa South, Thoduwawa	R.P.F. Fernando		Negambo, Dicovita	Sri Lanka	Longline	P 139278, P 141023
65	IMUL-A-0795CHW	17HS0222CHW	60'4"	IOTC16549	LB 171376	Lihini 06	Lihini Sea Food(Pvt)ltd	St.Jude Mawatha, Katuneriya	K.A.S.keragala	Lihini	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141024
66	IMUL-A-0729CHW	2017HS2000CHW	48'9"	IOTC16288	LB 171351	Lihini 05	Lihini Sea Food(Pvt)ltd	St.Jude Mawatha, Katuneriya	W.R.R.Fernando	Lihini	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141025
67	IMUL-A-0670CHW	2017EEZIMUL0092CHW	53'9"		LB 171360	Lihini 02	Lihini Sea Food(Pvt)ltd	St.Jude Mawatha, Katuneriya	A.M.D.N.Fernando	Lihini	Negombo,Trincomalee	Sri Lanka	Longline	P 139278, P 141026
68	IMUL-A-0604CHW	2017EEZIMUL0112CHW	47'		LB 171458	Lihini 03	Lihini Sea Food(Pvt)ltd	St.Jude Mawatha, Katuneriya	Suraj	Lihini	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141027
69	IMUL-A-0624CHW	2017EEZIMUL0126CHW	49'10"		LB 171480	Lihini 01	Lihini Sea Food(Pvt)ltd	St.Jude Mawatha, Katuneriya	S.K.Fernando	Lihini	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141028
70	IMUL-A-0622CHW	17HS0016CHW	44' 3"	IOTC012526	LB 170284	Heshan 02	M.A.T.W. S. Fernando	50/B, Mattakituwella, Thoduwawa	M.A.H.A.P. Fernando	Janaka	Negamboo, Dicovita, Trincomalee	Sri Lanka	Longline	P 139278, P 141029
71	IMUL-A-0700CHW	17HS0015CHW	47'	IOTC016005	LB 170280	Heshan 04	W.M.L.C.J. Fernando	Kudamaduwella, Thoduwawa	P.J.S.K. Fernando	Janaka	Dicovita,Trincomalee	Sri Lanka	Longline	P 139278, P 141030
72	IMUL-A-0668CHW	17HS0017CHW	44'	IOTC015587	LB 170282	Heshan 05	W.M.H.R.M. Fernando	Kudamaduwella, Thoduwawa	W.N.S. Vass	Janaka	Dicovita,Trincomalee	Sri Lanka	Longline	P 139278, P 141031
73	IMUL-A-0777CHW	17HS0018CHW	47'8"	IOTC016544	LB 170283	Heshan 06	W.M.H.R.M. Fernando	Kudamaduwella, Thoduwawa	R.W. Kumara	Janaka	Dicovita,Trincomalee	Sri Lanka	Longline	P 139278, P 141032
74	IMUL-A-0776CHW	17HS0318CHW	49'3"		LB 171502	Heshan 07	W.M.L.C.J. Fernando	Kudamaduwella, Thoduwawa	W.L.P.E.K. Fernando	Janaka	Dicovita,Trincomalee	Sri Lanka	Longline	P 139278, P 141033
75	IMUL-A-0611CHW	17HS0174CHW	40'	IOTC012376	LB171329	Roshen Putha	M.S.M FERNANDO	NO 60, Mattakotuwa, Mahawewa	W.R.Tissera	Janaka	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141034
76	IMUL-A-0474NBO	17HS0009PTM	40'6"	IOTC010232	LB171225	Mirasa Duwa	W.M.D.A.N. FERNANDO	Mihiri stores,Kandakuliya	W.S.A.Thisara	Janaka	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141035
77	IMUL-A-0434KLT	2017EEZIMUL0031CHW	45'	#	LB170361	Kristian putha5	W.A.S.N. PEERIS	34,A/1 Thalvila, Maravila	Sujith	Nadeesh	Negombo,Puttlam	Sri Lanka	Longline	P 139278, P 141036
78	IMUL-A-0635CHW	17HS0156CHW	42'	IOTC014542	LB171268	Kewin putha	W.N.P FERNANDO	112A,Haththiniya, Marawila	Appuhami	Lihini	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141037
79	IMUL-A-687CHW	17HS0216CHW	45'03"	IOTC015960	LB171369	Minoli Duwa	W.C.S.PERERA	61,c Modarawella, Marawila	Lasantha	Thushara	Negambo,Dikowita, Trincomalee	Sri Lanka	Longline	P 139278, P 141038

3.1.1

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288729, 3.1.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	No data regarding effects of the fishery and associated activities on endangered species, non-target catches and discards.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Essential	Certifier	:	
Deadline	:	27-11-17	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Log book implementation related record of discard/by catch, reference regarding effect of effect fishery on non target fish, bycatch or discard fish
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	27-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

3.2

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288730.3.2	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Discard fish is not documented. Can't justify due to no data of discard fish within the vessel
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Essential	Certifier	:	
Deadline	:	27-11-17	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Company policy section 1.1
Results of re-assessment	NC closure accepted, evidence fully provide by client
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	27-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

3.2

5.2.1

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288732, 5.2.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Important	Certifier	:
Deadline	: 20-09-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	- Annex 6-1, awareness training for skipper regarding non target fish (preventive action) - Annex 3 - Annex 7 documentation regarding requirement for exporting swordfish. the compendium of high seas fishing lesgistation
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	20-09-17

Re-assessment certification decision

Status	settled		
Certifier	Nurhadi, Mr. N. ()	Signature certifier:	
Decision date	28-11-17		

5.5.2

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288733, 5.2.2	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. However no regulation/policy/procedure from fishery industry about the minimum quantity to protecting main target species
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Important	Certifier	:
Deadline	: 20-09-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

5.2.2

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	refer to 5.2.1 IOTC-2017-S21-RE Annex 6-1, awarrness training for skipper regarding non target fish (preventive action) annex 3 Annex 7 documentation regarding requirement for exporting swordfish. the compendium of high seas fishing lesgistatio
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	20-09-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

5.2.3

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288734, 5.2.3	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	There is regulation from Local Authority said that fishery/vessl only allow catch tuna or swordfish above 15 kg/fish. No procedure form the fishery level it self
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	training, regualtion and policy. Annex 6-1, awarrness training for skipper regarding non target fish (preventive action) annex 3 Annex 7 documentation regarding requirement for exporting swordfish. the compendium of high seas fishing lesgistation IOTC–2017–S21–R[E]
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288735, 5.4	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Bycatch not record by operator (i.e albacore tuna, sell to local market). during interview, skipper and vessel owner said, incase they catch non target fish (protected fish which will be discard) they skipper and crew will release the species catched (ie. Shark, dolphin and turtle. All those species listed prohibited to catch in the log book sheet). however no record provided during the audit for discard fish. procedure for by catch fish and discard fish mention at Log sheet. that vessel shall record release or discard fish that accidentally catched.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

5.4

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Company policy 1.2, 1.1 and record implentation of log book
Results of re-assessment	The procedure and policy stated that every boat shall record all bycatch fish. The implementation has been proved in the log book date 10/11/17 ?-26/11/17
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	25-11-17

Re-assessment certification decision

Status	settled		
Certifier	Nurhadi, Mr. N. ()	Signature certifier:	
Decision date	25-11-17		

5.5

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288736, 5.5.	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Bycatch not record by operator (i.e albacore tuna, sell to local market). during interview, skipper and vessel owner said, incase they catch non target fish (protected fish which will be discard) they skipper and crew will release the species catched (ie. Shark, dolphin and turtle. All those species listed prohibited to catch in the log book sheet). however no record provided during the audit for discard fish. procedure for by catch fish and discard fish mention at Log sheet. that vessel shall record release or discard fish that accidentally catched.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Company policy (section 1.1 and 1.2) and log book impletementation
Results of re-assessment	The procedure and policy stated that every boat shall record all discards. The implementation has been proved in the log book date 10/11/17 ? 26/11/17
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	25-11-17

Re-assessment certification decision

Status	settled		
Certifier	Nurhadi, Mr. N. ()	Signature certifier:	
Decision date	05-01-18		

5.6

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288738, 5.6	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	procedure to prevent possible accidental catch and significant negative impacts of endangered species no available
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

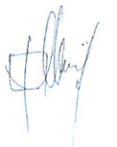
Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	They provide instruction manual of using DiD and local authority regulation regarding accidental catch, prohibited species to be catched etc
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi	Signature certifier:
Decision date	28-11-17	



5.7

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288739 , 5.7	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Procedure to reduce the accidental catch of non-target species, including procedures for the release of live animals under conditions no available
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	See the company policy section 1.5 , Annex 9 (srilankan regulation
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

6.1

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288741 , 6.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	based on interviewing skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

6.1

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	company policy section 1.0
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	28-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

6.2

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288743 , 6.2	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Based on interviewing skipper and crew member, they are implementing re-cycle and re-process for waste, said waste will be collected by 3rd party. However but no written procedure provided.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Company policy section 1.0
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	28-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288745 , 7.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Annual document not provided main energy used: diesel.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

7.1

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	record of using fuel.
Results of re-assessment	NC closure accepted operator. The client provide one vessel, name: Lihini 06 (795 CH): 6000 Litre trip 07. date: 2017/07/04; invoices no.334874
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

7.2

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288746, 7.2.	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	No document provided related to calculation carbon footprint per product unit and engage to reduce it every year.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Recomendation	Certifier	:	
Deadline	:	29-08-18	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Advise to look for third party to calculate their carbon emmision
Results of re-assessment	Advise to look for third party to calculate their carbon emmision
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	30-08-17

Re-assessment certification decision

Status	settled		
Certifier	Nurhadi, Mr. N. ()	Signature certifier:	
Decision date	05-01-18		

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288547, 1.4	Report no.	PRJ 854800FOS Coc-2017-2
NC date	29-08-17		
Program	Friend of the Sea (FOS) Coc, FM, FO, O3, FF		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Last year no training conducted for traceability procedure
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Important	Certifier	:
Deadline	: 20-09-17	Decision date	:

Unit no.	Unit name	Location
PRC 083406	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

1.4

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Proposal NC closure FOS coc. The training program will be held on 11th September 2017 in regard to traceability. Training program aimed to all staff
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	15-09-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi	Signature certifier:
Decision date	28-12-17	

2.1

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288726, 2.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	No document provided (by-catch data) missing for tuna albacore
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Important	Certifier	:	
Deadline	:	20-09-17	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

2.1

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	- Company policy section 1.2. - Annex 17 - log book (Implementation)
Results of re-assessment	The procedure and policy stated that every boat shall record all by catch fish. The implementation has been proved in the log book date 10/11/17 & 26/11/17
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	20-09-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	05-01-18	

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288747, 8.2.	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	The organisation has not certified for SA 8000
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Recomendation	Certifier	:	
Deadline	:	29-08-18	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

8.2

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Advise to get certified for SA 8000
Results of re-assessment	Advise to get certified for SA 8000
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	30-08-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	05-01-18	

2.2

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288727, 2.2	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	Fishing gear used is Long line. Reference: No referene provided whether any effect of long line used.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	: Essential	Certifier	:
Deadline	: 27-11-17	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Research regarding long line by National Institute of Fisheries and Nautical Engineering
Results of re-assessment	NC closure accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288728, 2.3.1	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	No data provided regarding assessment of the impact of its activities on essential habitats for the stock under consideration and on habitats vulnerable to damage by the fishing gear.
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Recomendation	Certifier	:
Deadline	:	29-08-18	Decision date	:

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Recomendation only
Results of re-assessment	Recomendation only
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	23-11-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi	Signature certifier:
Decision date	28-12-17	

5.1.3

List of closed NC's (per 01-01-2017)

PRJ 854800 Lihini Sea Food (Pvt) Ltd

NC ID	NC 288731, 5.1.3.	Report no.	PRJ 854800FOS Wild-2017-2
NC date	30-08-17		
Program	Friend of the Sea (FOS) Wild		

Non-conformity

Aspect non-conformity	:
Clause applicable	
Non-conformity	No evidence provided regarding involvement of local communities meeting. E.g. monitoring and control of fishing activities, conservation, management of tuna or protected areas
Inspector	Agung Pratama, Mr. (Nanda)

Certification decision

Type of non-conformity	:	Important	Certifier	:	
Deadline	:	20-09-17	Decision date	:	

Unit no.	Unit name	Location
PRD 083485	Lihini Sea Food (Pvt) Ltd	Katuneriya, North Western Province SRI LANKA

Re-assessment of non-conformity

Documents reviewed, sites physically inspected, persons interviewed	Finding any evidence of meeting local communities monitoring and control of fiishing activities,conservation and mgt of tuna or protected areas.
Results of re-assessment	Action plan accepted
Proposal assessor	settled
Assessor	Agung Pratama, Mr. (Nanda)
Date of re-assessment	20-09-17

Re-assessment certification decision

Status	settled	
Certifier	Nurhadi, Mr. N. ()	Signature certifier:
Decision date	28-11-17	

5.1.3